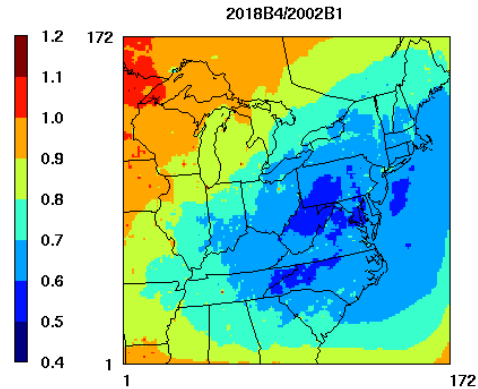
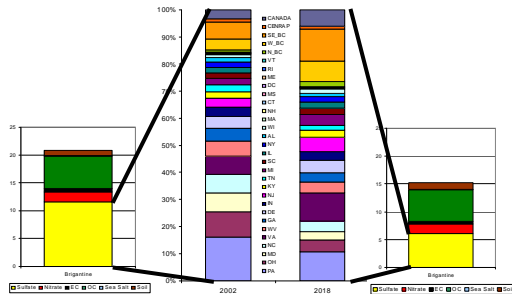
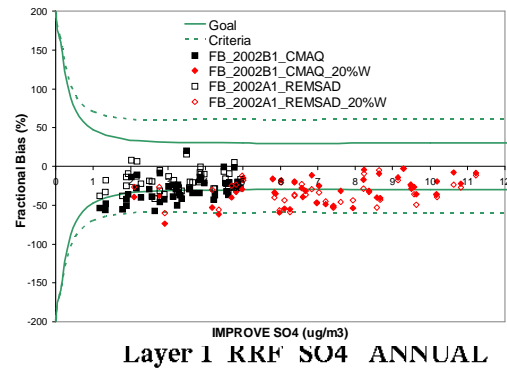
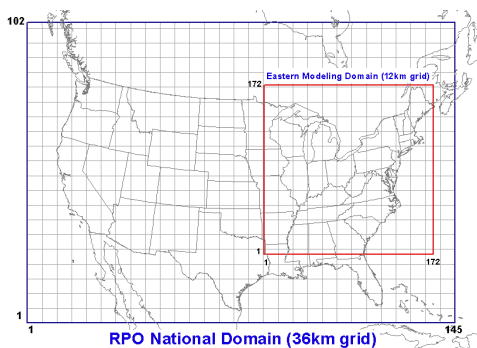


# MANE-VU Modeling for Reasonable Progress Goals

Model performance evaluation, pollution apportionment, and control measure benefits



Prepared by  
NESCAUM  
For the

Mid-Atlantic/Northeast Visibility Union Regional Planning Organization

February 7, 2008

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PROGRESS GOALS**  
MODEL PERFORMANCE EVALUATION,  
POLLUTION APPORTIONMENT, AND CONTROL  
MEASURE BENEFITS

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## **Executive Summary**

The main purpose of this report is to assist states in developing effective solutions to regional visibility and fine particle problems and comply with requirements under the Regional Haze Rule. NESCAUM has utilized in-house air quality modeling capabilities that include emission processing, meteorological input analysis, and chemical transport modeling to conduct regional air quality simulations for calendar year 2002 and several future periods. This work has been directed at satisfying a number of compliance goals under the Haze State Implementation Plan (SIP), including a contribution assessment, a pollution apportionment for 2018, and the evaluation of visibility benefits of control measures being considered for achieving reasonable progress goals and establishing a long-term emissions management strategy for MANE-VU Class I areas.

The modeling tools utilized for these analyses include MM5, SMOKE, CMAQ and REMSAD, and incorporate tagging features that allow for the tracking of individual source regions or measures. These tools have been evaluated and found to perform adequately relative to USEPA modeling guidance.

Results show that sulfate aerosol – the dominant contributor to visibility impairment in the Northeast’s Class I areas on the 20 percent worst visibility days – has significant contributions from states throughout the eastern U.S. that are projected to continue in future years from all three of the eastern regional planning organizations (RPOs).

An assessment of potential control measures that would address this future contribution has identified a number of promising strategies that would yield significant visibility benefits beyond the uniform rate of progress and, in fact, significantly beyond the projected visibility conditions that would result from “on the books/on the way” air quality protection programs. These “beyond on the way” measures include the adoption of low sulfur heating oil, implementation of Best Available Retrofit Technology (BART) requirements, and additional electric generating unit (EGU) controls on select sources. The combined benefits of adopting all of these programs could lead to an additional benefit of between 0.38 and 1.1 deciviews at MANE-VU Class I areas on the 20 percent worst visibility days by 2018.

# 1. INTRODUCTION

## 1.1. Background

This report presents information intended to assist states in developing effective solutions to regional visibility and fine particle problems and comply with requirements under the 1999 U.S. Environmental Protection Agency (USEPA) “Regional Haze Rule” [64 Fed. Reg. 35714 (July 1, 1999)]. NESCAUM has utilized in-house air quality modeling capabilities that include emission processing, meteorological input analysis, and chemical transport modeling to conduct regional air quality simulations for calendar year 2002 and several future periods.

This work has been directed at satisfying a number of compliance goals under the Haze State Implementation Plans (SIPs), including a contribution assessment (*see* NESCAUM, 2006a), a pollution apportionment for 2018, and the evaluation of benefits of control measures being considered for achieving reasonable progress establishing a long-term emissions management strategy for MANE-VU Class I areas.<sup>1</sup> NESCAUM has employed several tools to achieve all of these goals, but the primary tool described and detailed here consists of a regional air quality modeling platform using meteorological fields developed by the University of Maryland using the MM5 platform (Penn State, 2007), emission inventories developed by MANE-VU (MARAMA, 2007a) and processed through the SMOKE emissions processing tool (SMOKE, 2007), and air quality simulations conducted jointly by multiple modeling centers utilizing USEPA’s Community Multi-scale Air Quality (CMAQ) model (Byun and Ching, 1999). Sulfate apportionment was also carried out using the REMSAD model (SAI, 2005) with SO<sub>2</sub> tagging capabilities and control strategy evaluation was conducted utilizing a beta version of CMAQ-PPTM (ICF, 2006).

This report describes these efforts that form the foundation upon which MANE-VU states will base their haze SIP submissions. After the MANE-VU RPO considers the results provided here and consults with neighboring states and federal land managers, we anticipate that a final model simulation will be conducted to serve as a basis for calculating final reasonable progress goals.

This introduction provides a basic description of the modeling platform and the input data that we used for regional air quality simulations. Chapter 2 provides a model performance evaluation for both the meteorological input data as well as the chemical transport model for the base year 2002. Chapters 3 through 5 present results from 2018 simulations with respect to the projected “beyond on the way” scenario that we take as a starting point for the haze program, pollution apportionment for 2018, and haze control strategy evaluation.

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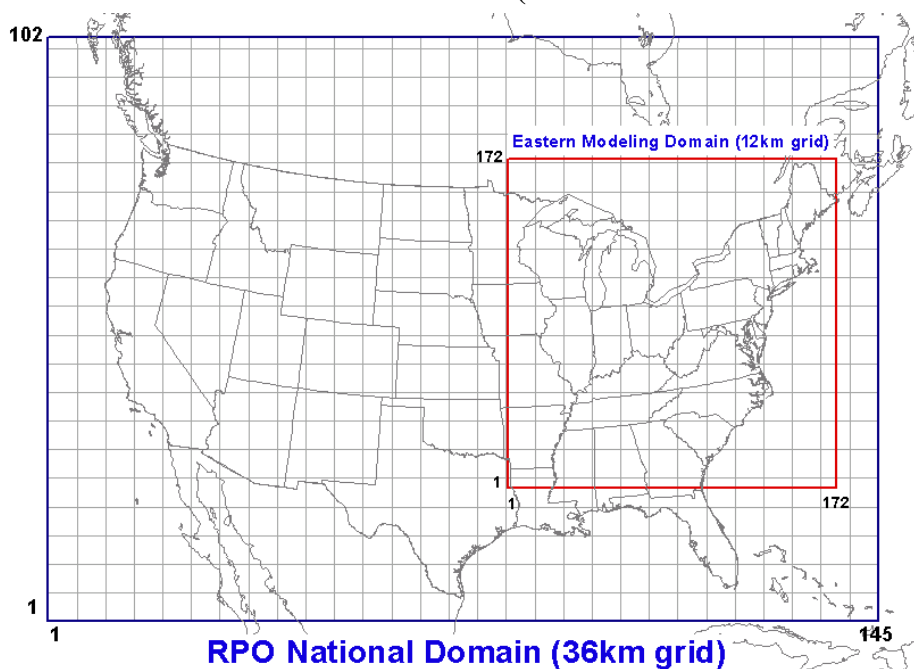
<sup>1</sup> There are seven designated Class I areas in the Northeast and Mid-Atlantic States. They include Acadia National Park and Moosehorn Wilderness Area in Maine; Roosevelt Campobello International Park in New Brunswick and Maine; the Lye Brook Wilderness Area in Vermont; the Great Gulf and Presidential Range-Dry River Wilderness Areas in New Hampshire; and the Brigantine Wilderness Area in New Jersey.

## 1.2. Meteorology

Professor Dalin Zhang's group from University of Maryland (UMD) provided the 2002 annual meteorological field for air quality modeling. Meteorological inputs for CMAQ are derived from the Fifth-Generation Pennsylvania State University/National Center for Atmospheric Research (NCAR) Mesoscale Model (MM5)<sup>2</sup> system meteorological fields. MM5 is a model with limited-area primitive equations of momentum, thermodynamics, and moisture with the option of hydrostatic and non-hydrostatic physics. It is designed to simulate mesoscale atmospheric circulation. Domains are uniform rectangular grids representing three-dimensional regions of the atmosphere.

MANE-VU has adopted the Inter-RPO domain description for its modeling runs.<sup>3</sup> This 36-km domain covers the continental United States, southern Canada and northern Mexico. The dimensions of this domain are 145 and 102 cells in the east-west and north-south directions, respectively. A 12-km inner domain was selected to better characterize air quality in MANE-VU and surrounding RPO regions. This domain covers the eastern region, which includes the northeastern, central, and southeastern U.S., as well as southeastern Canada. It extends from 66°W~94°W in longitude and 29°N~50°N in latitude with 172 × 172 grid cells (Figure 1-1).

**Figure 1-1. Modeling domains used in MANE-VU air quality modeling studies with CMAQ. Outer (blue) domain grid is 36 km and inner (red) domain is 12 km grid. The gridlines are shown at 180 km intervals (5 × 5 36 km cells/15 × 15 12 km cells).**



<sup>2</sup> <http://www.mmm.ucar.edu/mm5/>

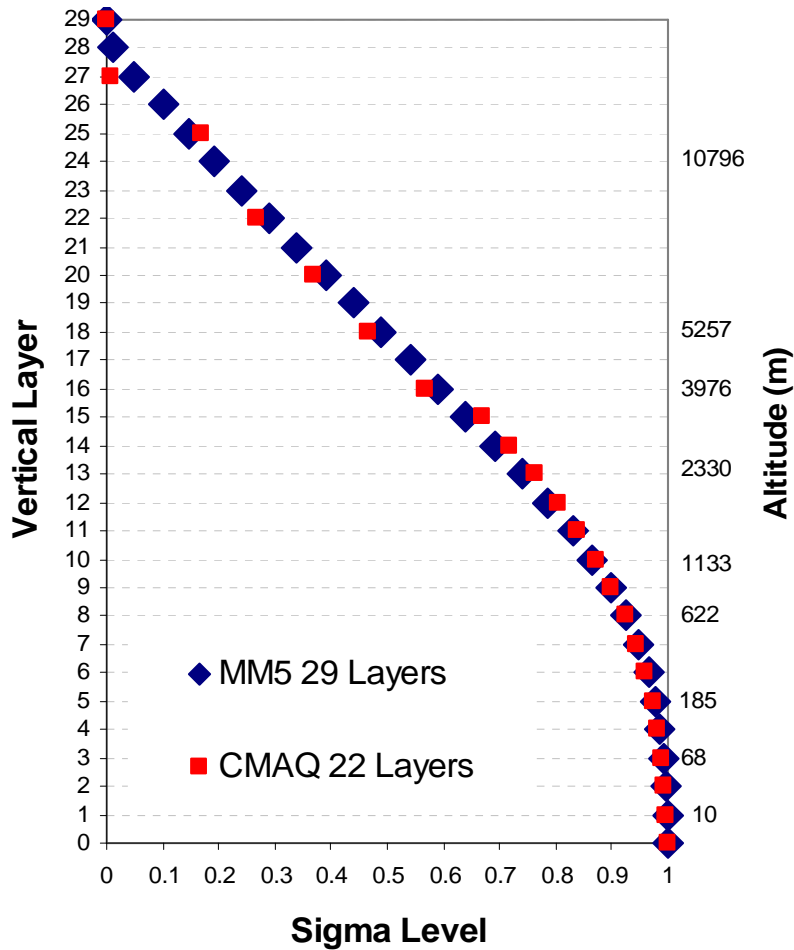
<sup>3</sup> The modeling system for 2002 annual simulation is applied with a Lambert Conformal Conic projection with parallels at 33N and 45N. A spherical earth radius of 6370km is used for all elements of the system (MM5/SMOKE/CMAQ).

The UMD MM5 model runs are made on these two nested domains with the inner (12 km) domain using finer resolution terrain data. Initially, we conducted a set of test runs for the period of August 6 to 16, 2002.

The horizontal coordinated system is equally spaced geographically and uses the Arakawa-B gridding scheme. The resolution can be as high as 1 km. Sigma ( $\sigma$ ) is a terrain-following vertical coordinate that is a function of pressure at the point (for hydrostatic) or reference (non-hydrostatic) state pressure (P), the surface pressure ( $P_{s0}$ ), and the pressure at the top ( $P_{top}$ ) of the model;  $\sigma = (P - P_{top}) / (P_{s0} - P_{top})$ . The model utilizes a terrain-following sigma coordinate with 29 layers. The first level is at 10 m and a radiative upper-boundary condition is at 50 hPa (Figure 1-2).

Based on test run results, the boundary layer processes were determined using the Blackadar high-resolution planetary boundary layer parameterization. Physics options also included explicit representations of cloud physics with simple ice microphysics (no mixed-phase processes) and the Kain-Fritsch cumulus parameterization. UMD ran the non-hydrostatic MM5 v3.5.3 with three planetary boundary layer (PBL) schemes; (1) modified Blackadar [BL], (2) the Pleim-Xiu scheme with the soil module [P-X], and (3) modified Blackadar with soil module [SSIB]. The model was initialized with the analyses of the National Center for Environmental Prediction (Eta Model). TDL data are used for MM5 nudging. A modeled wind field map (Figure 1-3) shows typical prevailing mesoscale flows from the midwest U.S. to the East Coast.

**Figure 1-2. Vertical Structure of Meteorological and Air Quality Modeling Domains**



The simulated meteorological fields were compared to the measurements from Techniques Development Laboratory of National Weather Service (TDL NWS) and Clean Air Status and Trends Network (CASTNET). The TDL data are reflective of urban/suburban settings, while the CASTNET sites are more representative of rural areas. There are 48 CASTNET sites and about 800 TDL sites within Domain 2 (as shown in Figure 1-4). Overall, the BL scheme shows a better correspondence to the measured data than the other two schemes, although it poorly captures the diurnal pattern of humidity. While the P-X scheme shows a better correspondence with the observed diurnal pattern for humidity, it fails to perform well for wind speed and temperature (Hao et al., 2004).

Figure 1-3. MM5 modeled wind field map at 12:00 UTC on August 8, 2002

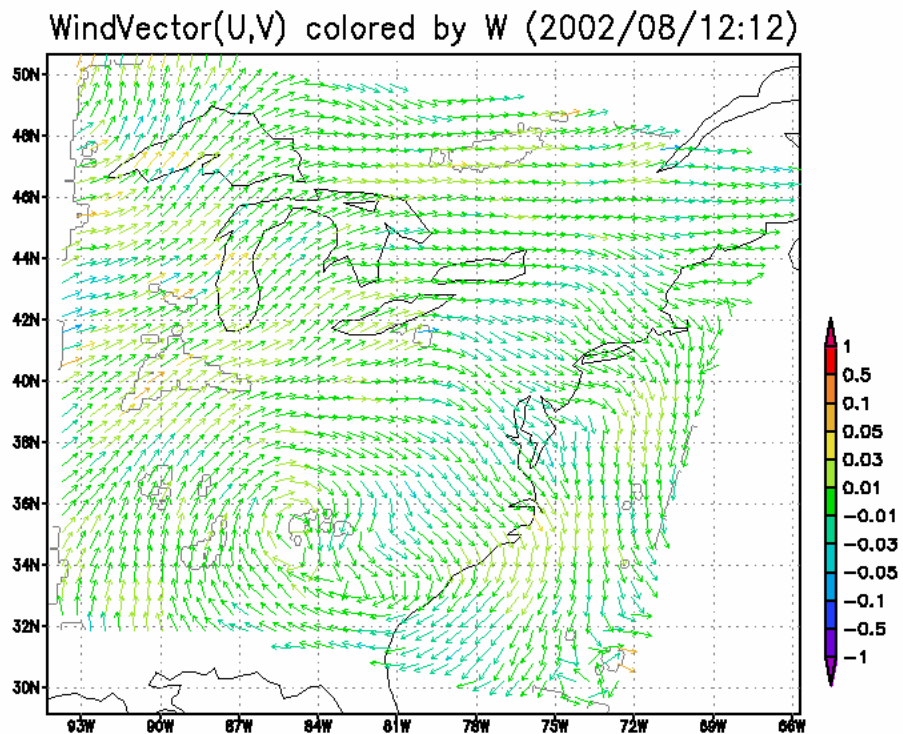
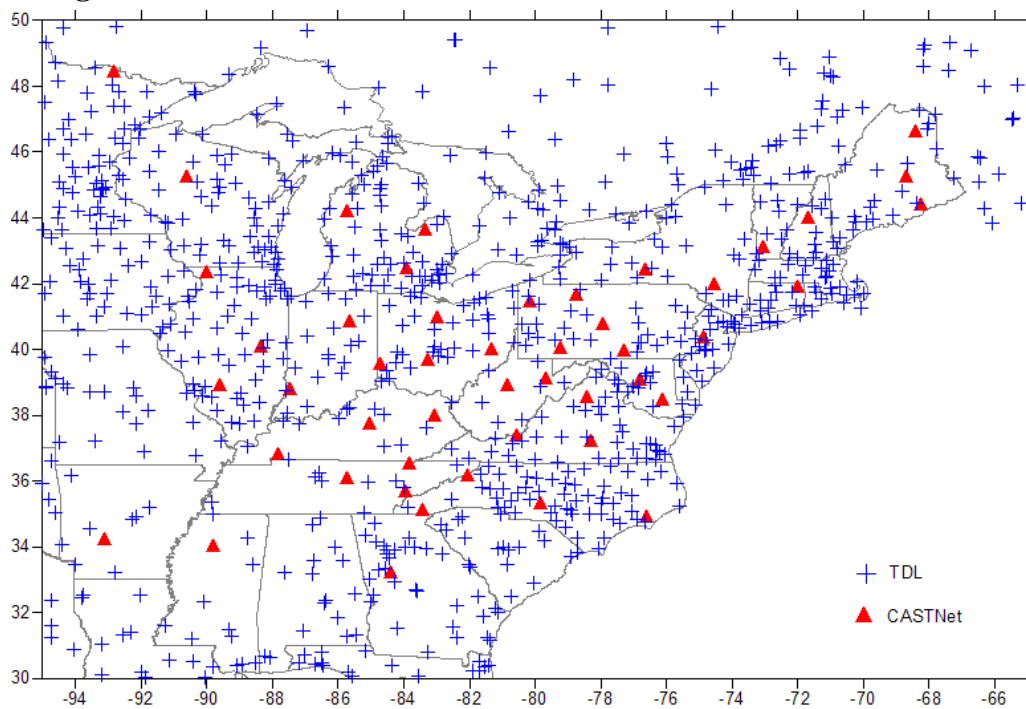


Figure 1-4. Observation Network sites within 12km resolution domain



### 1.3. Emissions Preparations

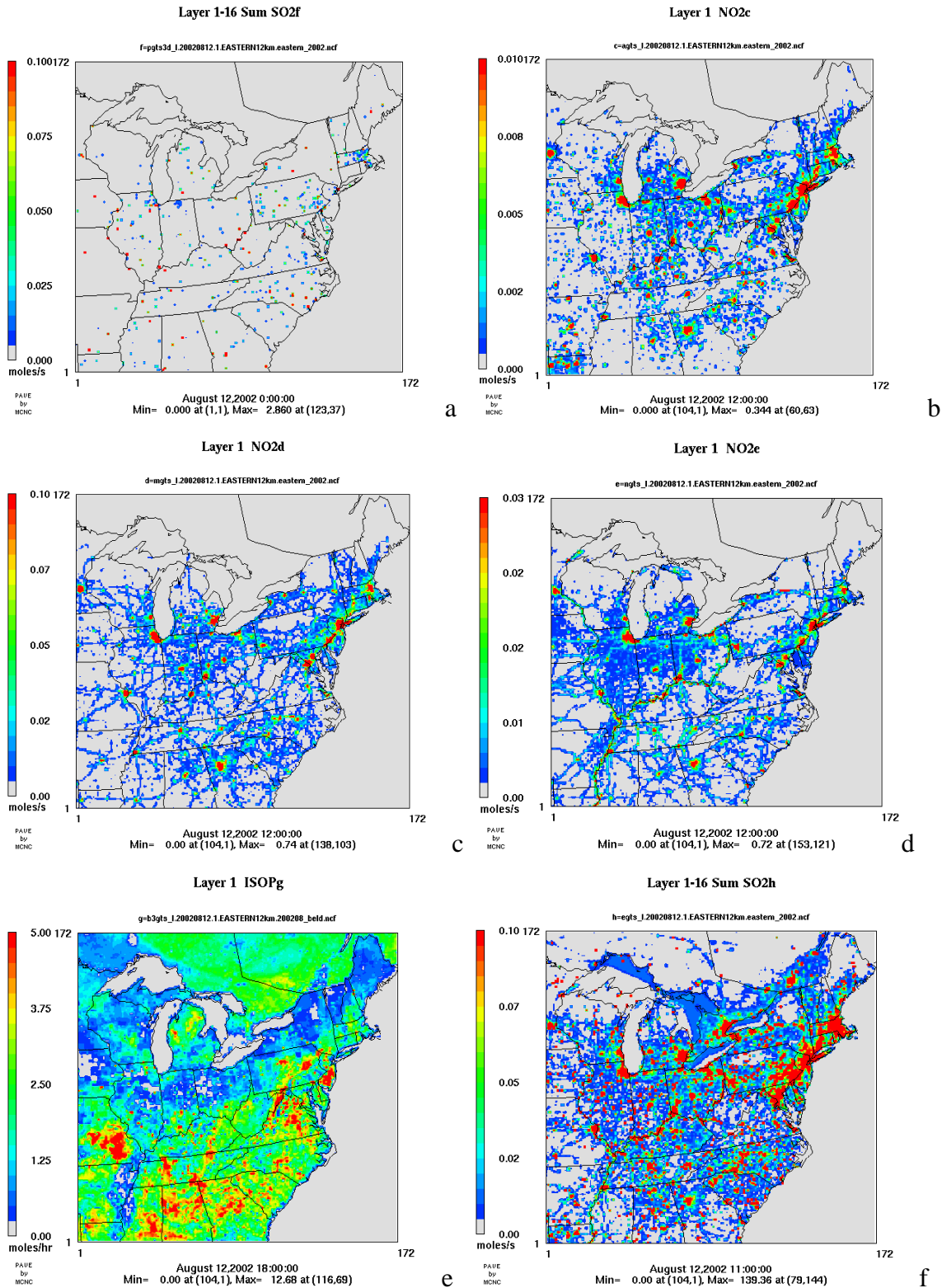
We simulated emission scenarios using the Sparse Matrix Operator Kernel Emissions (SMOKE) Modeling System. SMOKE is primarily an emissions processing system designed to create gridded, speciated, hourly emissions for input into a variety of air quality models, such as CMAQ and REMSAD. SMOKE supports area, biogenic, mobile (both onroad and nonroad), and point source emissions processing for criteria, particulate, and toxic pollutants. For biogenic emissions modeling, SMOKE uses the Biogenic Emission Inventory System, version 2.3 (BEIS2) and version 3.09 and 3.12 (BEIS3). SMOKE is also integrated with the onroad emissions model MOBILE6.

The sparse matrix approach used throughout SMOKE permits rapid and flexible processing of emissions data. Flexible processing comes from splitting the processing steps of inventory growth, controls, chemical speciation, temporal allocation, and spatial allocation into independent steps whenever possible. The results from these steps are merged together in the final stage of processing using vector-matrix multiplication. It allows individual steps (such as adding a new control strategy, or processing for a different grid) to be performed and merged without having to redo all of the other processing steps (<http://cf.unc.edu/cep/empd/products/smoke/version2.1/html/>).

The emission processing for CMAQ for the 36 km national domain and 12 km eastern domain (Domain 2) has been performed by the New York State Department of Environmental Conservation (NYS DEC) (for base year 2002 and future year 2009) and by NESCAUM (for future year 2018) using SMOKE v2.1 compiled on a Red Hat 9.0 Linux operating system with the Portland Group Fortran compiler version 5.1. They use the 2002 static emission inventory, CEM data, and surrogates data based on the 2002 RPO data. Biogenic emissions are calculated using BEIS3 with BELD3 data. Mobile source emissions are processed using MOBILE6. An updated 2000 inventory for Canada and a 1999 inventory for Mexico inventory were used for processing.

The emissions processing was performed on a month-by-month and RPO-by-RPO basis, i.e., SMOKE processing was performed for each of the RPOs (MANE-VU, VISTAS, CENRAP, MRPO, WRAP) individually as well as for Canada and Mexico. Note the processing of WRAP and Mexican emissions was necessary for use with the 36 km grid modeling only. For each month/RPO combination, a separate SMOKE ASSIGNS file was created, and the length of the episode in each of these ASSIGNS files was set to the entire month. Specific data sources for individual source categories are listed below and the examples of processed emissions outputs are shown in Figure 1-5.

**Figure 1-5. Examples of processed model-ready emissions:**  
**(a) SO<sub>2</sub> from Point; (b) NO<sub>2</sub> from Area; (c) NO<sub>2</sub> from Onroad; (d) NO<sub>2</sub> from Nonroad; (e) ISOP from Biogenic; (f) SO<sub>2</sub> from all source categories**





### 1.3.1. Emissions Processing Files

The profile and cross reference files listed below are held constant for all modeling years unless stated otherwise.

#### *Temporal Allocation*

MANE-VU:

Area and Nonroad sources:

amptpro.m3.us+can.manevu.030205.txt and amptref.m3.manevu.012405.txt

Mobile source: MANEVU\_2002\_mtpro\_02022006\_addCT.txt

MANEVU\_2002\_mtref\_02022006\_addCT.txt

Point sources: Based on the same files as for the MANE-VU area and nonroad temporal files listed above, but added the VISTAS-generated CEM-based 2002 state-specific temporal profiles and cross-references for EGU sources for the MANE-VU states. No CEM, hour-specific, EGU emissions were used.

CENRAP:

The following temporal profiles and cross-reference files were used for all source categories: amptpro.m3.us\_can.cenrap.010605.txt,

amptref.m3.cenrap.010605.txt

These files were downloaded from the CENRAP website

[www.cenrap.org/emission\\_document.asp](http://www.cenrap.org/emission_document.asp)

For point sources, the CEM-based hour-specific EGU emissions described in Section 2.2.4 were utilized to override the annual-total based emissions whenever a match could be established by SMOKE

VISTAS, WRAP and MRPO:

The following month-specific temporal profiles and cross-reference files were used for all source categories:

amptpro\_typ\_us\_can\_{MMM}\_vistas\_27nov04.txt where {MMM} is jan, feb, mar, etc., amptref\_2002\_us\_can\_vistas\_17dec04.txt

These files were obtained from Greg Stella (Alpine Geophysics)

For point sources (EGU and fires), the hour-specific emission files described in Sections 2.3.4 and 2.5.4 were utilized for the VISTAS and WRAP states to override the annual-total based emissions whenever a match could be established by SMOKE

Canada and Mexico:

The SMOKE2.1 default temporal profiles and cross-reference files (amptpro.m3.us+can.txt and amptref.m3.us+can.txt) were utilized.

#### *Chemical speciation*

The same speciation profiles (gspro.cmaq.cb4p25.txt) and cross-references (gsref.cmaq.cb4p25.txt) were utilized for all regions and all source categories. Different versions of these files were obtained (SMOKE2.1 default, USEPA-CAIR modeling, VISTAS, CENRAP and MANE-VU) and compared. After comparing the creation dates and header lines of these files, it was determined that the USEPA-CAIR and MANE-VU files had the most recent updates, and consequently the final speciation profile and cross-reference files used for all regions and source categories was based on the USEPA-CAIR files with the addition of MANE-VU specific updates.

## *Spatial Allocation*

### U.S.

The spatial surrogates for the 12 km and 36 km domains were extracted from the national grid 12 km and 36 km U.S. gridding surrogates posted at USEPA's website at [www.epa.gov/ttn/chief/emch/spatial/newsurrogate.html](http://www.epa.gov/ttn/chief/emch/spatial/newsurrogate.html). The gridding cross-references were also obtained from this website, but for the processing of MANE-VU area source emissions, MANE-VU specific cross-reference entries posted on the MARAMA ftp site were added.

### Canada

The spatial surrogates for Canadian emissions for the 12 km and 36 km domains were extracted from the national grid 12 km and 36 km Canadian gridding surrogates posted at USEPA's website at [www.epa.gov/ttn/chief/emch/spatial/newsurrogate.html](http://www.epa.gov/ttn/chief/emch/spatial/newsurrogate.html).

The gridding cross-references were also obtained from this website.

### Mexico

The spatial surrogates for Mexican emissions the 36 km domain were extracted from the national 36 km gridding surrogates used by USEPA in the CAIR modeling. These files were obtained from USEPA's CAIR NODA ftp site [www.airmodelingftp.com](http://www.airmodelingftp.com). The gridding cross-references were also obtained from this ftp site.

### 1.3.2. 2002 Emission Inventory

A 2002 base year emission inventory was developed to assess model performance and to serve as a point of comparison for future year projections in terms of emissions reductions and air quality improvement. In order to assess model performance, actual 2002 emissions (to the extent possible) are incorporated into the inventory and simulated in CMAQ in order to compare with observations. In addition, 2002 simulated values are compared to 2009 or 2018 projections with various emission reductions incorporated to see what degree of air quality improvement can be expected as a result of those reductions.

#### CANADA:

All source categories except that of point sources were obtained from USEPA's ftp site [ftp.epa.gov/EmisInventory/canada\\_2000inventory](ftp://ftp.epa.gov/EmisInventory/canada_2000inventory).

No county/province-specific correction factors were available for Canada. Hence, a "divide-by-four" correction for Source Classification Codes (SCCs) listed at [www.epa.gov/ttn/chief/emch/invent/index.html#dust](http://www.epa.gov/ttn/chief/emch/invent/index.html#dust) were adjusted with FORTRAN prior to running SMOKE.

#### *Area*

AS2000\_SMOKEready.txt

#### *Nonroad*

NONROAD2000\_SMOKEready.txt

#### *Onroad*

MOBILE2000\_SMOKEready.txt

#### *Point*

There has long been difficulty in obtaining an up-to-date Canadian criteria emissions inventory for point sources. This is due largely to confidentiality rights afforded to Canadian facilities. Thus far, the most recent inventory of Canadian point sources is rooted in the 1985 NAPAP data. Toward this end, an effort was made to obtain more recent Canadian point source data and incorporate it into an inventory database.

Perhaps the most accurate and publicly accessible source of Canadian pollutant data is now available from the National Pollutant Release Inventory (NPRI) database. The NPRI data are available at Environment Canada's website, [www.ec.gc.ca/pdb/npri/npri\\_home\\_e.cfm](http://www.ec.gc.ca/pdb/npri/npri_home_e.cfm). The page hosts a database available for download as an MS Access or Excel file. The database contains a rather comprehensive list of information. Detailed information is available about each facility, including location, activity and annual emissions. In addition, facilities having stacks with a height of 50 meters or more are required to report stack parameters.

Unfortunately, one of the limitations of the NPRI database for modeling purposes is that the data are only available at the facility level, so in order to

use this data, a few generalizations had to be made. Each facility has a Standard Industrial Classification (SIC) code associated with it; however, emissions models require SCCs. While no direct relationship exists between these two codes, a general albeit subjective association can be made, since SCCs are needed for SMOKE. In most cases, only a SCC3 level code was assigned with confidence.

## CENRAP:

All CENRAP BaseB files were downloaded from its ftp site <ftp.cenrap.org>.

County-specific correction factors were applied to take into account fugitive dust for SCCs listed at: [www.epa.gov/ttn/chief/emch/invent/index.html#dust](http://www.epa.gov/ttn/chief/emch/invent/index.html#dust); the correction factor file gcntl.xportfrac.txt was obtained from USEPA's CAIR NODA ftp site <http://www.airmodelingftp.com> (password protected); this adjustment was performed using the SMOKE programs cntlmat and grwinven to generate an adjusted IDA inventory file used for subsequent SMOKE processing for "other area" and point sources.

Where data sets are month dependant, {MMM} represents JAN, FEB, MAR, etc. Note that for both area and nonroad sources, the annual and monthly inventories were processed in one step. Processed with SMK\_AVEDAY\_YN set to N such that seasonal profiles were used to apportion the inventories into monthly values.

### *Area*

CENRAP\_AREA\_MISC\_SMOKE\_INPUT\_ANN\_STATE\_071905.txt  
CENRAP\_AREA\_BURNING\_SMOKE\_INPUT\_ANN\_TX\_NELI\_071905.txt  
CENRAP\_AREA\_MISC\_SMOKE\_INPUT\_NH3\_MONTH\_{MMM}\_072805.txt  
CENRAP\_AREA\_SMOKE\_INPUT\_NH3\_MONTH\_{MMM}\_071905.txt  
CENRAP\_AREA\_SMOKE\_INPUT\_ANN\_STATE\_081705\_xfact.txt  
- "\_xfact" is the adjusted version for fugitive dust as described above

### *Nonroad*

CENRAP\_NONROAD\_SMOKE\_INPUT\_ANN\_071305.txt  
CENRAP\_NONROAD\_SMOKE\_INPUT\_MONTH\_{MMM}\_071305.txt

### *Onroad*

M6-Input files + VMT - MOBILSMOKE\_Inputs.zip (Mar06)  
VMT/Speed files: mbinv02\_vmt\_cenrap\_ce.ida,  
mbinv02\_vmt\_cenrap\_no.ida, mbinv02\_vmt\_cenrap\_so.ida, and  
mbinv02\_vmt\_cenrap\_we.ida

### *Point*

CENRAP\_POINT\_SMOKE\_INPUT\_ANNUAL\_DAILY\_072505\_xfact.txt  
- "\_xfact" is the adjusted version for fugitive dust as described above

## MANE-VU:

PECHAN prepared all of the MANE-VUv3.0 inventories for SMOKEv2.1 located at <ftp://ftp.marama.org/2002> [Version 3/](#) (username: mane-vu, password: exchange).

County-specific correction factors were applied to take into account fugitive dust for SCCs listed at: [www.epa.gov/ttn/chief/emch/invent/index.html#dust](http://www.epa.gov/ttn/chief/emch/invent/index.html#dust); the correction factor file gcntl.xportfrac.txt was obtained from USEPA's CAIR NODA ftp site <http://www.airmodelingftp.com> (password protected); this adjustment was performed using the SMOKE programs cntlmat and grwinven to generate an adjusted IDA inventory file used for subsequent SMOKE processing for area and point sources.

#### *Area*

MANEVU\_AREA\_SMOKE\_INPUT\_ANNUAL\_SUMMERDAY\_040606.txt  
MANEVU\_AREA\_SMOKE\_INPUT\_ANNUAL\_WINTERDAY\_040606.txt

#### *Nonroad*

MANEVU\_NRD2002\_SMOKE\_030306.ida

#### *Onroad*

VMT/Speed: MANEVU\_2002\_mbinv\_02022006\_addCT.txt was prepared by PECHAN and NESCAUM; MANEVU\_V3\_update.tar can be downloaded from [http://bronze.nescaum.org/Private/junghun/MANE-VU/onroad\\_ver3\\_update/](http://bronze.nescaum.org/Private/junghun/MANE-VU/onroad_ver3_update/)

#### *Point*

MANEVU\_Point\_SMOKE\_INPUT\_ANNUAL\_SUMMERDAY\_041006.txt  
MANEVU\_Point\_SMOKE\_INPUT\_ANNUAL\_WINTERDAY\_041006.txt

### MRPO:

MARAMA contracted Alpine Geophysics to convert MRPO BaseK NIF formatted inventory to IDA, a SMOKE ready inventory format. Files can be found at <ftp.alpinegeophysics.com> – username: marama or on MARAMA's ftp site <ftp.marama.org> – username: mane-vu, password: exchange. Obtained by NESCAUM between April and June 2006.

County-specific correction factors were applied to take into account fugitive dust for SCCs listed at: [www.epa.gov/ttn/chief/emch/invent/index.html#dust](http://www.epa.gov/ttn/chief/emch/invent/index.html#dust); the correction factor file gcntl.xportfrac.txt was obtained from USEPA's CAIR NODA ftp site <http://www.airmodelingftp.com> (password protected); this adjustment was performed using the SMOKE programs cntlmat and grwinven to generate an adjusted IDA inventory file used for subsequent SMOKE processing for "other area" and point sources.

Where data sets are month dependant, {MMM} represents jan, feb, mar, etc. and {MM} is 01, 02, 03, etc.

#### *Area*

Agricultural Ammonia - arinv\_nh3\_2002\_mrpok\_{MMM}\_3may2006.txt  
Wind Erosion Fug-Dust - dustinv\_2002\_mrpok\_{MMM}\_23may2006.txt  
- The month-specific files were processed separately from the annual runs and SMK\_AVEDAY\_YN was set to Y so that no seasonal profiles would be applied and the inventory numbers in the 'average day' column would be used.  
Other Area Sources - arinv\_other\_mrpok\_2002\_20jun2006\_xfact.txt

- Adjusted for fugitive dust as described above
- SMK\_AVEDAY\_YN was set to N, so seasonal profiles were used to apportion the annual inventory numbers by month.
- To save SMOKE processing, the annual “marine” inventory was processed together with other area sources.

#### *Nonroad*

NMIM Generated Sources - nrinv\_2002\_mrpok\_{MMM}\_3may2006.txt  
MAR (Marine/Air/Rail) - arinv\_mar\_mrpok\_2002\_27apr2006.txt  
- MAR inventory was SMOKE processed with annual other area sources.

#### *Onroad*

M6-Input files & VMT – mobile\_inventory\_mrpobasek.tar.gz  
M6-Ancillary – mobile\_m6files\_mrpobasek.tar.gz  
VMT/Speed file: mbinv\_mrpo\_02f\_vmt\_02may06.txt  
- VMT is based on VISTAS Phase II modeling which was verified and updated for MRPOs BaseK May 2006 provided by Greg Stella (Alpine Geophysics)

#### *Point*

EGU - ptinv\_egu\_2002\_mrpok\_1may2006.txt  
Non-EGU - ptinv\_negu\_2002\_mrpok\_1may2006.txt  
- Christian Hogrefe (NYSDEC) merged the two inventories and adjusted for fugitive dust, ptinv\_egu\_negu\_2002\_mrpok\_1may2006\_xfact.txt

## VISTAS:

All VISTAS emission files were obtained from Greg Stella (Alpine Geophysics) via [ftp.alpinegeophysics.com](http://ftp.alpinegeophysics.com) – username: vistasei They reflect version BaseG of the VISTAS inventory with the exception of fire emissions, which reflect BaseF for Lo-Fires and BaseD for Hi-Fires. Files were obtained between February and August, 2006.

The header lines of these files indicate that the fugitive dust correction was already applied, so no further correction was performed. Where data sets are month dependant, {MMM} represents jan, feb, mar, etc. and {MM} is 01, 02, 03, etc.

#### *Area*

arinv\_vistas\_2002g\_2453922\_w\_pmfac.txt – Base G  
ida\_ar\_fire\_2002\_vistaonly\_basef.ida – Base F low fires

#### *Nonroad*

NMIM Generated Sources - nrinv\_vistas\_2002g\_2453908.txt  
MAR (Marine/Air/Rail) - marinv\_vistas\_2002g\_2453908.txt

#### *Onroad*

M6-Input files – vistas\_baseg02\_m6\_inputs\_20Jul06.tar  
VMT/Speed – mbinv\_vistas\_02g\_vmt\_12jun06.txt Base G generated by C. Loomis (Alpine Geophysics) July 2006 for VISTAS states

#### *Point*

Annual EGU - egu\_ptinv\_vistas\_2002typ\_baseg\_2453909.txt

Annual Non-EGU - negu\_ptinv\_vistas\_2002typ\_baseg\_2453909.txt  
Hour-specific - pthour\_2002typ\_baseg\_{MMM}\_28jun2006.ems  
Month Dependant Hi-Fire - ptinv\_fires\_{MM}\_typ.vistas.ida (vr.BaseD)  
Hour-specific plume-rise - pthour\_fires\_{MM}\_typ.vistas.ida (vr.Jan05)

### 1.3.3. 2018 “On the Books/On the Way” (OTB/OTW) Emission Inventory

The emissions processing was conducted in a very similar manner for future projection years relative to the 2002 base year, but with the projected inventories. The future years “on the books/on the way” (OTB/OTW) emissions inventories account for emission control regulations already in place as well as emission control regulations that are final but have not yet been fully implemented and are likely to achieve additional reductions by 2009. Processing occurred during January of 2007.

#### CANADA:

All source categories except that of point sources were obtained from USEPA’s ftp site [ftp.epa.gov/EmisInventory/canada\\_2000inventory](ftp.epa.gov/EmisInventory/canada_2000inventory).

No county/province-specific correction factors were available for Canada. Hence, for Area, Onroad, and Nonroad, a “divide-by-four” correction for SCCs listed at [www.epa.gov/ttn/chief/emch/invent/index.html#dust](http://www.epa.gov/ttn/chief/emch/invent/index.html#dust) were adjusted with FORTRAN prior to running SMOKE.

#### *Area*

AS2020\_SMOKEready.txt

#### *Nonroad*

NONROAD2020\_SMOKEready.txt

#### *Onroad*

MOBILE2020\_SMOKEready.txt

#### *Point*

Non-EGUs -- ptinv\_canada\_2002\_negu.ida same as 2002 BaseB4

EGUs -- egu062idasum\_cp.txt and egu062idawin\_cp.txt

- U.S.-Canada 2020 Canadian Base Case -- Scenario #062

- Original IPM parsed file (based on NEEDS 2.1.6)

- Annualized emissions were calculated by combining summer and winter with FORTRAN to create and use ptinv\_canada\_2020\_egu.ida

#### CENRAP

County-specific correction factors were applied to take into account fugitive dust for SCCs listed at: [www.epa.gov/ttn/chief/emch/invent/index.html#dust](http://www.epa.gov/ttn/chief/emch/invent/index.html#dust); the correction factor file gentl.xportfrac.txt was obtained from USEPA’s CAIR NODA ftp site <http://www.airmodelingftp.com> (password protected); this adjustment was performed using the SMOKE programs cntlmat and grwinven to generate an adjusted IDA inventory file used for subsequent SMOKE processing.

*Area*

arinv\_nodust\_ref\_cenrap2002-2018\_081705.ida

fdinv.cenrap2002\_2018\_wfac.ida

nh3inv.annual.cenrap2002\_2018.ida

nh3inv.cenrap2002\_2018.ann.ida

nh3inv.misc\_annual.cenrap2002\_2018.ida

nh3inv.misc.cenrap2002\_2018.ann.ida

rdinv.cenrap2002\_2018.wfac.ida

- To save SMOKE processing, all area source inventories were processed with area sources from the MWRPO and VISTAS.

*Nonroad*

cenrap\_2018\_fnl\_nrd\_emissions091506.txt

nrinv\_cenrap\_2018\_mod\_w\_mrpok\_15sep2006.txt

nrinv\_cenrap\_2018\_mod\_w\_mrpok\_14sep2006.txt

- To save SMOKE processing, all nonroad source inventories were processed with nonroad sources from the MWRPO and VISTAS.
- “mod\_w\_mrpok” files include both MRPO and CENRAP sources

*Onroad*

M6List – BaseG\_2018\_mobile\_m6.tar.gz or in the sub-directory input

VMT – cenrap2018\_vmt\_072005.ida

- [bronze.nescaum.org/Private/junghun/CMV\\_mobile/](http://bronze.nescaum.org/Private/junghun/CMV_mobile/)
- To save SMOKE processing all mobile source inventories were processed with mobile sources from the MWRPO and VISTAS.

*Point*

EGU – ptinv\_egu\_2018\_cenrap\_11sep2006.txt

Non-EGU – ptinv\_negu\_cenrap2018\_25aug2006\_xfact.ida

- “\_xfact” version is the adjusted version for fugitive dust as described

- Obtained from Alpine Geophysics contracted by MARAMA

[ftp.alpinegeophysics.com/Work\\_Order\\_1/Task\\_2\\_BaseK\\_2018\](ftp://alpinegeophysics.com/Work_Order_1/Task_2_BaseK_2018/)

(12-Sep06) – username: marama, password: emisdata

- Used IPM2.1.9 without adjustments

**MANE-VU:**

MARAMA developed the future year OTB/OTW emissions inventories for non-EGU point, area, and nonroad sources accounting for the OTB/OTW inventories, based on the MANE-VU 2002 Version 3 inventory. (MARAMA, 2007b).

County-specific correction factors were applied to take into account fugitive dust for SCCs listed at: [www.epa.gov/ttn/chief/emch/invent/index.html#dust](http://www.epa.gov/ttn/chief/emch/invent/index.html#dust); the factors were obtained from [www.epa.gov/ttn/chief/emch/invent/transportfractions.xls](http://www.epa.gov/ttn/chief/emch/invent/transportfractions.xls); this adjustment was performed outside of SMOKE with FORTRAN for area and point sources.



*Area*

MANEVU\_OTB2018\_Area\_IDA3V\_2.txt (Nov 2006)

[ftp.marama.org/2009,12,18 OTB Version 3.1/AREA/Area IDA files/](ftp.marama.org/2009,12,18_OTB_Version_3.1/AREA/Area_IDA_files/)

Inventory Development Notes:

- After the release of version 3, Massachusetts revised their inventory for heating oil emissions due to two changes: (1) SO<sub>2</sub> emission factors were adjusted for the sulfur content from 1.0 to 0.03; (2) use of the latest DOE-EIA 2002 fuel use data instead of the previous version from 2001. These two changes significantly altered the 2002 SO<sub>2</sub> emissions for area source heating oil combustion. The revised version was used to do the projections.
- The District of Columbia discovered a gross error in the 2002 residential, non-residential, and roadway construction sources. It requested that for PM10-PRIM and PM25-PRIM for SCCs 23110X0000, different values be used for the 2002 base year and as the basis for the 2009/2012/2018 projections

*Nonroad*

MANEVU\_OTB2018\_NR\_IDAV3\_1.txt (Oct 2006)

[ftp.marama.org/2009,12,18 OTB Version 3.1/NONROAD/NONROAD IDA Files v3.1/](ftp.marama.org/2009,12,18_OTB_Version_3.1/NONROAD/NONROAD_IDA_Files_v3.1/)

- MACTEC utilized the NMIM2005 model to develop projections for nonroad engines included in the NONROAD2005 model. Projected emission estimates were calculated using NMIM default data. Prior to starting the NMIM2005 runs, MACTEC confirmed with USEPA's Office of Transportation and Air Quality (OTAQ) that the database used for fuel sulfur content, gas Reid Vapor Pressure (RVP) values, and reformulated fuel programs was current and up to date for the MANE-VU region.
- Emission calculations were made at the monthly level and consolidated to provide annual values. This enabled monthly temperatures and changes in reformulated gas to be captured by the program.

*Onroad*

ManevuFutureM6\_v2\_20051103\_wjh.tar.gz

- [bronze.nescaum.org/Private/junghun/CMV\\_mobile/](http://bronze.nescaum.org/Private/junghun/CMV_mobile/)

*Point*

Non-EGU: MANEVU2018NonEGUV3\_0\_Point\_IDA.txt (Jun 2006)

[ftp.marama.org/2009,12,18 OTB Version 3.1/non-EGU Point/nonEGU IDA Files/](ftp.marama.org/2009,12,18_OTB_Version_3.1/non-EGU_Point/nonEGU_IDA_Files/)

**MRPO:**

Alpine Geophysics was contracted by MARAMA to convert MRPO BaseK NIF formatted inventory to IDA a SMOKE ready inventory format. Files can be found at [ftp.alpinegeophysics.com/Work\\_Order\\_1/Task\\_2\\_BaseK\\_2018/](ftp.alpinegeophysics.com/Work_Order_1/Task_2_BaseK_2018/) – username: marama or on MARAMA's ftp site <ftp.marama.org> – username: mane-vu, password: exchange. Obtained between April and June 2006.

Where data sets are month dependant, {MMM} represents jan, feb, mar, etc. and {MM} is 01, 02, 03, etc.

*Area*

Other Area Sources – arinv\_other\_mrpok\_2018\_22aug2006.txt  
Agricultural Ammonia – arinv\_nh3\_2018\_mrpok\_{MMM}\_22aug2006.txt  
Wind Erosion Fug-Dust Base F – dustinv\_mrpo\_basef\_2018\_29jul05.ida  
- In order to save time, all area source categories were processed simultaneously for CENRAP, MRPO and VISTAS.

*Nonroad*

arinv\_mar\_mrpok\_2018\_22aug2006.txt  
nrinv\_2018\_mrpok\_apr\_22aug2006.txt  
- To save SMOKE processing all nonroad source inventories were processed with nonroad sources from the MWRPO and VISTAS.

*On-road*

M6LIST – .in files can be found in the sub-directory input  
VMT - mbinv\_vistas+mrpo\_18g\_vmt\_12jun06.ida  
- [bronze.nescaum.org/Private/junghun/CMV\\_mobile/](http://bronze.nescaum.org/Private/junghun/CMV_mobile/)  
- To save SMOKE processing all mobile source inventories were processed with mobile sources from the CENRAP and VISTAS.

*Point*

EGU: ptinv\_egu\_2018\_mrpok\_11sep006.txt  
Non-EGU: ptinv\_negu\_2018\_mrpok\_23aug2006\_xfact.txt  
- “\_xfact” version is the adjusted version for fugitive dust as described  
- Used IPM2.1.9 includes post-IPM adjustments

**VISTAS:**

The header lines of these files indicate that the fugitive dust correction was already applied, so no further correction was performed. Where data sets are month dependant {MMM} is jan, feb, mar, etc. and {MM} is 1, 2, 3, etc.

*Area*

arinv\_vistas\_2018g\_2453922\_w\_pmfac.txt  
- To save SMOKE processing, area source inventories were processed with area sources from the MWRPO and CENRAP.  
Lo-Fire: area\_level\_fires\_vistas2018\_baseg.ida

*Nonroad*

marinv\_vistas\_2018g\_2453972.txt  
nrinv\_vistas\_2018g\_2453908.txt  
- To save SMOKE processing, all nonroad source inventories were processed with nonroad sources from the MWRPO and VISTAS.

*Onroad*

M6LIST – .in files can be found in the sub-directory input  
VMT - mbinv\_vistas+mrpo\_18g\_vmt\_12jun06.ida  
- [bronze.nescaum.org/Private/junghun/CMV\\_mobile/](http://bronze.nescaum.org/Private/junghun/CMV_mobile/)  
- Based off Base G inventory BaseG\_2018\_mobile\_m6.tar and Baseg\_2018\_mv\_vmt.tar

- To save SMOKE processing all mobile source inventories where processed with mobile sources from the MWRPO and CENRAP.

*Point*

EGU: egu\_18\_vistas\_g\_2453993.txt  
Non-EGU: negu\_ptinv\_vistas\_2018\_baseg\_2453957\_xfact.txt  
Hourly: pthour\_2018\_baseg\_{MMM}\_2453993.ems  
Hi-Fire: ptinv.plume.vistasbaseg18.{MM}.ida  
ptday.plume.vistasbaseg18.{MM}.ida  
Hi-Fire hourly plume-rise: pthour.plume.vistasbaseg18.{MM}.ida  
- Used IPM2.1.9 includes post-IPM adjustments

### 1.3.4. 2018 “Beyond on the Way” (BOTW) Emission Inventory

The emissions processing for a “beyond on the way” (BOTW) inventory was conducted in a very similar manner to other future projection scenarios relative to the 2002 base year, but with different inventories. These inventories were based on additional control measures that the MANE-VU states are considering for attaining various regional haze, ozone, and PM<sub>2.5</sub> National Ambient Air Quality Standards (NAAQS) goals. The resulting CMAQ simulation (BOTW) is the same run that has been used by the OTC Modeling Committee for projecting the long-term benefits of regional ozone control programs and was conducted on the Integrated SIP Modeling Platform by the five regional modeling centers.

**CANADA:**

Same as 2018OTB/OTW

**CENRAP:**

Same as 2018OTB/OTW

**MANE-VU:**

MARAMA produced the Nonroad, Area and Non-EGU projections for 2018 under different scenarios (MARAMA, 2007b).

The EGU inventories were developed by ICF Consulting for the RPOS using the Integrated Planning Model (IPM version 2.1.9). Alpine Geophysics processed the results into IDA inventory format for MANE-VU.

Fugitive dust correction was applied as county-specific correction factors for SCCs listed at <http://www.epa.gov/ttn/chief/emch/invent/index.html#dust>; the correction factors were obtained from <http://www.epa.gov/ttn/chief/emch/invent/transportfractions.xls>; this adjustment was performed outside of SMOKE with FORTRAN.

*Area*

manevu\_botw2018\_area\_IDAV3\_2\_xfact.txt

- “\_xfact” version is the adjusted version for fugitive dust as described
- Nonroad*  
nrinv\_manevu\_18\_19oct05.txt
- Onroad*  
Same as 2018 OTB/OTW
- Point*  
EGU: ptinv\_egu\_2018\_manevu\_11sep2006.txt  
- [bronze.nescaum.org/Private/junghun/POINT\\_2018BOTW\\_B4](https://bronze.nescaum.org/Private/junghun/POINT_2018BOTW_B4)  
Non-Fossil 2009: manevu\_nonfossil\_2009\_19sept2006.txt  
- Alpines ftp – marama -- Work\_Order\_1/Task\_4\_2009\_Nonfossil/  
Non-EGU: MANEVU\_BOTW2018\_nonegu\_IDAV3\_1\_xfact.txt  
- “\_xfact” version is the adjusted version for fugitive dust as described

**MRPO:**

Same as 2018OTB/OTW

**VISTAS:**

Same as 2018OTB/OTW

### **1.3.5. 2018 Sulfate Tagging (BOTW) Emission Inventory**

An additional BOTW inventory was prepared specifically to allow for a state-by-state tagging run with REMSAD and a sensitivity run with the CMAQ Particle and Precursor Tagging Methodology (CMAQ-PPTM) system. The inventory used for these runs was essentially the same inventory described for the regular BOTW scenario; however, in order to process this inventory for use with the tagging methodology, various components of the inventory were processed separately and identified as a specific “type” of sulfur dioxide so that it could be tracked through the system.

The state-by-state tagging used the identical inventory to the 2018 BOTW inventory described in the previous section. It was processed such that each state’s SO<sub>2</sub> emissions were separately tagged requiring three separate REMSAD simulations to accommodate 29 eastern states, Canada, and the boundaries.

A separate CMAQ-PPTM simulation was conducted using the same inventory, but modified to reflect additional controls due to a number of strategies to be tested. The specific scenarios that were tracked by this run include:

1. OTB/OTW
2. S-1 fuel oil strategy (500 ppm distillate; 0.5% fuel-sulfur content by weight for No. 6 residual oil; 0.25% fuel-sulfur content by weight for No. 4 residual oil.)
3. S-2 fuel oil strategy (15 ppm distillate; 0.5% fuel-sulfur content by weight for No. 6 residual oil; 0.25% fuel-sulfur content by weight for No. 4 residual oil.)
4. BART (approximately 35,000 tons of SO<sub>2</sub> reductions at specific facilities identified by state survey of permitting staff)

5. “167 Stack” Strategy; (90% control on all EGUs in the 167 stacks identified as having the most significant impact on MANE-VU Class I areas)

Two additional tags were required to account for corrections to the assumed baseline fuel sulfur content of distillate and to add EGU emissions reductions back into the system as a result of potential permit trading in response to the 167 stack strategy. These strategies are described in more detail in Chapter 4.

## 1.4. Model Platforms

Currently two regional-scale air quality models have been evaluated and used by NESCAUM to perform air quality simulations. These are the Community Multi-scale Air Quality modeling system (CMAQ; Byun and Ching, 1999) and the Regional Modeling System for Aerosols and Deposition (REMSAD; SAI, 2002). CMAQ was developed by USEPA, while REMSAD was developed by ICF Consulting/Systems Applications International (ICF/SAI) with USEPA support. CMAQ has undergone extensive community development and peer review (Amar et al., 2005) and has been successfully used in a number of regional air quality studies (Bell and Ellis, 2003; Hogrefe et al., 2004; Jimenez and Baldasano, 2004; Mao and Talbot, 2003; Mebust et al., 2003). REMSAD has also been peer reviewed (Seigneur et al., 1999) and used by USEPA for regulatory applications ([www.epa.gov/otaq/regs/hd2007/frm/r00028.pdf](http://www.epa.gov/otaq/regs/hd2007/frm/r00028.pdf) and [www.epa.gov/clearskies/air\\_quality\\_tech.html](http://www.epa.gov/clearskies/air_quality_tech.html)) to study ambient concentrations and deposition of sulfate and other PM species.

### 1.4.1. CMAQ

The CMAQ modeling system is a three-dimensional Eulerian model that incorporates output fields from emissions and meteorological modeling systems and several other data sources through special interface processors into the CMAQ Chemical Transport Model (CCTM). The CCTM then performs chemical transport modeling for multiple pollutants on multiple scales. With this structure, CMAQ retains the flexibility to substitute other emissions processing systems and meteorological models. CMAQ is designed to provide an air quality modeling system with a “one atmosphere” capability containing state-of-science parameterizations of atmospheric processes affecting transport, transformation, and deposition of such pollutants as ozone, particulate matter, airborne toxics, and acidic and nutrient pollutant species (Byun and Ching, 1999).

To date, MANE-VU SIP modeling on both 36 km and 12 km domains used CMAQv4.5.1, IOAPI V2.2 and NETCDF V3.5 libraries. The CMAQ model is configured with the Carbon Bond IV mechanism (Gery et al., 1989) using the EBI solver for gas phase chemistry rather than the SAPRC-99 mechanism due to better computing efficiency with no significant model performance differences for ozone and PM as compared to observations.

NY DEC has completed annual 2002 CMAQ modeling on the 36 km domain to provide dynamic boundary conditions for all simulations performed on the 12 km domain. Three-hourly boundary conditions for the outer domain were derived from an annual model run performed by researchers at Harvard University using the GEOS-

CHEM global chemistry transport model (Park et al., 2004). Model resolution was species dependent at either 4° latitude by 5° longitude or 2° by 2.5°.

Five modeling centers are working collectively to maximize efficiency of computing resources in MANE-VU for SIP modeling. These centers include NY DEC, NJ DEP/Rutgers, VA DEQ, UMD, and NESCAUM. Annual CMAQ modeling on the 12 km domain is divided into five periods. UMD is responsible for the period from January 1 to February 28; NJ DEP/Rutgers are responsible for the period from March 1 to May 14; NY DEC is responsible for the period from May 15 to September 30; VA DEQ is responsible for the period from October 1 to October 31; and NESCAUM is responsible for the period from November 1 to December 31. Each period uses a 15 day spin up run to minimize the impact of the default initial concentration fields. Each group performs CMAQ simulations on its period for a series of scenarios including 2002 Base Case, 2009 Base Case, 2018 Base Case, 2009 Control Case, and 2018 Control Case. All scenarios adopt the same meteorological field (2002) and boundary conditions, varying only emission inputs. To ensure consistency, a benchmark test was conducted by each modeling group.

In addition to the annual simulations conducted with CMAQ by the five modeling centers, NESCAUM has conducted limited sensitivity analysis of several control measures using the beta version of CMAQ with the particle and precursor tagging methodology (CMAQ-PPTM) (ICF, 2006). These runs and their results are described separately in Chapter 5.

#### **1.4.2. REMSAD**

The Regional Modeling System for Aerosols and Deposition (REMSAD) is a three-dimensional Eulerian model designed to support a better understanding of the distributions, sources, and removal processes relevant to fine particles and other airborne pollutants. It calculates the concentrations of both inert and chemically reactive pollutants by simulating the physical and chemical processes in the atmosphere that affect pollutant concentrations. The basis for the model is the atmospheric diffusion equation representing a mass balance in which all of the relevant emissions, transport, diffusion, chemical reactions, and removal processes are expressed in mathematical terms. The REMSAD model performs a four-step solution procedure: emissions, horizontal advection/diffusion, vertical advection/diffusion and deposition, and chemical transformations during one half of each advective time step, and then reverses the order for the following half time step. The maximum advective time step for stability is a function of the grid size and the maximum wind velocity or horizontal diffusion coefficient. Vertical diffusion is solved on fractions of the advective time step to keep their individual numerical schemes stable.

REMSAD uses a flexible horizontal and vertical coordinate system with nested-grid capabilities and user-defined vertical layers. It accepts a geodetic (latitude/longitude) horizontal coordinate system or a Cartesian horizontal coordinate system measured in kilometers. REMSAD uses a simplified version of CB-IV chemistry mechanism that is based on a reduction in the number of different organic compound species and also includes radical-radical termination reactions. The organic portion of the chemistry is based on three primary organic compound species and one carbonyl species.

The model parameterizes aerosol chemistry and dynamics for PM and calculates secondary organic aerosol (SOA) yields from emitted hydrocarbons. REMSAD V7.12 and newer versions have capabilities that allow model tags of sulfur species (up to 11 tags), nitrogen (4 tags), mercury (up to 24 tags), and cadmium (up to 10 tags) to identify the impact of specific tagged species.

Unlike CMAQ, REMSAD provides no choice of chemical and physical mechanisms. The modeling configuration for future work with REMSAD will be similar to the CMAQ modeling setup. The initial concentrations and boundary conditions will be generated using the same concentration profile used by CMAQ. The approach is to use similar model inputs to allow comparison of REMSAD with CMAQ to better understand differences between the two models. Due to the simplified chemistry mechanism, REMSAD may not simulate atmospheric processes as well as CMAQ. However, advantages such as the tagging feature for sulfur, more efficient modeling, and reasonable correspondence with measurements for many species, make REMSAD an important source apportionment tool for MANE-VU.

In our present REMSAD modeling, we use the same 12 km domain (i.e., domain2) presented in the previous section for three full annual runs for the base year (2002). Multiple runs are necessary to permit tagging of sulfur emissions for all of the states in the domain, Canada, and the boundary conditions.

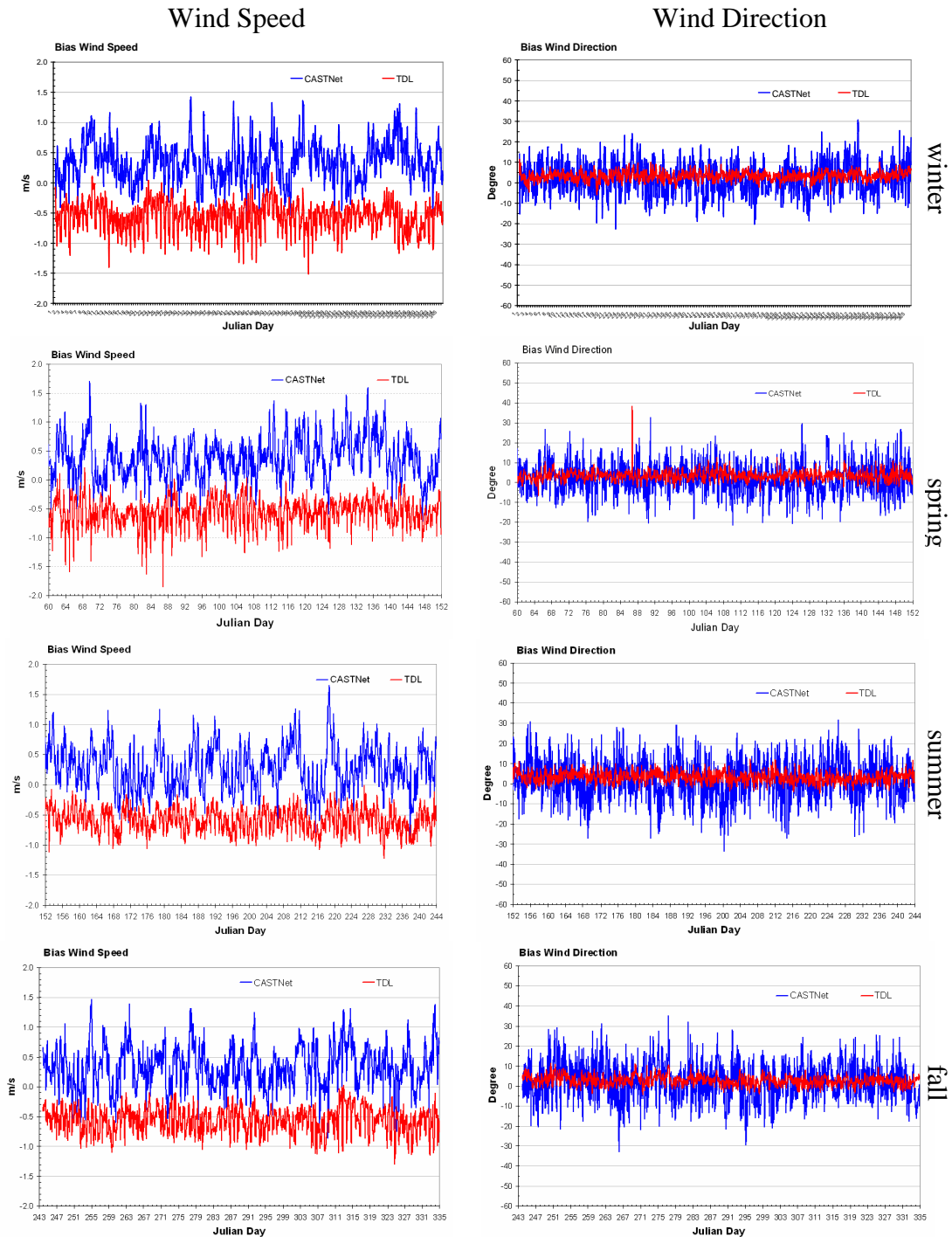
## 2. PERFORMANCE EVALUATION

### 2.1. Meteorological Evaluation

The 2002 annual 12 km resolution meteorological fields generated by MM5 have been evaluated by NESCAUM using ENVIRON's METSTAT program. Model results of surface wind speed, wind direction, temperature, and humidity are paired with measurements from EPA's Clean Air Status and Trends Network (CASTNET) and National Center for Atmospheric Research's Techniques Data Laboratory (TDL) network by hour and by location and then statistically compared. Figure 2-1 presents domain-wide average hourly bias of wind speed (left panel) and wind direction (right panel) between the MM5 results and two sets of measurement for every season in 2002 (winter includes Jan., Feb., and Dec.; spring includes Mar., Apr., and May; summer includes Jun., Jul., and Aug.; fall includes Sep., Oct., and Nov.). It shows that MM5 capably predicts wind speed with reasonably small bias and equal consistency. Within the domain, MM5 tends to overestimate wind speed (hourly bias up to 1.7 m/s) at CASTNET sites, and underestimate wind speed (hourly bias up to -1.85 m/s) at TDL sites. Seasonal mean bias of MM5 wind speed to CASTNET wind speed is ~0.3 to 0.4 m/s, while seasonal mean bias of MM5 wind speed to TDL wind speed is about ~-0.5 to -0.6 m/s. No significant seasonal variation on this wind speed bias is observed. MM5 prediction of wind direction shows a larger variation with CASTNET wind direction (hourly bias from ~-30 degree to ~30 degree) than with TDL wind direction (hourly bias from ~-5 degree to ~10 degree). However, seasonal mean bias of MM5 wind direction to CASTNET wind direction (~2 degree) is smaller than seasonal mean bias of MM5 wind direction to TDL wind direction (~3 degree) because the large variation of positive and negative bias offset each other.



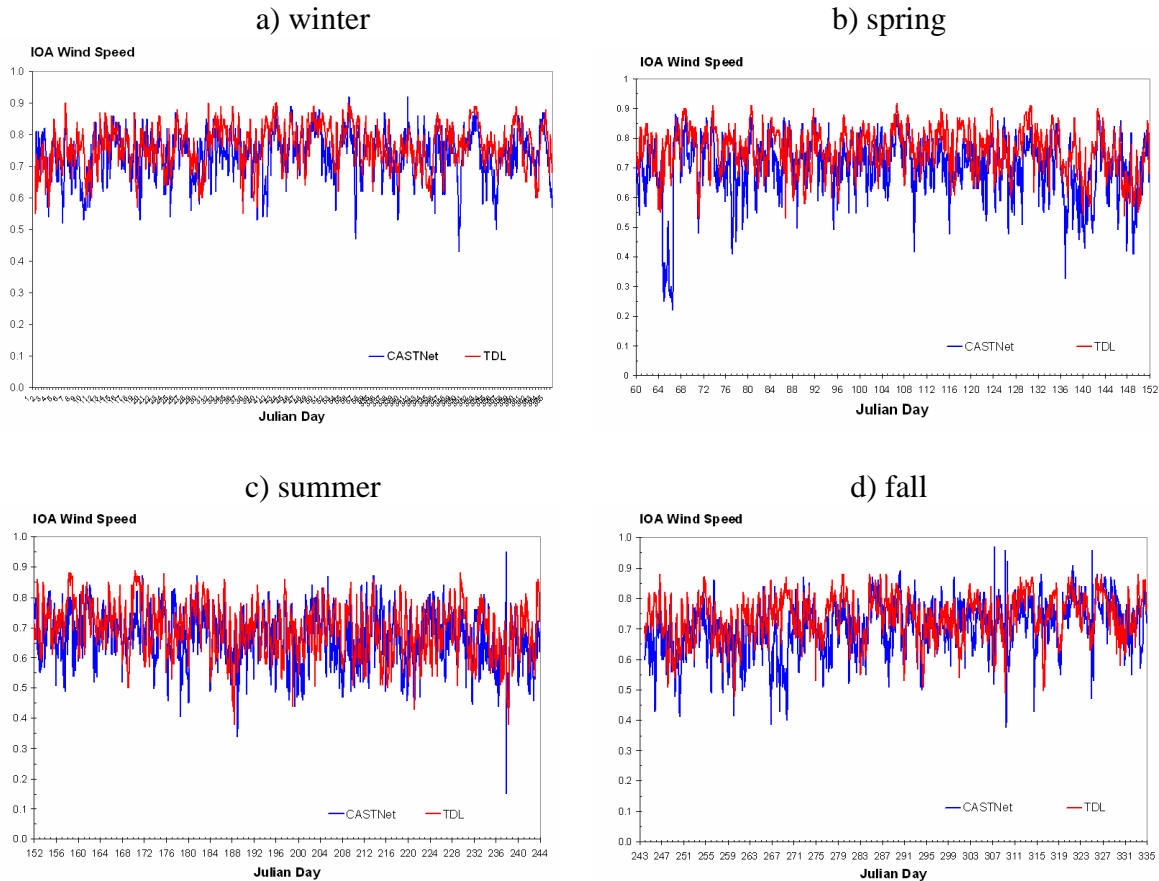
**Figure 2-1. 2002 seasonal average hourly bias of wind speed and direction**



Index of Agreement (IOA) is a statistical measure of difference between prediction and measurement, calculated as a ratio of Root Mean Square Error to the sum of the difference between prediction and mean observation and difference between observation and mean observation. IOA varies from 0 to 1, with a value of 1 indicating

the perfect agreement between model prediction and observation, and a value larger than 0.5 IOA indicating acceptable model performance. Domain-wide average hourly IOAs of wind speed are presented in Figure 2-2. MM5 predictions of wind speed values are in good agreement (IOA from ~0.5 to ~0.9) to both CASTNET data and TDL data with similar IOA variation. Seasonal mean values of IOA are ~ 0.7. No particular season of the year stands out in terms of its agreement with measurement.

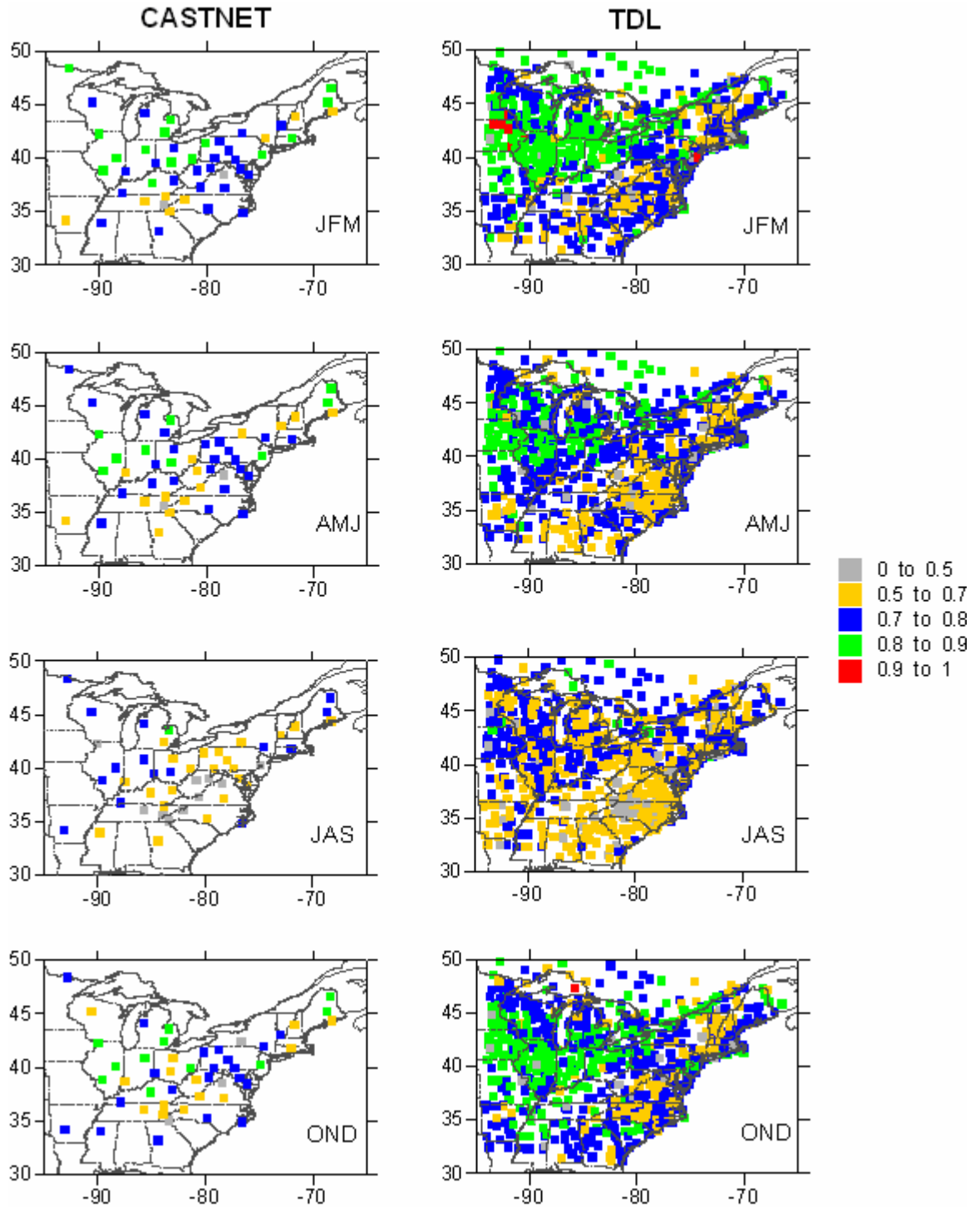
**Figure 2-2. 2002 seasonal hourly average index of agreement for wind speed**



Quarterly correlation coefficients in Figure 2-3 show good MM5 performance on hourly wind speed for each observation site. MM5 predictions exhibit similar spatial patterns of correlation with CASTNET (left panel) and TDL (right panel) measurements – stronger correlation in north than in south. Over the year, the model has stronger correlation in the 1<sup>st</sup> quarter (Jan., Feb., Mar., top 1<sup>st</sup> row), 2<sup>nd</sup> quarter (Apr., May, Jun., 2<sup>nd</sup> row) and 4<sup>th</sup> quarter (Oct., Nov., Dec., bottom row) than it does in the 3<sup>rd</sup> quarter (Jun., Jul., Aug., 3<sup>rd</sup> row), with an average of 0.1 correlation coefficient difference. Generally, MM5 predictions and measurements have strongest correlation (0.8~0.9) within the midwestern U.S., strong correlation (0.7~0.8) within the northeastern U.S. and along the coastline, and acceptable correlation (0.5~0.7) within the southern U.S. and interior portions of the U.S. East Coast. MM5 predictions consistently show very similar spatial patterns and temporal variations for wind direction (as shown in Figure 2-4) and

wind speed. There is strong correlation ( $>0.7$ ) between prediction and measurement for wind direction at most of sites.

**Figure 2-3. Quarterly correlation coefficient (r) of hourly wind speed between modeling and measurement for each observation site in 2002**



**Figure 2-4. Quarterly correlation coefficient ( $r$ ) of hourly wind direction between modeling and measurement for each observation site in 2002**

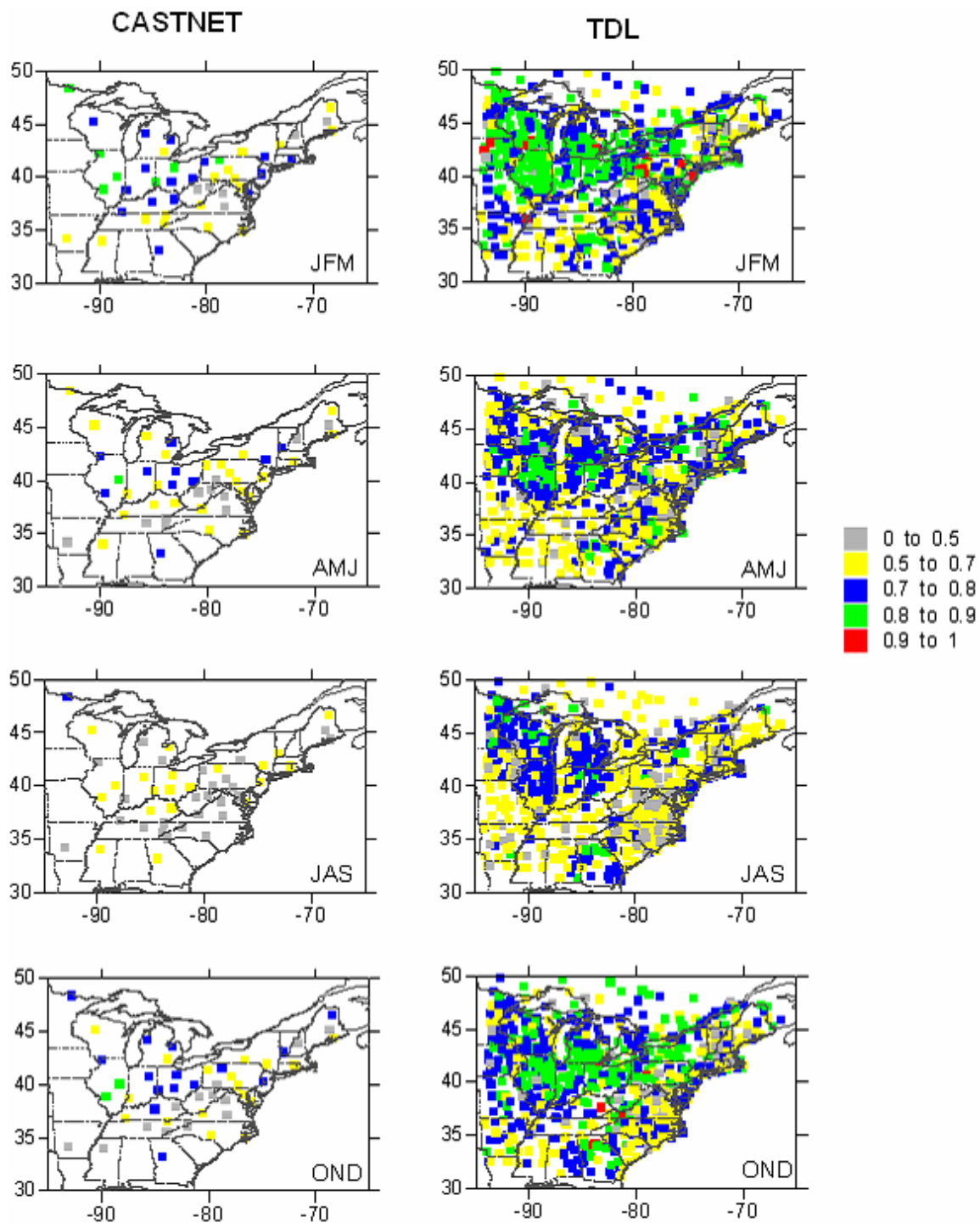


Figure 2-5 presents domain-wide average hourly bias of surface temperature between MM5 results and CASTNET and TDL for every season. MM5 tends to underestimate temperature at TDL sites throughout the year and at CASTNET sites for non-ozone season months. The seasonal mean temperature bias values are from  $\sim -1$  K (winter) to  $\sim -0.3$  K (summer) for TDL sites and  $\sim -1$  K (winter) to  $\sim 0.5$  K (summer) for CASTNET sites. MM5 predictions show significantly larger variations of temperature bias at CASTNET sites ( $-4$  K  $\sim 9$  K) than at TDL sites ( $-3$  K  $\sim 1$  K).

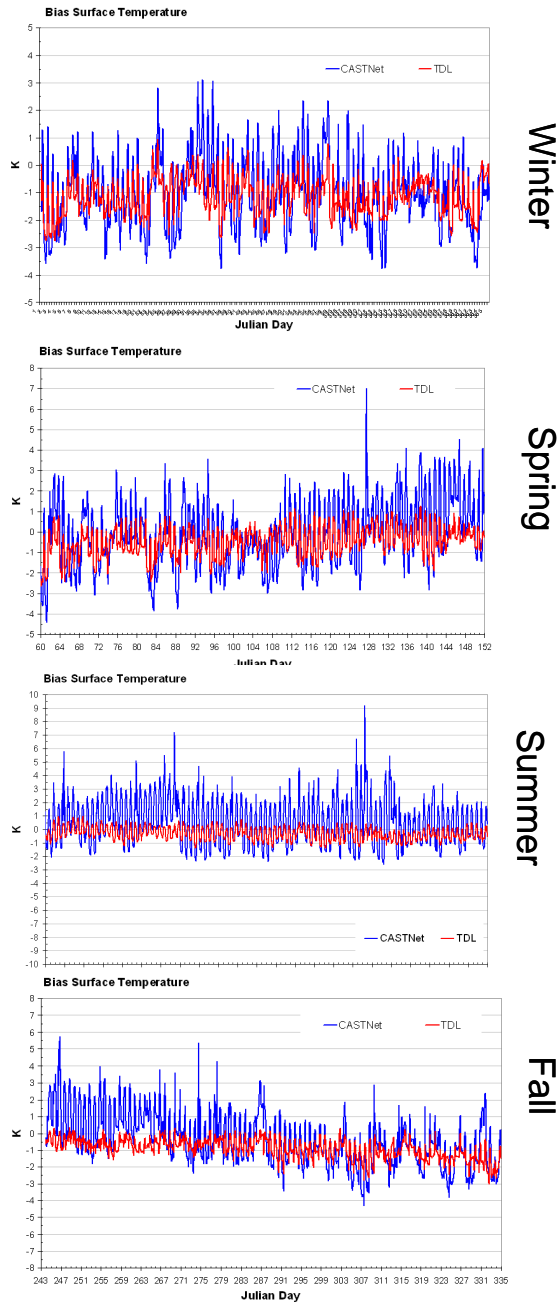
Domain-wide average hourly IOA values of temperature are shown in Figure 2-6. Model predicted temperatures have significantly better agreement with TDL data (average IOA as  $\sim 0.95$ ) than with CASTNET data (average IOA as  $\sim 0.85$ ), although both indicate accurate MM5 performance on temperature.

Figure 2-7 shows the spatial distribution of quarterly correlation coefficients between MM5 prediction and measurement of surface temperature. It reveals very strong correlation ( $>0.95$ ) over most of the domain for TDL data, with strong correlation ( $>0.8$ ) for the majority of CASTNET sites. No spatial patterns or quarterly variations are apparent. MM5 performs consistently well throughout the year and the domain.

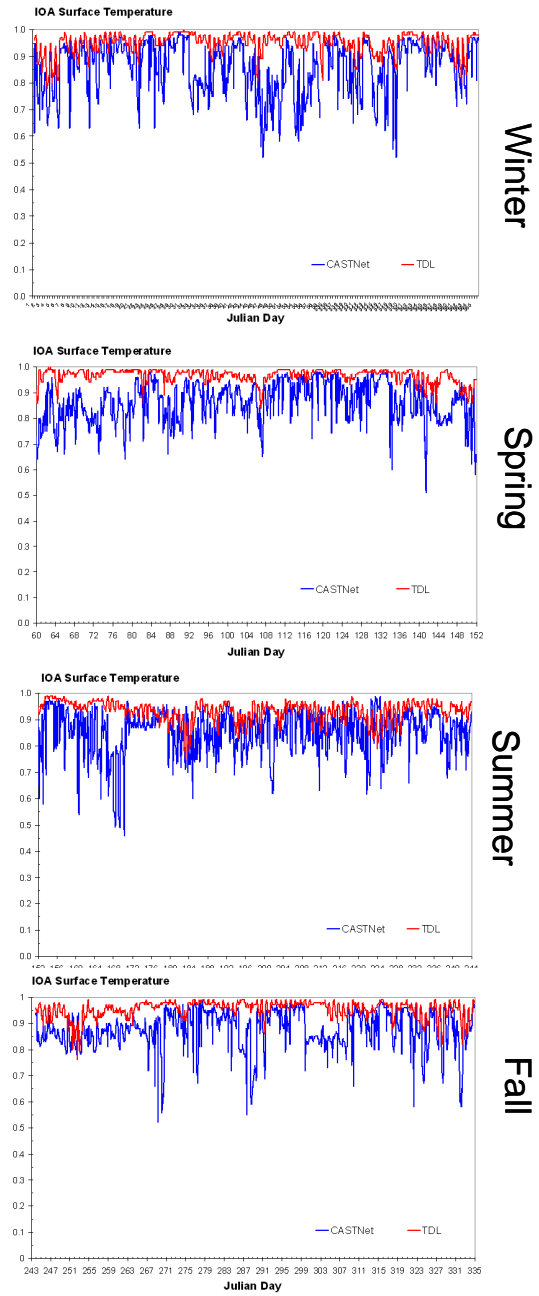
The TDL network also provides humidity measurements. Comparison between MM5 prediction of hourly surface humidity and TDL measurement are presented in Figure 2-8. MM5 captures the general trend of humidity change. It tends to underestimate humidity during the ozone season (seasonal mean bias as  $\sim 0.35$ g/kg), and overestimate it during the rest of year (seasonal mean bias range from  $\sim 0.17$  to  $\sim 0.4$ ). Domain-wide average hourly humidity bias shows a large diurnal variation, as much as 2g/kg. Domain-wide average hourly IOA in Figure 2-9 shows that MM5 predicted humidity values are in good agreement with TDL data (average IOA as  $\sim 0.9$ ) throughout year. Spatial distribution of quarterly correlation coefficient in Figure 2-10 shows a distinctive spatial pattern and temporal trend. MM5 results have stronger correlation to TDL data in the northern US than in the Southern US. Through the year, the strongest correlation between MM5 prediction and measurement occurs in the 4th Quarter ( $>0.95$ ), followed by the 1st and 2nd Quarters, and finally, the 3rd Quarter, which shows the weakest correlation ( $0.5\sim 0.9$ ).

Based on this statistical comparison between model prediction and data from two networks for wind speed, wind direction, temperature, and humidity, MM5 performs well. An acceptable small bias, high index of agreement and strong correlation with CASTNET and TDL data are shown. Since MM5 uses TDL data for nudging, the model predictions are in better agreement with TDL data than with CASTNET data. MM5 performs better in Midwest and Northeast than Southeastern US.

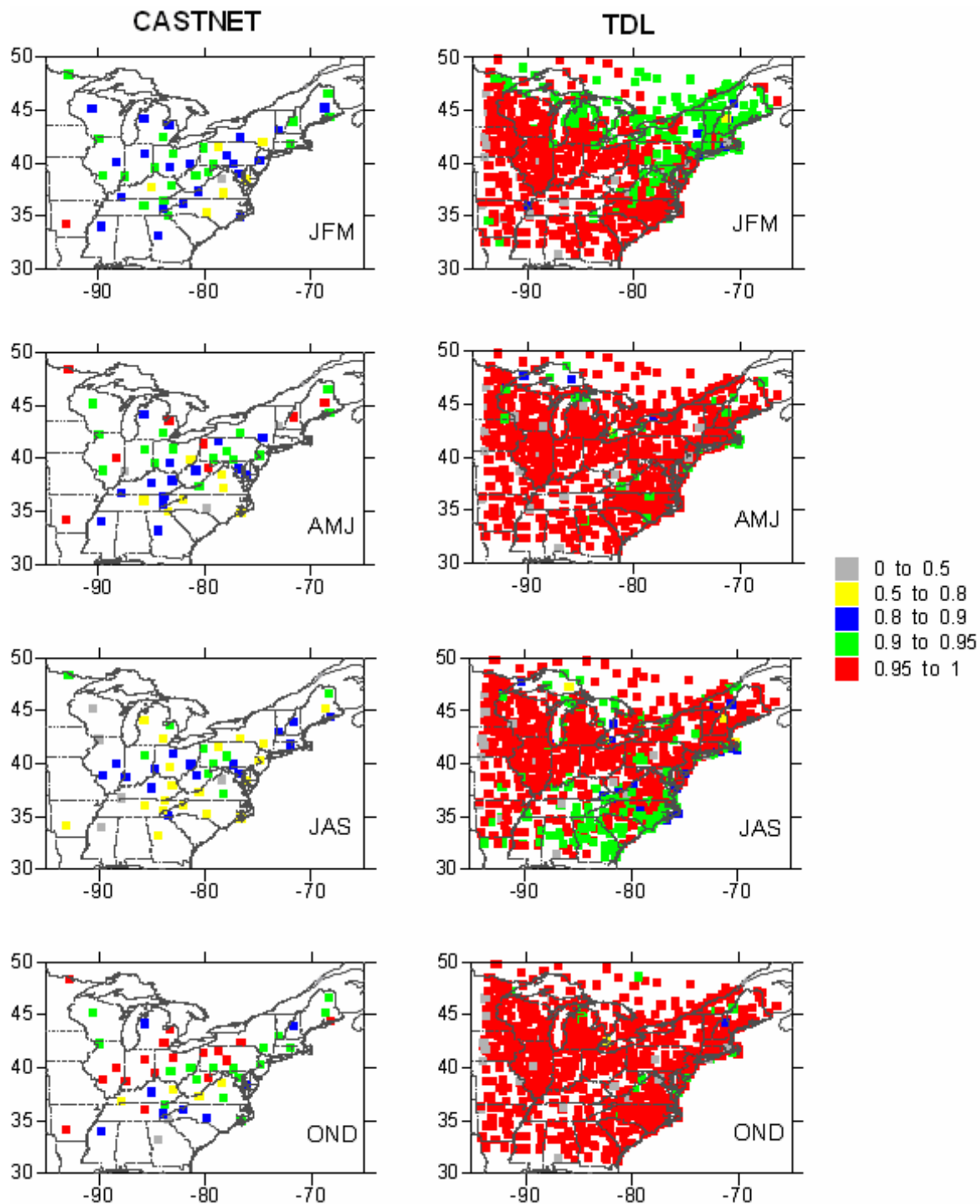
**Figure 2-5. 2002 Seasonal Hourly Average Bias of Temperature**



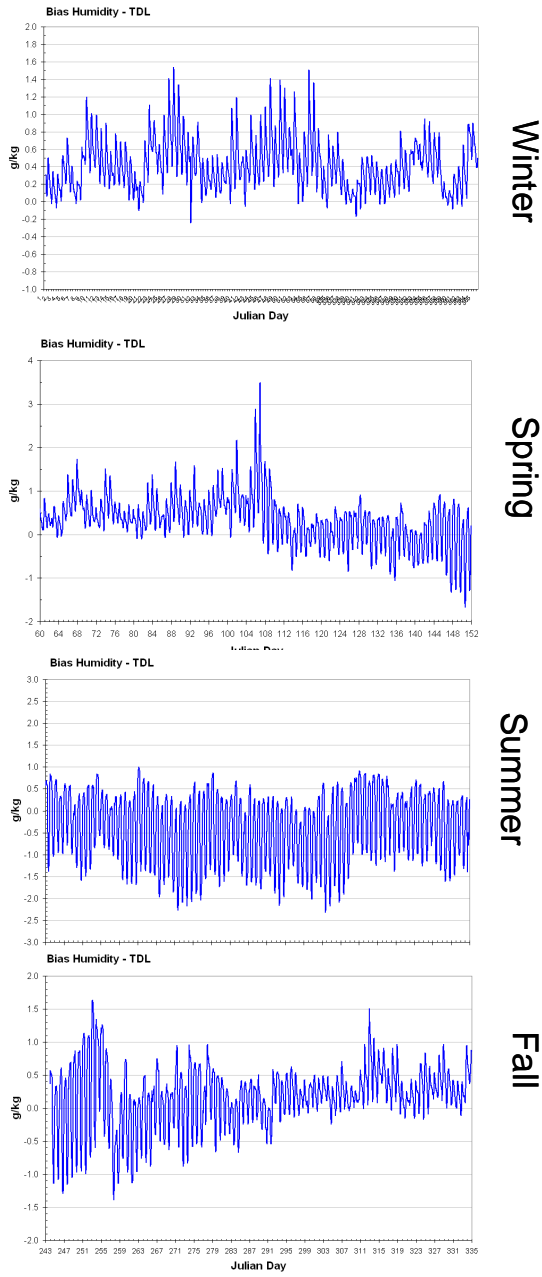
**Figure 2-6. 2002 Seasonal Hourly Average Index of Agreement**



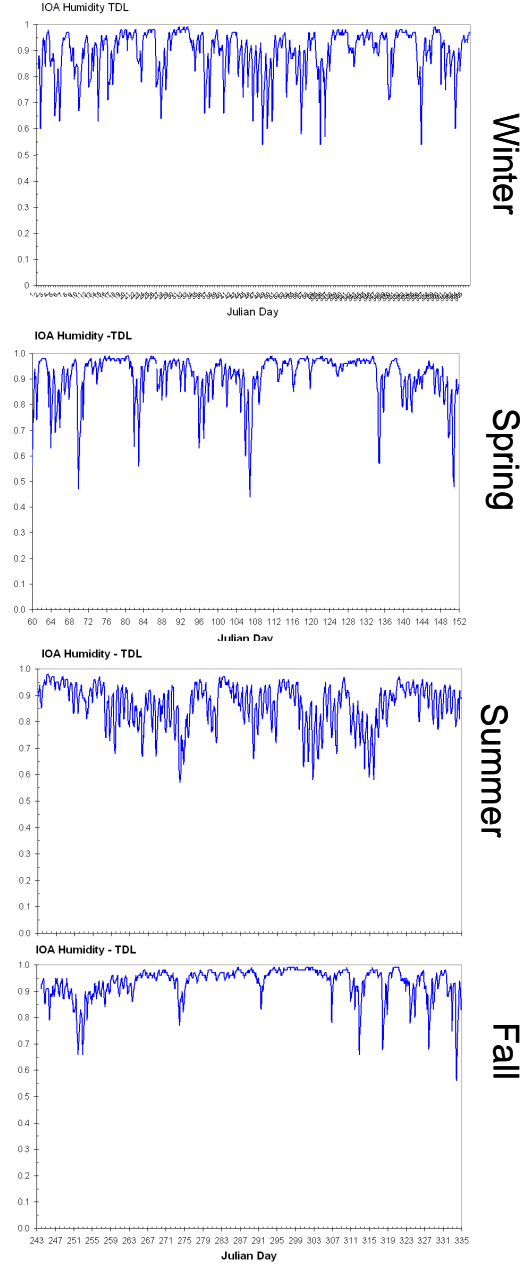
**Figure 2-7. Quarterly correlation coefficient (r) of hourly temperature between modeling and measurement for each observation site in 2002**



**Figure 2-8. 2002 Seasonal average hourly bias of humidity**

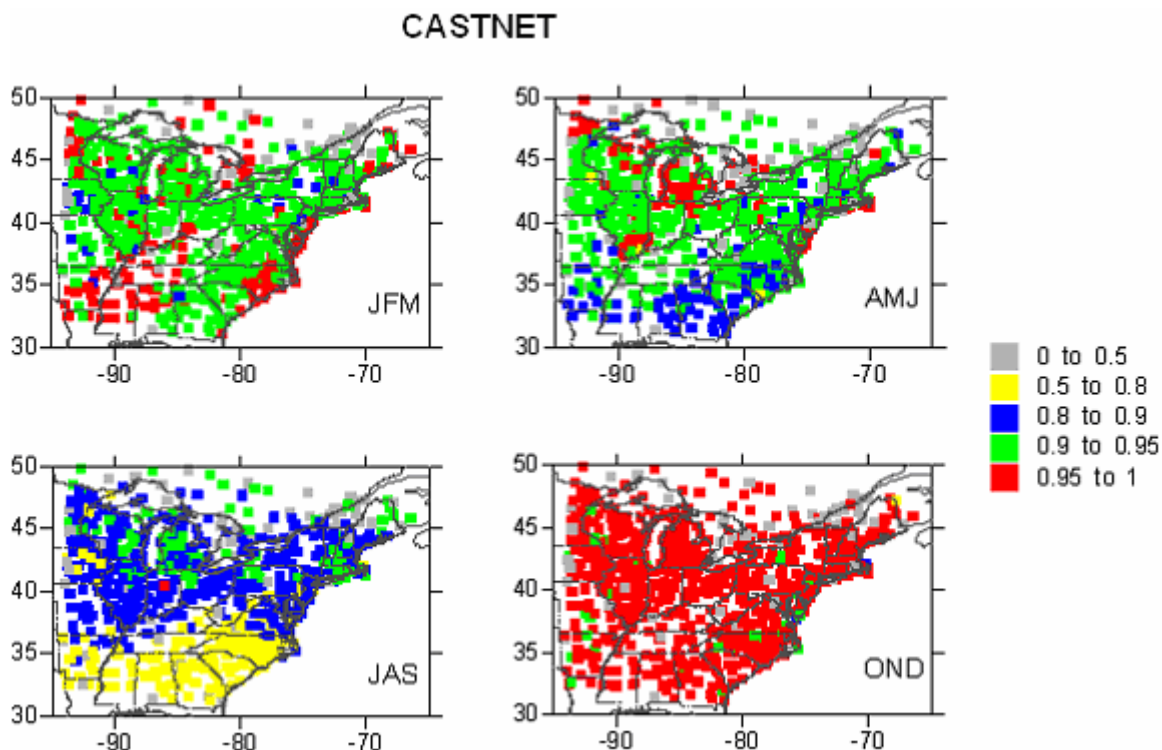


**Figure 2-9. 2002 seasonal hourly average index of agreement**





**Figure 2-10. Quarterly correlation coefficient (r) of hourly humidity between modeling and measurement for each observation site in 2002**



## 2.2. Model Evaluation

CMAQ modeling has been conducted for the year 2002 (completed by cooperative modeling efforts from NYDEC, UMD, NJDEP, Rutgers, VADEP, and NESCAUM) under the Base B4 emission scenario described in Chapter 1. CMAQ performance for  $PM_{2.5}$  species and visibility is examined based on this CMAQ run on a 12 km resolution domain. Measurements from IMPROVE and STN networks are paired with model predictions by location and time for evaluation. Figure 2-11 presents the domain-wide paired comparison of  $PM_{2.5}$  species (sulfate, nitrate, OC, EC, fine soil, and  $PM_{2.5}$ ) daily average concentration from the CMAQ simulation and two sets of observations (STN and IMPROVE). It shows that predicted  $PM_{2.5}$  sulfate (top row left panel) and measured sulfate are in a good 1:1 linear relationship with  $r^2$  varying from 0.6 to 0.7.  $PM_{2.5}$  nitrate (top row right panel) also has close to a 1:1 linear relationship between the model and observations, although the  $r^2$  values are much lower (from ~0.2 to ~0.5) than for sulfate. Paired OC (middle row left panel) concentrations have a scattered distribution with over- and under-estimation and a very weak linear relationship ( $r^2$  of ~0.1). CMAQ tends to overestimate EC (middle row right panel) and fine soil (bottom row left panel) concentrations.

EC and soil are inert species not involved in chemical transformation. Poor emission inventory data may be the main cause for the weak linear relationships between

prediction and measurement. In addition, there are no fire emissions considered in CMAQ modeling. The wild fire in Quebec, Canada in early July of 2002 led to high concentrations of observed OC, EC, and fine soil that are not predicted by CMAQ.

Because sulfate is the dominant PM<sub>2.5</sub> species, modeled PM<sub>2.5</sub> (bottom row right panel) shows a relatively strong near 1:1 linear relationship (slope between 0.7–0.8 with  $r^2$  of 0.4–0.5). Figure 2-12 describes the spatial distribution of the correlation coefficient of sulfate between CMAQ prediction and observations (STN data on the top row and IMPROVE data on the bottom row) at network sites. CMAQ predictions show a similar spatial pattern of correlation with both networks.

Generally, the northern region of the domain has stronger correlations than does the southern region. Correlation coefficients within the MANE-VU region are highest (~0.9 on average) compared to other RPO regions. The spatial distribution of correlation coefficient for PM<sub>2.5</sub> is presented in Figure 2-13. The PM<sub>2.5</sub> correlation coefficient spatial pattern follows PM<sub>2.5</sub> sulfate correlation coefficient, although at the same observation site coefficient values are ~0.1 lower than the sulfate coefficient value. Like PM<sub>2.5</sub> sulfate, CMAQ also performs the best for PM<sub>2.5</sub> in the MANE-VU region with a ~0.7 annual average for the correlation coefficient.

The goal and the criteria for PM<sub>2.5</sub> evaluation suggested by Boylan and Baker (2004) have been adopted by every RPO for SIP modeling. The proposed performance goals are: Mean Fractional Error (MFE)  $\leq +50\%$ , and Mean Fraction Bias (MFB)  $\leq \pm 30\%$ ; while the criteria are proposed as: MFE  $\leq +75\%$ , and MFB  $\leq \pm 60\%$ .

CMAQ prediction of PM<sub>2.5</sub> species from 40 STN sites and 17 IMPROVE sites within MANE-VU region are paired with measurements and statistically analyzed to generate MFE and MFB values. Figure 2-14 presents MFE of PM<sub>2.5</sub> sulfate, nitrate, OC, EC, fine soil, and PM<sub>2.5</sub>, and curves of the goal and criteria. MFB values are shown in Figure 2-15. Considering CMAQ performance in terms of MFE and MFB goals, sulfate, nitrate, OC, EC, and PM<sub>2.5</sub> all have the majority of data points within the goal curve, some are between the goal and acceptable criteria, and only a few are outside the criteria curve. Only fine soil has the majority of points outside the criteria curve, but there are some sites still within the goal. For the MANE-VU region, CMAQ performs best for PM<sub>2.5</sub> sulfate, followed by PM<sub>2.5</sub>, EC, nitrate, OC, and then fine soil.

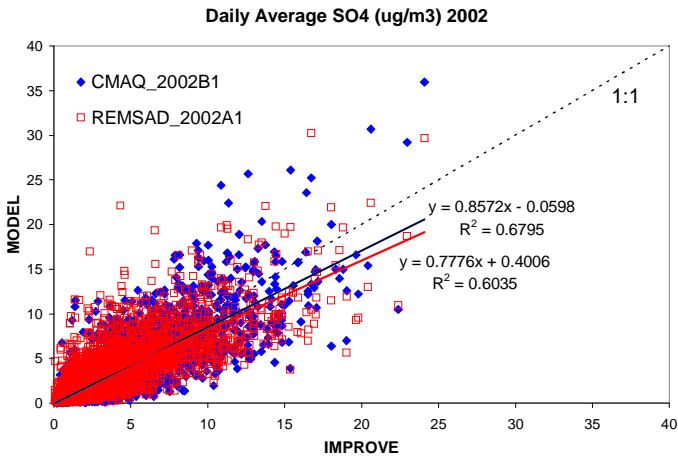
Regional haze modeling also requires a CMAQ performance evaluation for aerosol extinction coefficient ( $B_{\text{ext}}$ ) and the haze index. Modeled daily aerosol extinction at each IMPROVE site is calculated following the IMPROVE formula with modeled daily PM<sub>2.5</sub> species concentration and relative humidity factors from IMPROVE. The approaches used here and throughout this analysis, have used natural background visibility estimates and the haze index following EPA Guidance.

Figure 2-16 shows the paired comparison between prediction and measurement of daily  $B_{\text{ext}}$  from seven sites for 2002. The modeled  $B_{\text{ext}}$  shows a near 1:1 linear relationship (slope of 0.78 and  $r^2$  of 0.46) with IMPROVE observed  $B_{\text{ext}}$ . The regression excluded three points from July 7, 2002; the monitors were directly impacted by Canadian fires whose emissions were not modeled.

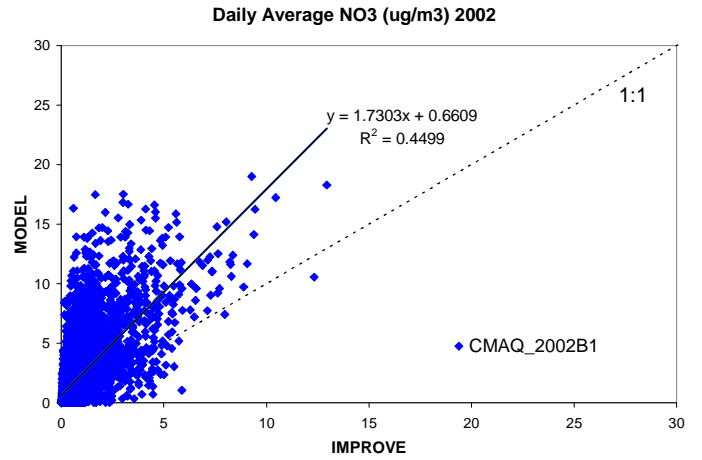
CMAQ prediction of the  $B_{\text{ext}}$  agrees well with IMPROVE observation because CMAQ performs well on sulfate, which dominates aerosol extinction. Further, the modeled haze index (HI) is calculated based on modeled  $B_{\text{ext}}$ . Figure 2-17 presents the paired comparison between CMAQ prediction and IMPROVE measurement for 2002 of HI values at seven Class I sites in the eastern U.S.. Acadia and Moosehorn show the best model performance with regression slopes of 0.97 and  $r^2$  of  $\sim 0.6$ . The poorest model performance occurs at Lye Brook and Shenandoah, with regression slopes less than 0.6 and  $r^2$  of  $\sim 0.3$ . Note the regression equations and best fit lines are not plotted.

**Figure 2-11. Domain-wide paired comparison of daily average PM<sub>2.5</sub> species between CMAQ predictions and measurements from IMPROVE networks**

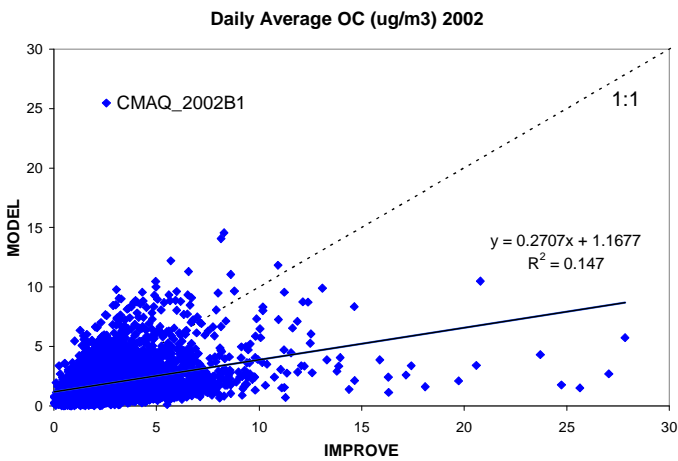
**PM<sub>2.5</sub> Sulfate**



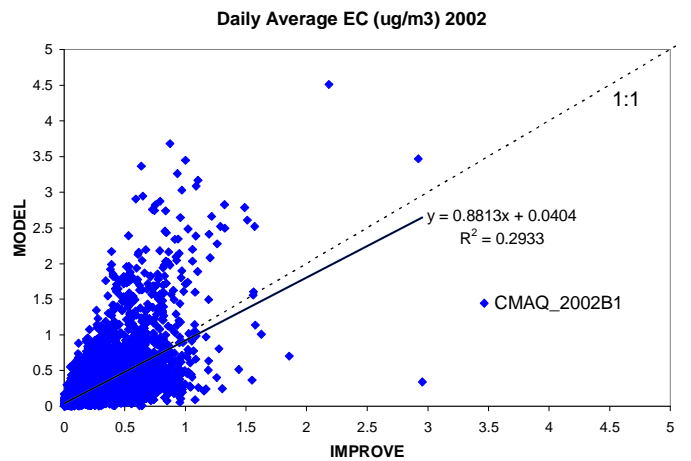
**PM<sub>2.5</sub> Nitrate**



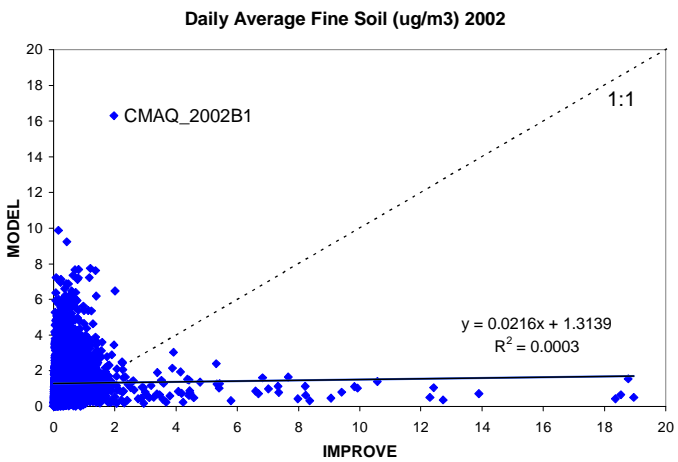
**PM<sub>2.5</sub> Organic Carbon**



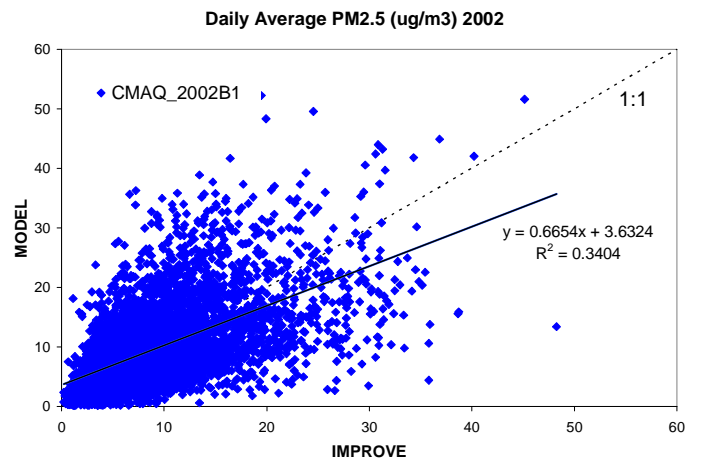
**PM<sub>2.5</sub> Elemental Carbon**



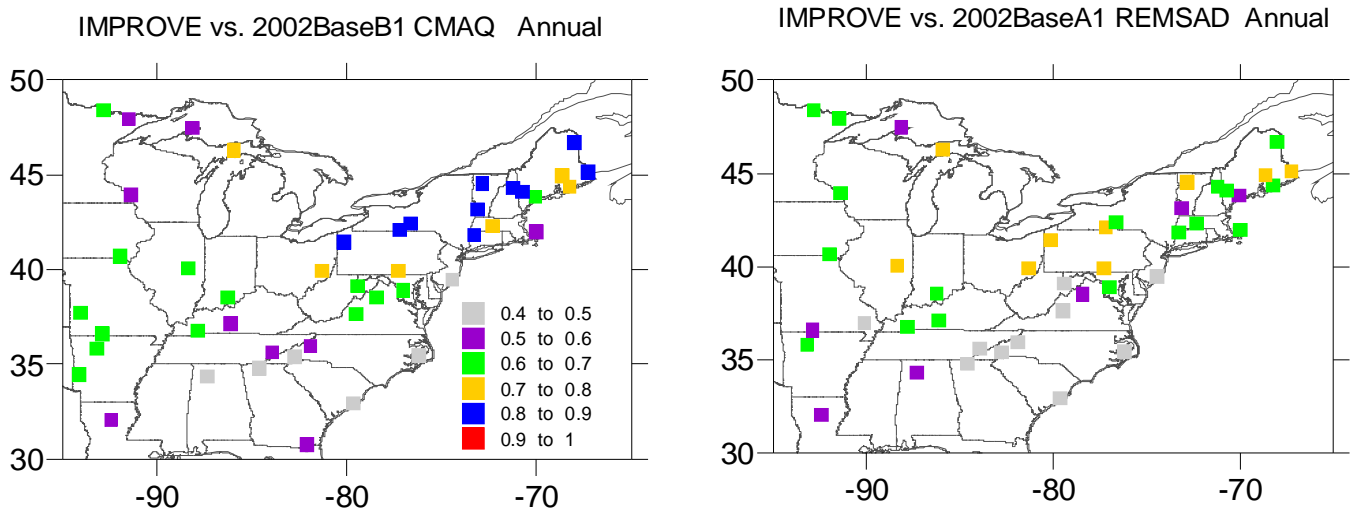
**PM<sub>2.5</sub> Soil**



**PM<sub>2.5</sub>**



**Figure 2-12. Spatial distribution of correlation coefficient between PM<sub>2.5</sub> Sulfate and measurement**



**Figure 2-13. Spatial distribution of correlation coefficient between PM<sub>2.5</sub> and measurement**

**Correlation Coefficient of Annual PM<sub>2.5</sub> Species between IMPROVE and CMAQ**

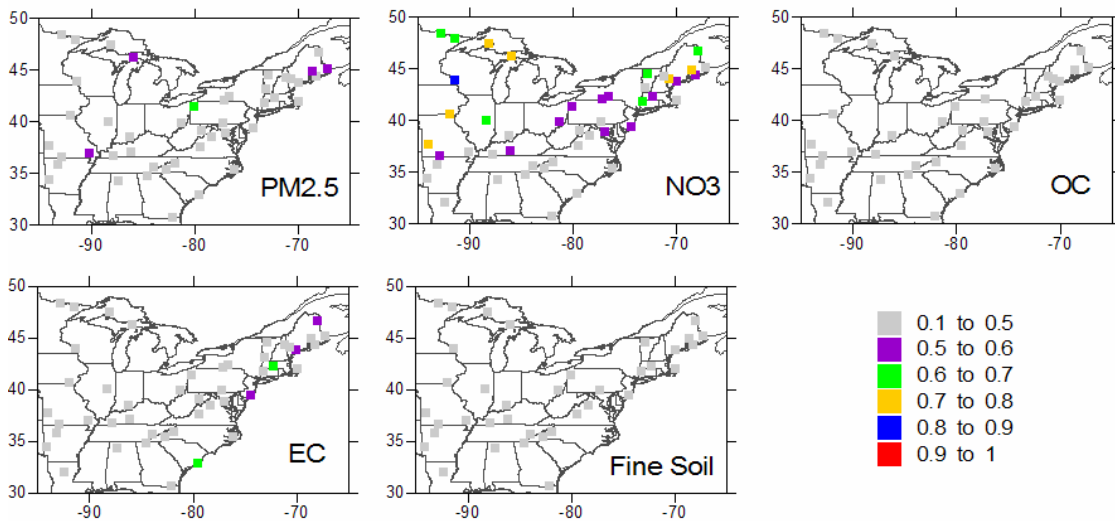


Figure 2-14. Mean Fractional Error of PM<sub>2.5</sub> species within MANE-VU region

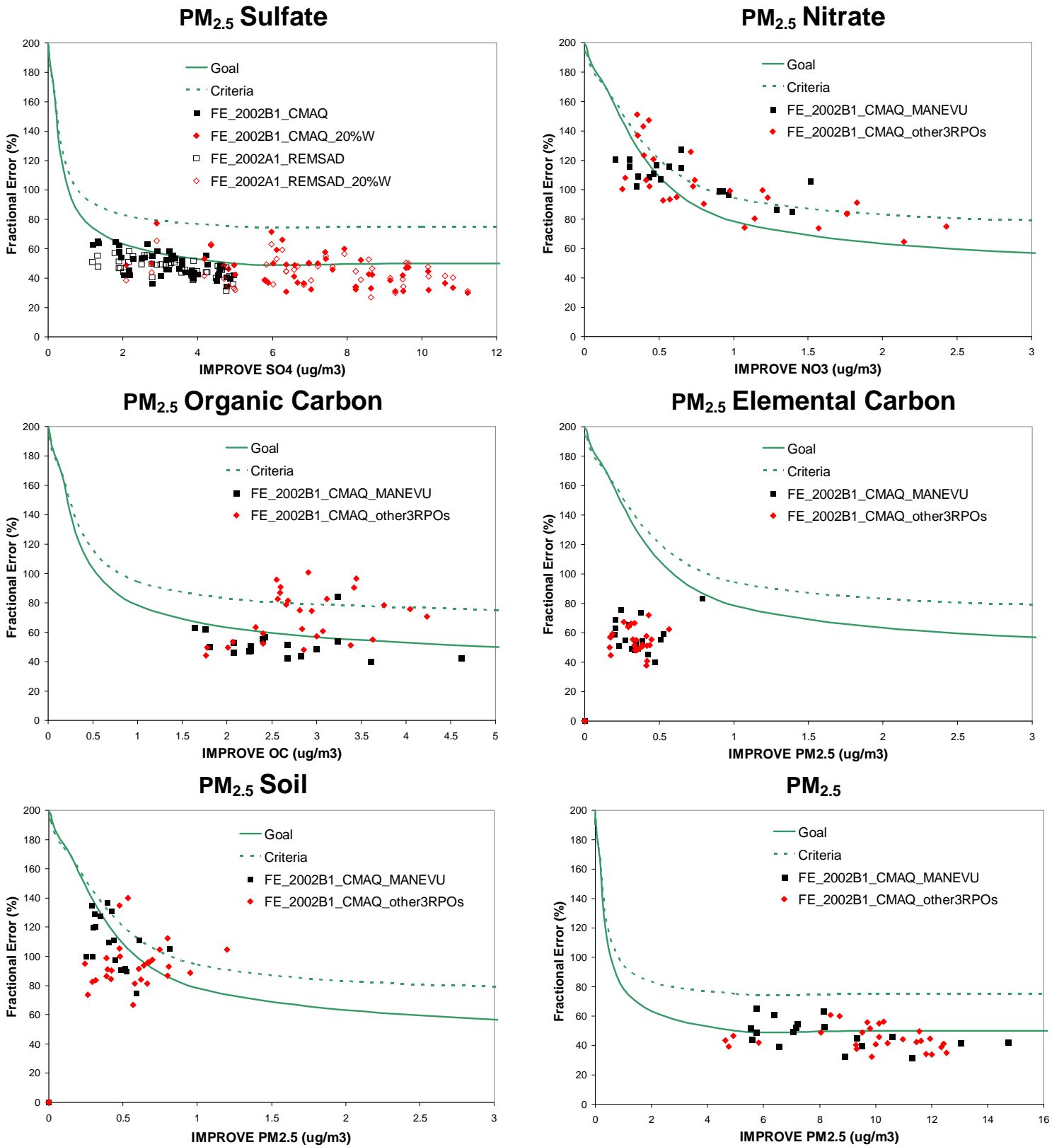
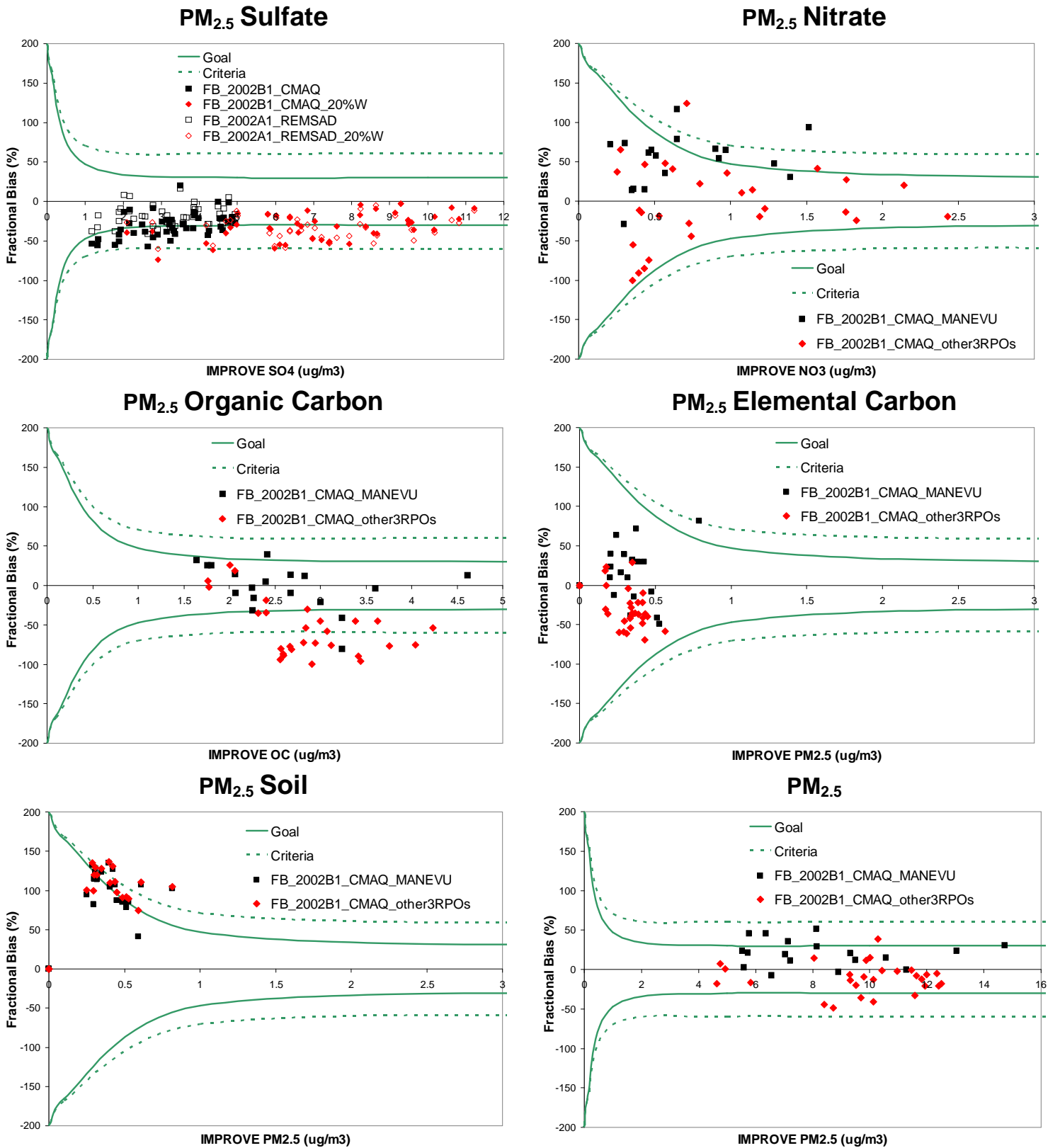
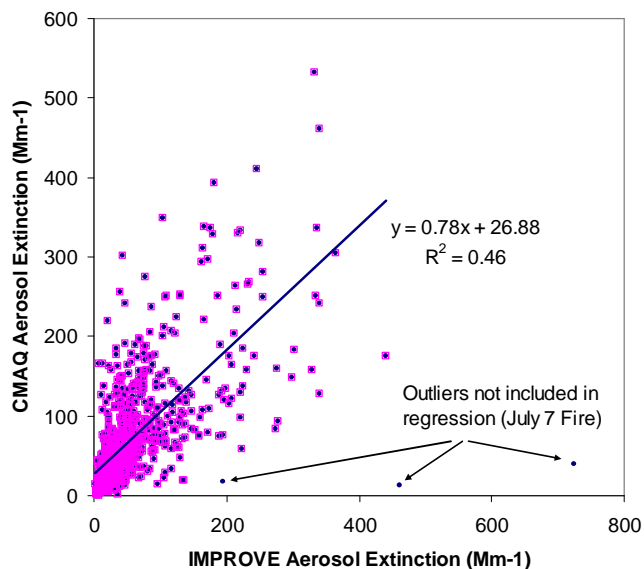


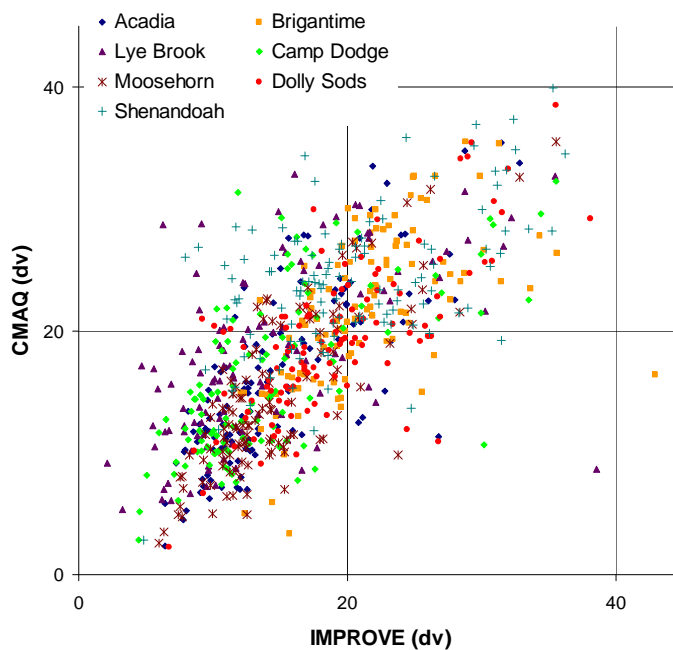
Figure 2-15. Mean Fraction Bias of PM<sub>2.5</sub> species within MANE-VU region



**Figure 2-16. Paired comparison of extinction coefficient between CMAQ prediction and IMPROVE measurement**



**Figure 2-17. Paired Comparison of Haze Index between CMAQ prediction and IMPROVE measurement at selected Class I sites**





### 3. 2018 BOTW PROJECTIONS

In order to assess the projected visibility improvement at MANE-VU Class I areas prior to consideration of potential reasonable measures for adoption in a long-term emissions management strategy, a simulation of the MANE-VU “Beyond on the Way” (BOTW-1) inventory was conducted. As indicated in Chapter 2, this inventory/scenario combination represents additional measures beyond existing regulations that have been accepted by the OTC Modeling Committee for attainment of the 8-hour ozone and PM<sub>2.5</sub> NAAQSs. These measures include regulations on portable fuel containers, architectural and maintenance (AIM) coatings, and some consumer products. In addition, at the point that this inventory was “closed” for further changes, most states had indicated a willingness to adopt regulations limiting fuel sulfur content of distillate fuel oil to 500 ppm or lower.<sup>4</sup> While all states have subsequently agreed that they will pursue regulation of distillate AND residual fuel oil and that these regulations would cap distillate at 15 ppm fuel sulfur content by 2018, this additional level of reduction is not reflected in the BOTW-1 simulation discussed below.

The BOTW-1 scenario was processed through SMOKE for 2009 by NYDEC and for 2018 by NESCAUM and distributed to the other modeling centers in a manner similar to the 2002 base year scenario that was SMOKE processed by NYDEC. After each center had completed its portion of the processing, NESCAUM obtained the results for all projection years for analysis of haze metrics.

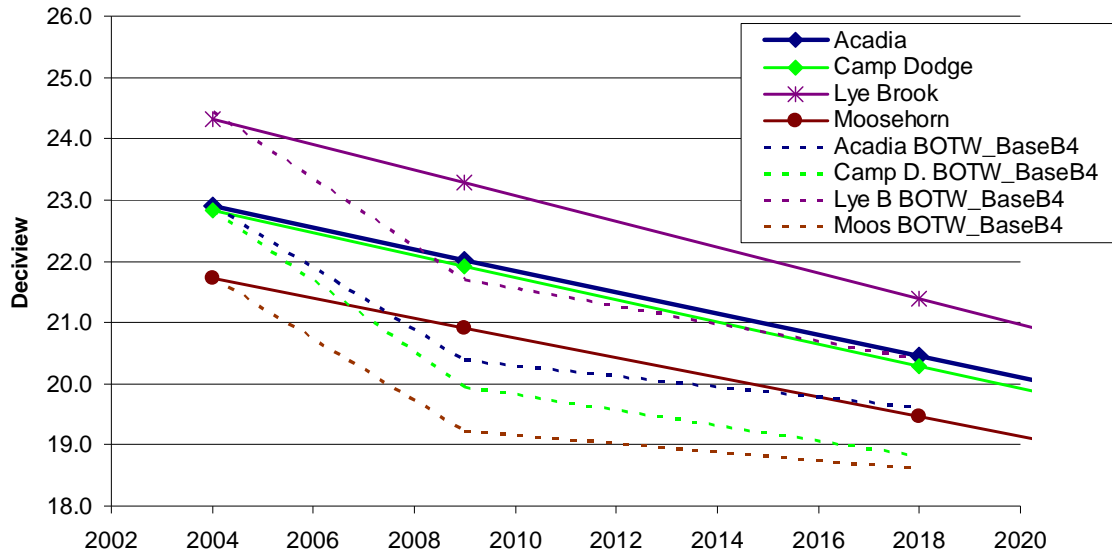
The results of this run are shown in Table 3-1 and Figures 3-1 and 3-2, which show relative reduction factors at each Class I area by species and the overall projected improvement in visibility in deciviews based on the 2009 (NYDEC) and 2018 (NESCAUM) BOTW-1 projections, respectively.

**Table 3-1. 2018 twenty percent worst days relative reduction factors.**

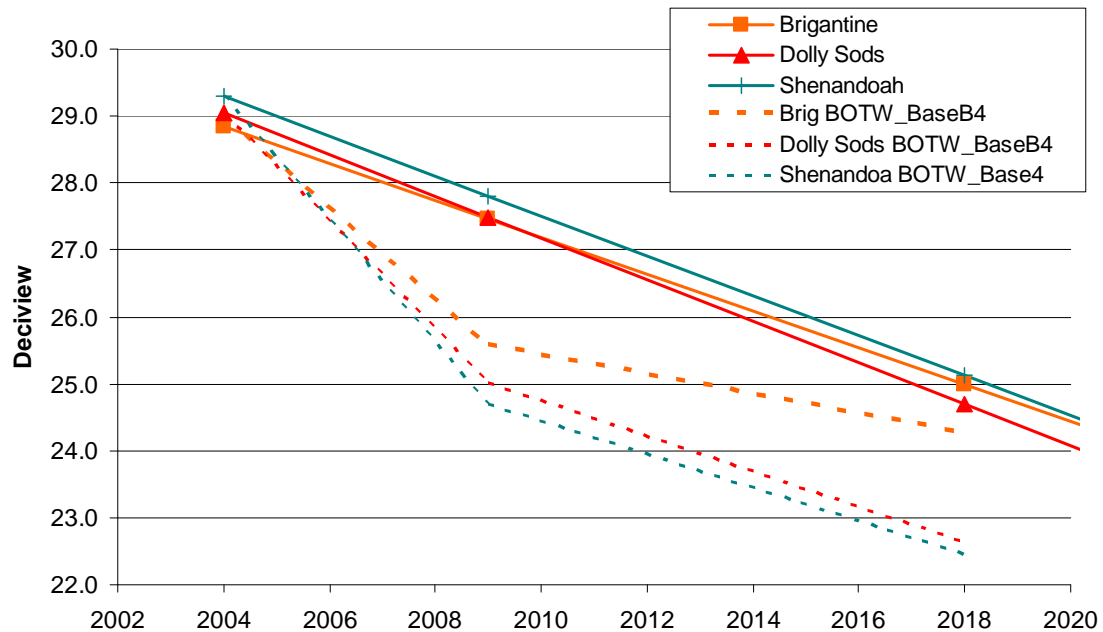
	Shenandoah	Dolly Sods	Brigantine	Great Gulf	Lye Brook	Moosehorn	Acadia
Sulfate	0.49	0.51	0.53	0.59	0.58	0.63	0.60
Nitrate	0.46	0.63	0.95	0.87	0.91	0.73	0.80
EC	0.58	0.71	0.62	0.73	0.67	0.77	0.75
OC	0.88	0.92	0.98	0.86	0.93	0.95	0.95
Sea Salt	1	1	1	1	1	1	1
Soil	1.27	1.26	1.28	1.16	1.13	1.09	1.10

<sup>4</sup> Delaware and Vermont had not given an indication by the time the inventory was closed.

**Figure 3-1. Projected improvement in visibility at four Northeast sites based on 2009 and 2018 BOTW-1 projections.**



**Figure 3-2. Projected improvement in visibility at three Mid-Atlantic sites based on 2009 and 2018 BOTW-1 projections.**



The projections for the BOTW-1 scenario indicate that the adoption of 500 ppm distillate regulations by all MANE-VU states is sufficient to achieve visibility improvements beyond the uniform rate of progress defined by the 2064 natural conditions

visibility goal. However, it should be noted that USEPA guidance for setting reasonable progress goals asks states to consider reviewing all measures identified through the four-factor analysis process and to adopt each measure that is determined to be reasonable.

While the interpretation of USEPA guidance on this subject continues to be debated by various stakeholders and some states outside the MANE-VU region, MANE-VU believes that the four-factor analysis provisions in the Clean Air Act requires states to analyze additional measures and adopt those that are reasonable. We have identified and analyzed several additional measures for consideration in determining regional haze reasonable progress goals and these options are explored in Chapter 5.

## 4. 2018 POLLUTION APPORTIONMENT

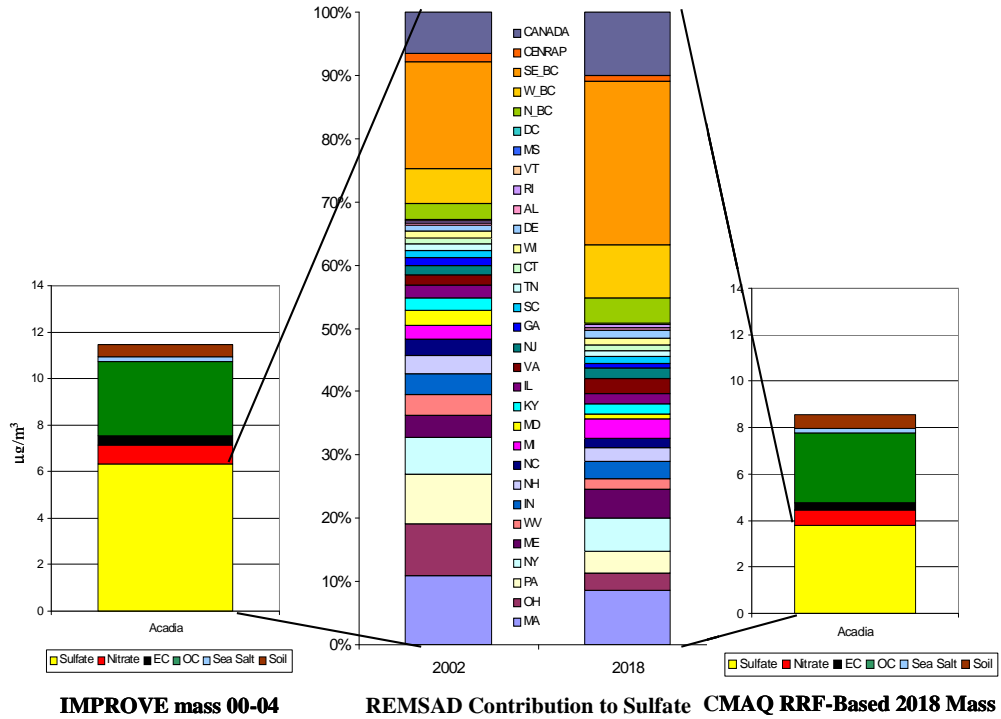
One requirement of the regional haze rule is a “pollution apportionment” that provides an assessment of the major contributors to MANE-VU visibility impairment by geographical region or by sector. MANE-VU had conducted an extensive apportionment of 2002 visibility impairment from sulfate in the prior *Contribution Assessment* report (NESCAUM, 2006a) and conceptual description (NESCAUM, 2006b). In order to update this work to reflect changes in the contributions by various states to visibility impairment projected for 2018, we have utilized the 2018 BOTW emission inventory and tagged all SO<sub>2</sub> emissions from each of 29 states in the eastern U.S. This required three separate runs with 11 tags per run. In addition, three tags for baseline (2002) boundary conditions (North, South\_East, and West) provide an estimate for sulfate contributions external to the model domain. Note their contribution includes emissions that originated within the domain, but were advected out of the modeling domain only to recirculate back into the domain (i.e. the state-specific tagged contributions represent, in this sense, a lower-bound).

This tagging scheme provides a comprehensive reporting of the influence of most of these states to visibility impairment within the model domain. It also provides a partial accounting of the influence of several states along the western and southern edge of the model domain where only a portion of the states’ emissions were tracked.

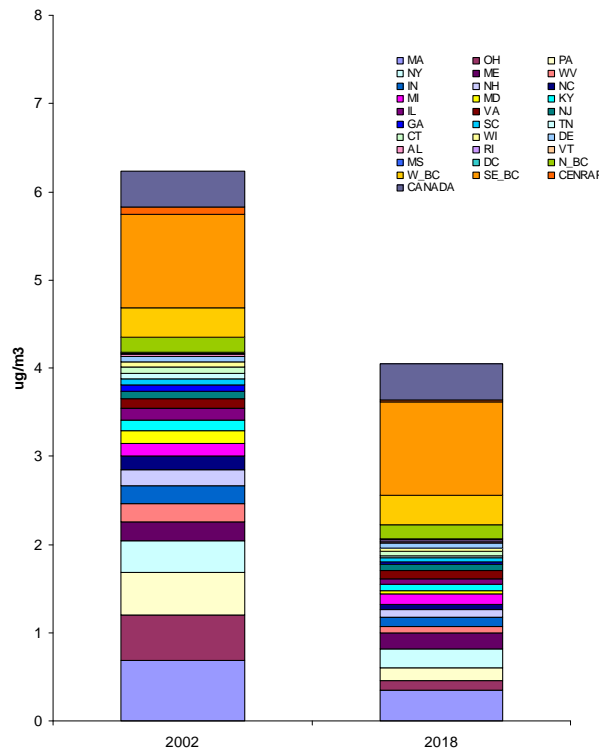
Results indicate that the relative contribution of states within the domain will decrease significantly due, in large part, to the anticipated SO<sub>2</sub> emissions reductions from the CAIR program. As a result, we see large *increases* in the *relative* contribution from Canada and the boundaries. This apparent increase is simply due to the fact that we are showing relative contributions and as a share of the total, these fixed contributions contribute a larger share after CAIR has reduced the contribution within the domain.

Figures 4-1 through 4-5 show the absolute magnitude of measured and projected sulfate at each MANE-VU class I monitor as well as the relative contributions of each state to that sulfate as contrasted against their 2002 contributions.

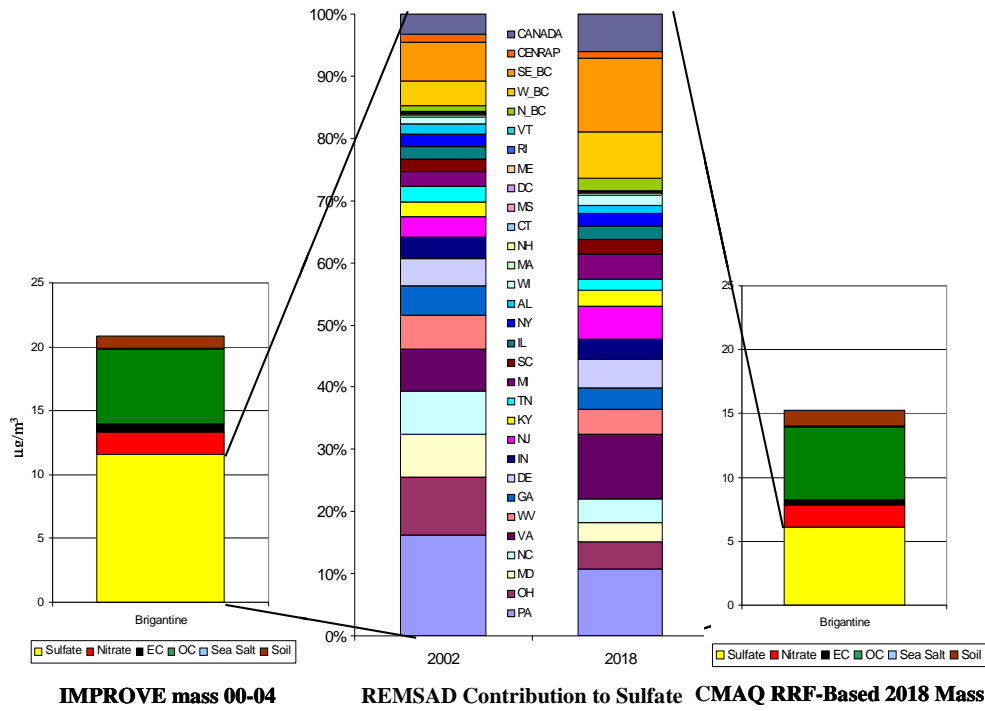
**Figure 4-1. a. Measured and projected mass contributions in 2002 and 2018 at Acadia National Park on twenty percent worst visibility days.**



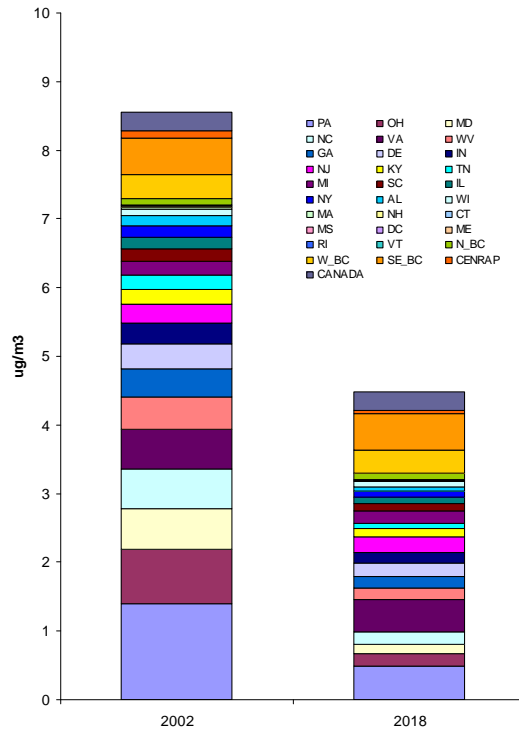
**b. 2002 and 2018 sulfate mass from at Acadia National Park, twenty percent worst days apporntioned by REMSAD**



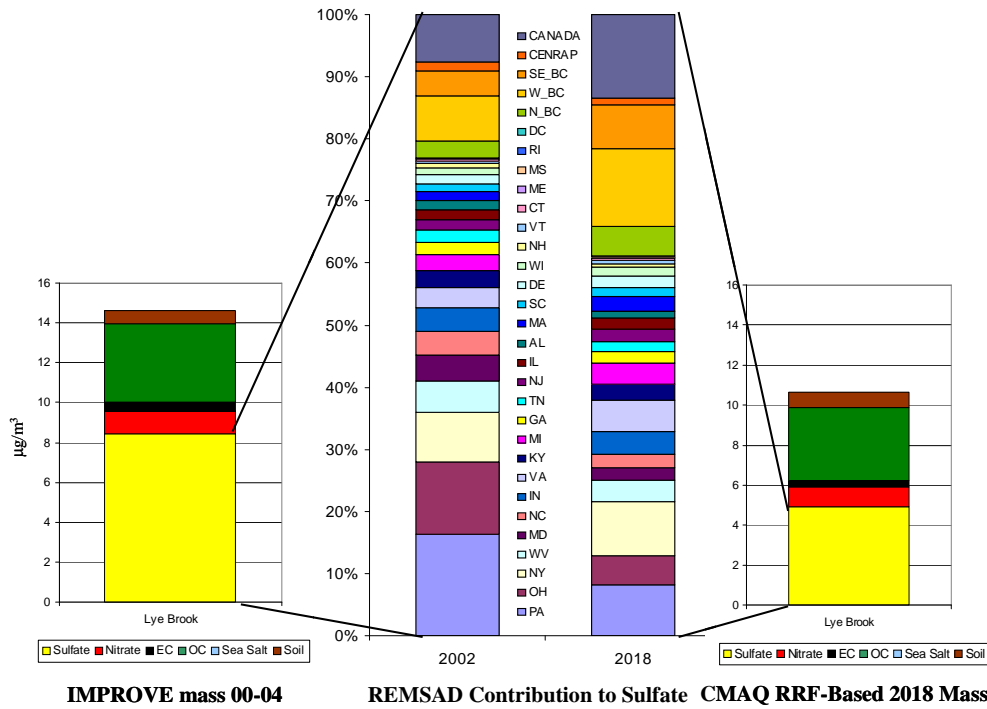
**Figure 4-2. a. Measured and projected mass contributions in 2002 and 2018 at Brigantine Wildlife Refuge on twenty percent worst visibility days.**



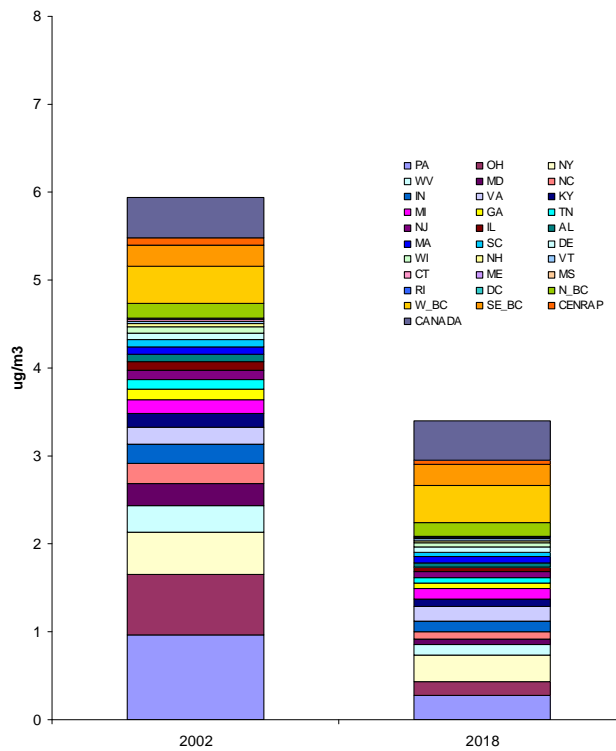
**b. 2002 and 2018 sulfate mass from Brigantine Wildlife Refuge, twenty percent worst days from REMSAD**



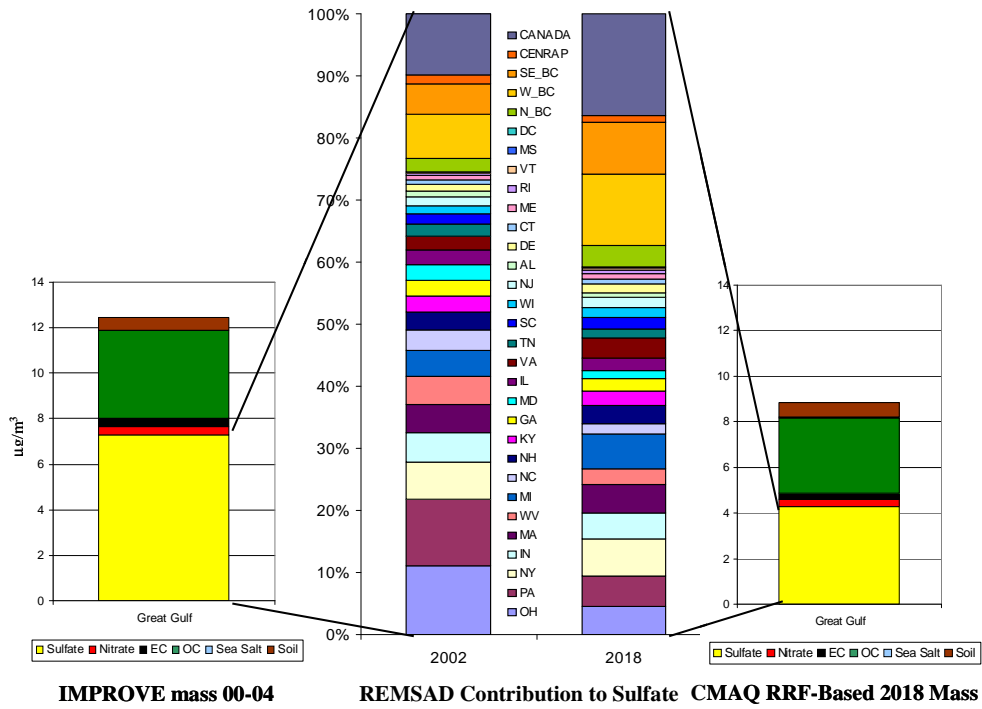
**Figure 4-3. a. Measured and projected mass contributions in 2002 and 2018 at Lye Brook Wilderness Area on twenty percent worst visibility days.**



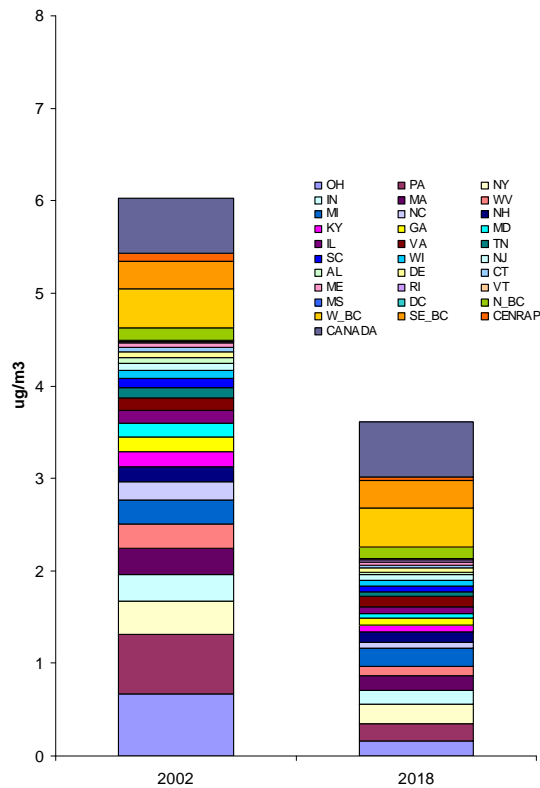
**b. 2002 and 2018 sulfate mass from Lye Brook Wilderness Area, twenty percent worst days from REMSAD**



**Figure 4-4. a. Measured and projected mass contributions in 2002 and 2018 at Great Gulf Wilderness Area on twenty percent worst visibility days.**

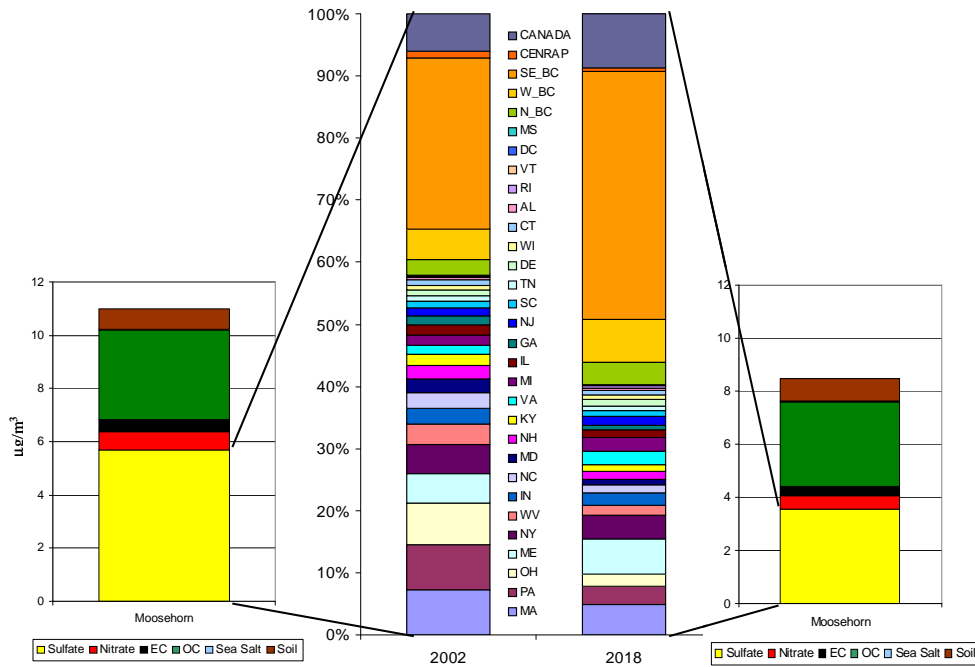


**b. 2002 and 2018 sulfate mass from Great Gulf Wilderness Area, twenty percent worst days from REMSAD**





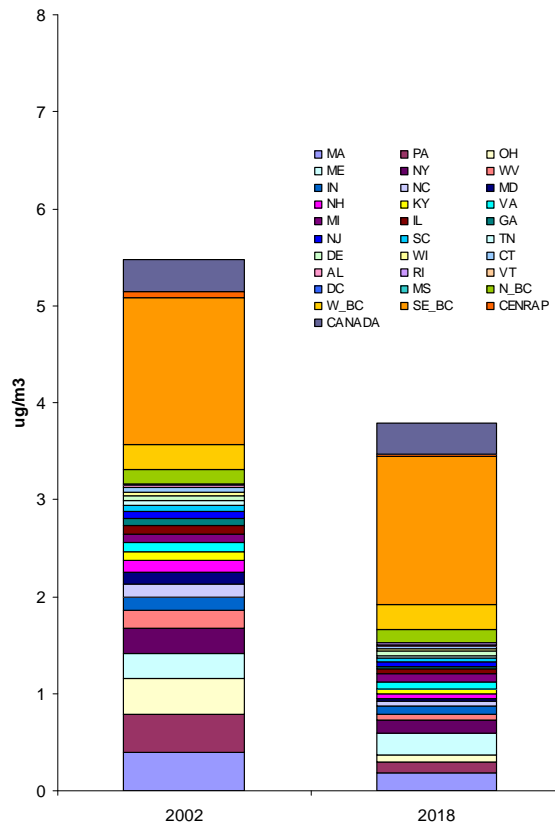
**Figure 4-5. a. Measured and projected mass contributions in 2002 and 2018 at Moosehorn National Wildlife Refuge on twenty percent worst visibility days.**



IMPROVE mass 00-04

REMSAD Contribution to Sulfate CMAQ RRF-Based 2018 Mass

**b. 2002 and 2018 sulfate mass from Moosehorn National Wildlife Refuge, twenty percent worst days from REMSAD**



## 5. CONTROL STRATEGY EVALUATION

We evaluated the visibility benefits of four potential control strategies aimed at reducing regional haze at Class I areas in the MANE-VU region beyond what has been included in the “OTB/OTW” scenario described earlier. These programs include two separate but linked low-sulfur content fuel initiatives (the S1 and S2 strategies), the BART provisions of the Regional Haze Rule, and controls on EGUs at the 167 stacks most likely to affect MANE-VU Class I areas (“167 EGU strategy”). This chapter reviews the control strategies in more detail, describes the potential emissions reductions, and evaluates the potential visibility benefits of each strategy in combination with the others.

### 5.1. Reduced sulfur fuel content (S1 and S2)

The MANE-VU states have agreed through consultations to pursue a low sulfur fuel strategy within the region. This phased strategy would be implemented in two steps; however, both components of the strategy are to be fully implemented by 2018. We have analyzed both steps of the program as separate strategies, but it is the combined benefit of implementing the program that is relevant to the question of program benefits in 2018.

The S1 strategy involves the lowering of fuel-sulfur content in distillate (No. 2 oil) from current levels that range between 2,000 and 2,300 ppm down to 500 ppm by weight. It also restricts the sale of heavier blends of residual oil (No. 4 fuel oil and No. 6 bunker fuels) that have sulfur content greater than 0.25 percent sulfur and 0.5 percent sulfur by weight, respectively. The S2 strategy further reduces the fuel-sulfur content of the distillate fraction to 15 ppm sulfur by weight. The residual oil is maintained at the same S1 level for this strategy.

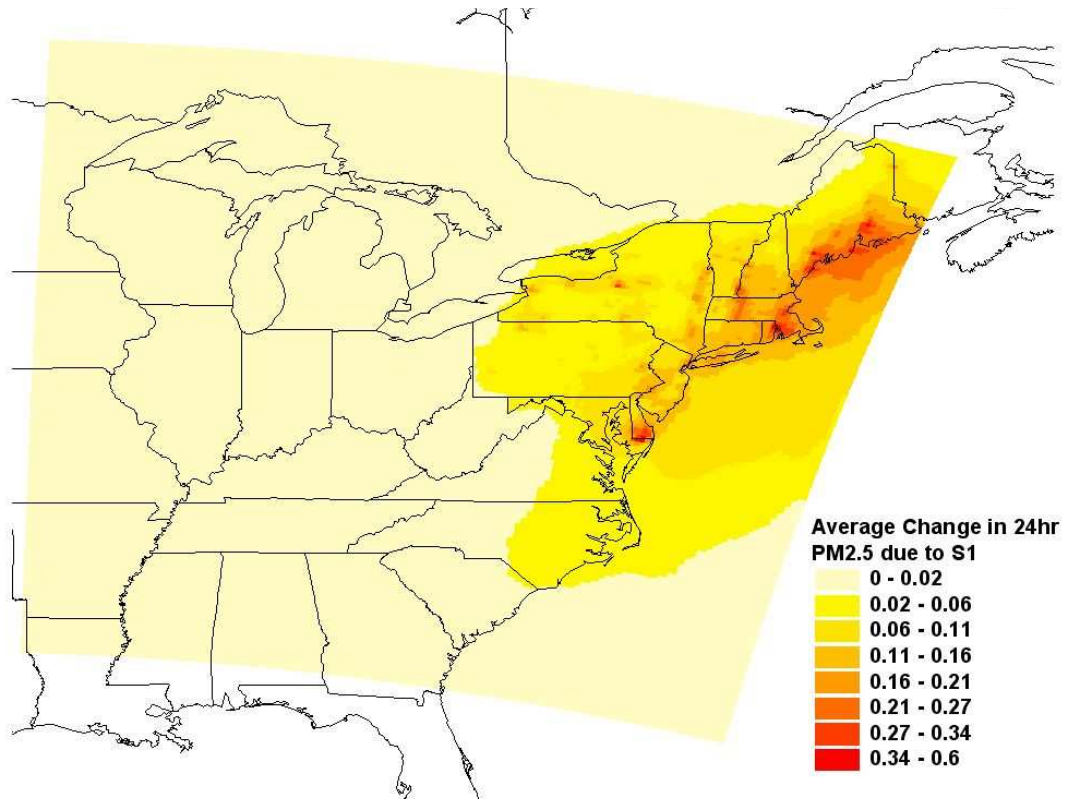
The S1 strategy and S2 strategy are to be implemented in sequence with slightly different timing for an “inner zone”<sup>5</sup> and the remainder of MANE-VU. All states, however, have agreed to pursue the adoption and implementation of an “emission management” strategy, as appropriate and necessary, to reduce the sulfur content of distillate oil and residual fuel oil as specified in the MANE-VU statements adopted June 20, 2007 by the MANE-VU Board. Thus for the purposes of this analysis, we have examined the benefits of the S1 and S2 strategies separately below.

Based on the fuel sulfur limits within the S1 strategy, we estimated a decrease of 140,000 tons of SO<sub>2</sub> emitted from distillate combustion and 40,000 tons of SO<sub>2</sub> from residual combustion in MANE-VU. Figure 5-1 displays the resulting average change in 24-hr average PM<sub>2.5</sub> between the baseline case (OTB/OTW) and the control case where the S1 fuel strategy has been implemented.

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<sup>5</sup> The inner zone includes New Jersey, Delaware, New York City, and potentially portions of eastern Pennsylvania.

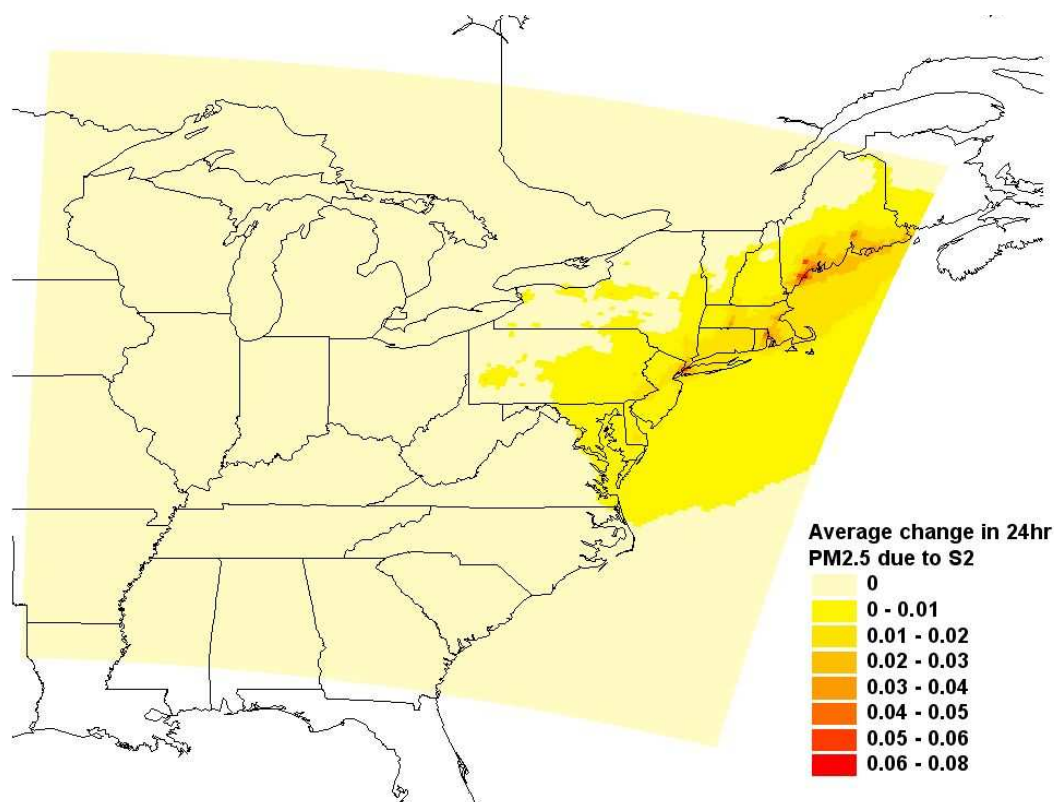
**Figure 5-1. Average change in 24-hr PM<sub>2.5</sub> due to S1 emission reductions ( $\mu\text{g}/\text{m}^3$ )**



We used the concentration changes in Figure 5-1 above to derive visibility benefits. Because the S1 fuel sulfur program only affects sources within MANE-VU, that region sees the largest PM<sub>2.5</sub> reduction and the greatest visibility benefits.

The S2 fuel strategy further reduces the sulfur content of distillate from 500 ppm to 15 ppm while keeping the sulfur limits on residual oils to 0.25 percent and 0.5 percent for No. 4 and No. 6 oils, respectively. By lowering the distillate fuel sulfur limit from 500 ppm to 15 ppm, we estimate an additional reduction of 27,000 tons of SO<sub>2</sub> emissions in MANE-VU from distillate combustion in 2018. Figure 5-2 displays the average change in 24-hr PM<sub>2.5</sub> calculated from CMAQ modeled concentrations between the S1 scenario and the S2 scenario. It reflects the predicted change in PM<sub>2.5</sub> due solely to the change from 500 ppm to 15 ppm distillate. Due to a high baseline fuel sulfur level, the incremental change in PM<sub>2.5</sub> concentration is much smaller between 500 ppm and 15 ppm than the baseline to 500 ppm levels observed in the S1 scenario.

**Figure 5-2. Average change in 24-hr PM<sub>2.5</sub> due to S2 emission reductions, relative to S1 (µg/m<sup>3</sup>)**



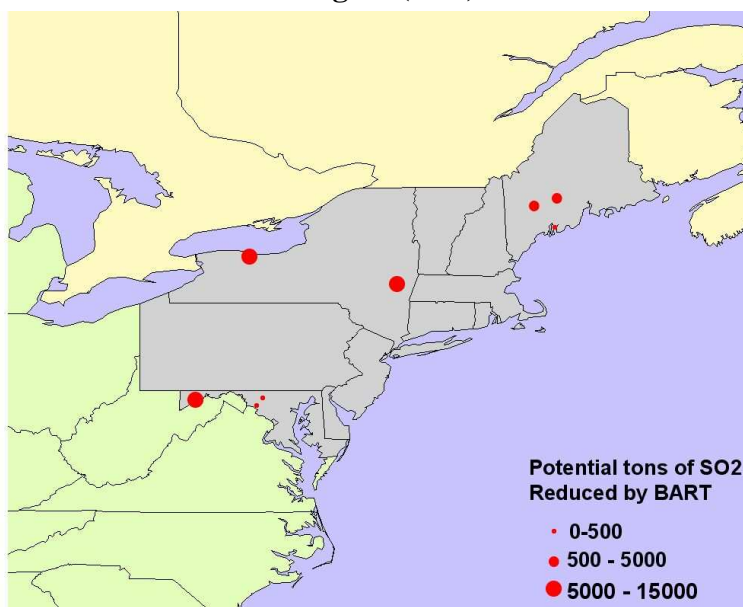
To determine the full benefit of the fuel strategies being considered relative to the OTB/OTW baseline, we can look at the combined benefits from the S1 (500 ppm distillate and 0.25/0.5 percent residual oil) strategy *and* the S2 (15 ppm distillate) strategy. The combined benefits can be gauged in Figures 5-6 through 5-14 and are shown in the results presented in Table 5-2 at the end of this section.

## 5.2. Best Available Retrofit Program (BART)

To assess the impacts of the implementation of the BART provisions of the Regional Haze Rule, we included estimated reductions anticipated for BART-eligible facilities in the MANE-VU region in the 2018 CMAQ modeling analysis. An initial survey of state staff indicated that these 14 units would likely be controlled under BART alone and were modeled in this analysis. These states provided potential control technologies and levels of control, which were in turn incorporated into the 2018 emission inventory projections. NESCAUM (2007) provides the survey approach. Updates to this preliminary assessment (including the removal of six Pennsylvania sources with combined emissions reductions of 6600 tons of SO<sub>2</sub>) will be incorporated into the Best and Final modeling run scheduled to be completed in March, 2008. Figure 5-3 displays the locations of the BART sources and estimated SO<sub>2</sub> reductions expected in

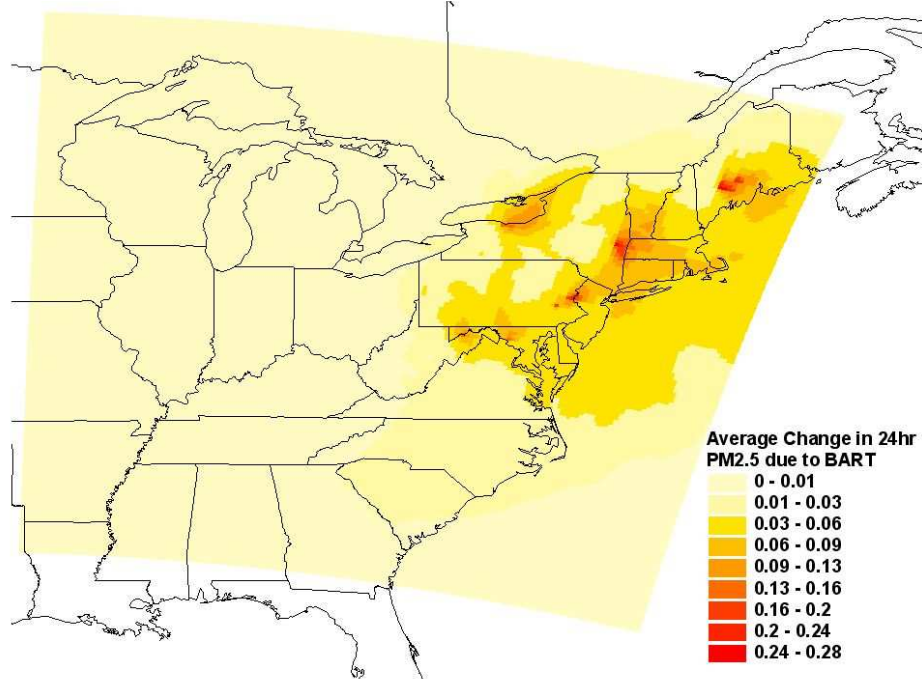
2018. Additional visibility benefits are likely to result from installation of controls at BART-eligible facilities that are located in adjacent RPOs. These benefits are not accounted for in the present analysis.

**Figure 5-3. Potential reductions from BART-eligible sources in the MANE-VU region (tons)**



We applied the SO<sub>2</sub> reductions at the initial 14 facilities relative to the 2018 OTB/OTW emissions inventory. Figure 5-4 shows the average change in 24-hr PM<sub>2.5</sub> concentrations within the modeling domain used to calculate the visibility benefits.

**Figure 5-4. Average change in 24-hr PM<sub>2.5</sub> due to BART emission reductions (µg/m<sup>3</sup>)**



### 5.3. 167 EGU Strategy

The MANE-VU states have recognized that SO<sub>2</sub> emissions from power plants are the single largest contributing sector to the visibility impairment experienced in the Northeast's Class I areas. The SO<sub>2</sub> emissions from power plants continue to dominate the inventory. Sulfate formed through atmospheric processes from SO<sub>2</sub> emissions are responsible for over half the mass and approximately 70-80 percent of the extinction on the worst visibility days (NESCAUM, 2006a,b). In order to ensure that EGU controls are targeted at those EGUs with the greatest impact on visibility in MANE-VU, a modeling analysis was conducted to determine which sources those were. A list of 167 EGU stacks was developed (MANE-VU, 2007) that includes the 100 largest impacts at each MANE-VU Class I site during 2002. MANE-VU is currently asking for 90 percent control on all units emitting from those stacks by 2018 as part of consultations within MANE-VU and with other RPOs. MANE-VU recognizes that this level of control may not be feasible in all cases. The Best and Final modeling run currently underway will incorporate State comments gathered during the inter-RPO consultation process.

The "167 EGU strategy," if implemented as defined here, could lead to large reductions in SO<sub>2</sub> emissions due to installation of stack control technologies such as SO<sub>2</sub> scrubbers. To determine the possible health benefits of this EGU control program, we modeled 2018 emissions for the 167 EGUs in the Northeast, Southeast, and Midwest at levels equal to 10 percent of their 2002 emissions. We used CMAQ to model sulfate concentrations in 2018 after implementation of this control program and converted

sulfate concentrations to  $PM_{2.5}$  concentrations. Figure 5-5 displays the average change in 24-hr  $PM_{2.5}$  seen between the OTB/OTW baseline and the EGU stack control program.

**Figure 5-5. Average change in 24-hr  $PM_{2.5}$  due to 167 EGU emission reductions ( $\mu\text{g}/\text{m}^3$ )**

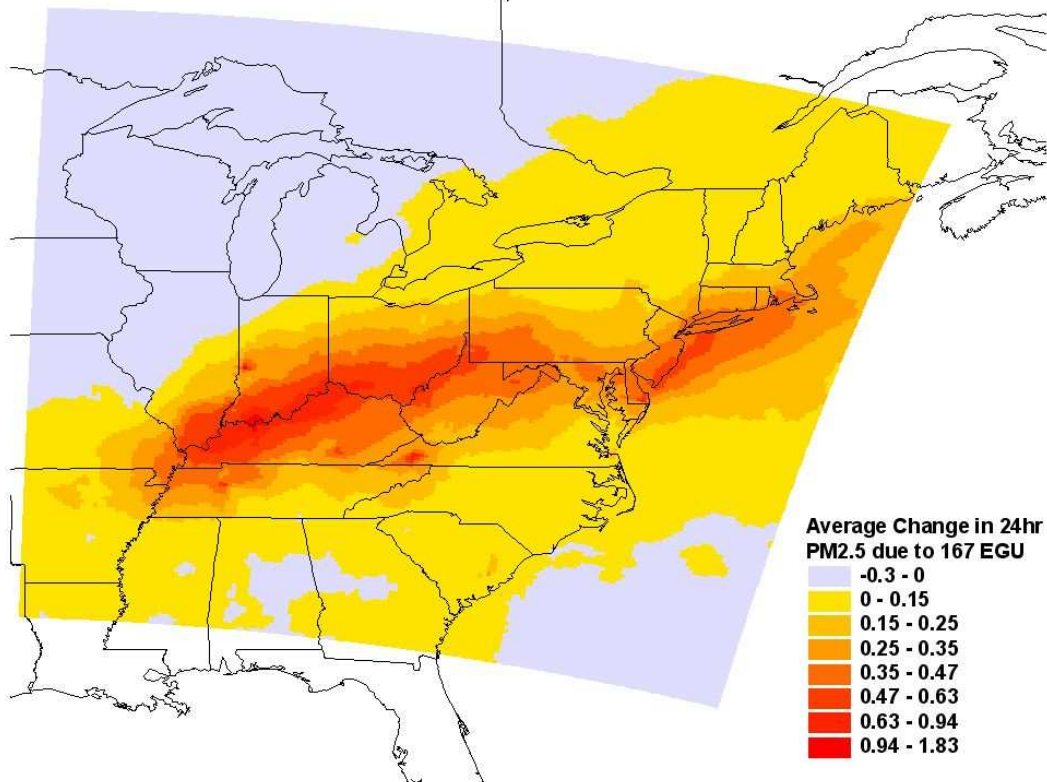
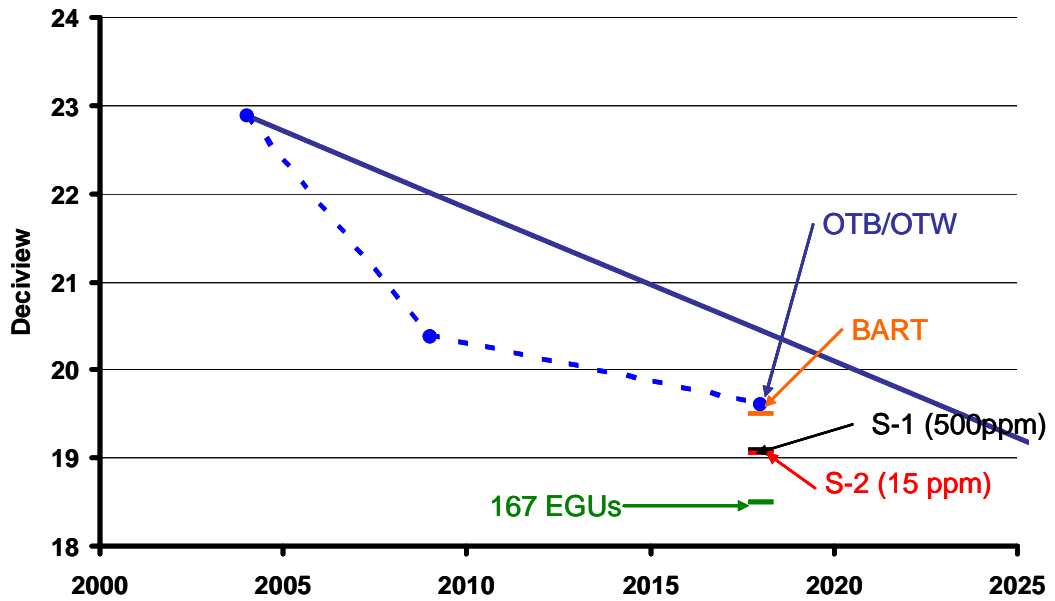


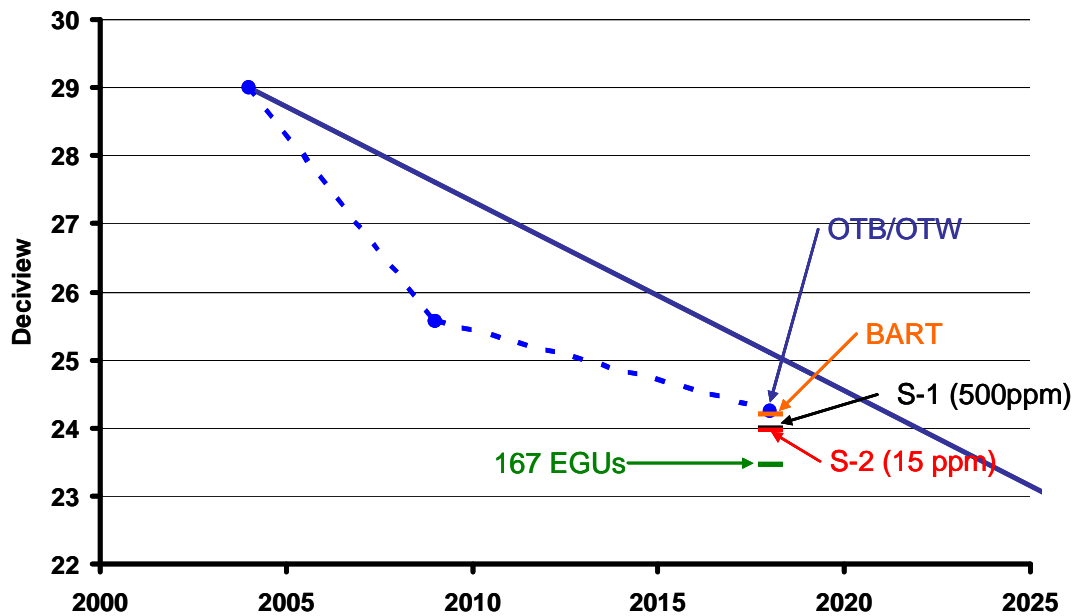
Figure 5-5 shows that significant reductions of  $PM_{2.5}$  are predicted for the MANE-VU region as well as for portions of the VISTAS and Midwest RPO regions as a result of the targeted EGU strategy.

Figures 5-6 through 5-14 show the visibility benefits – relative to the uniform rate of progress determined our national visibility goal of natural conditions in 2064 – of the OTB/OTW scenario as well as for the four potential measures analyzed here. In addition to these measures, MANE-VU has asked neighboring RPOs to consider non-EGU emissions reductions comparable to our low sulfur fuel strategies, which are expected to achieve a greater than 28 percent reduction in non-EGU  $SO_2$  emissions in 2018. The figures indicate that additional progress could be achieved depending upon what strategies are identified by VISTAS and the Midwest RPO in response to this request.

**Figure 5-6. Visibility improvement relative to uniform rate of progress at Acadia National Park**

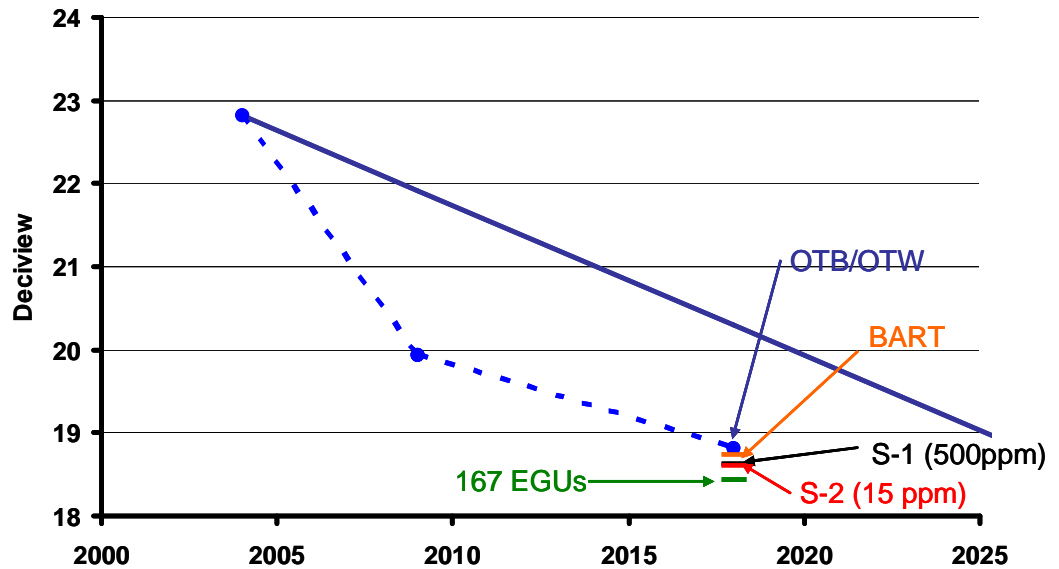


**Figure 5-7. Visibility improvement relative to uniform rate of progress at Brigantine National Wildlife Refuge.**

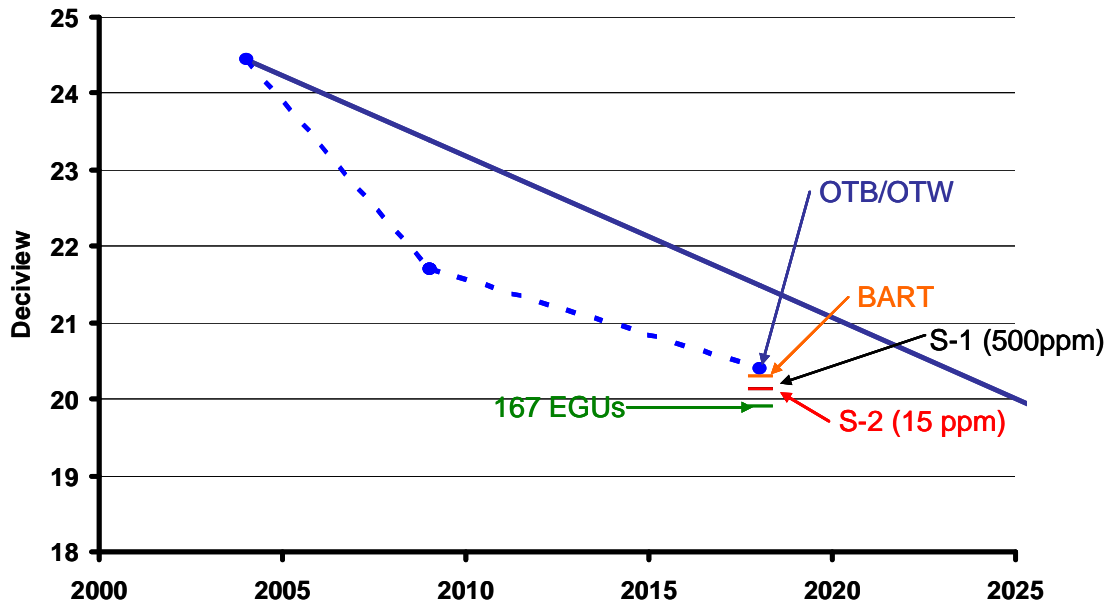




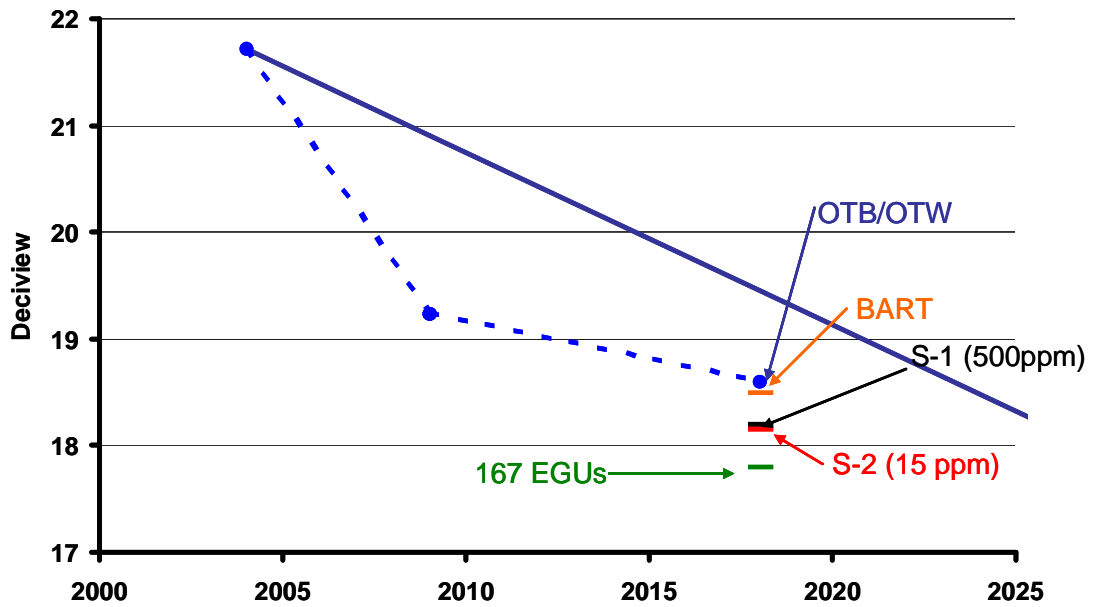
**Figure 5-8. Visibility improvement relative to uniform rate of progress at Great Gulf Wilderness Area**



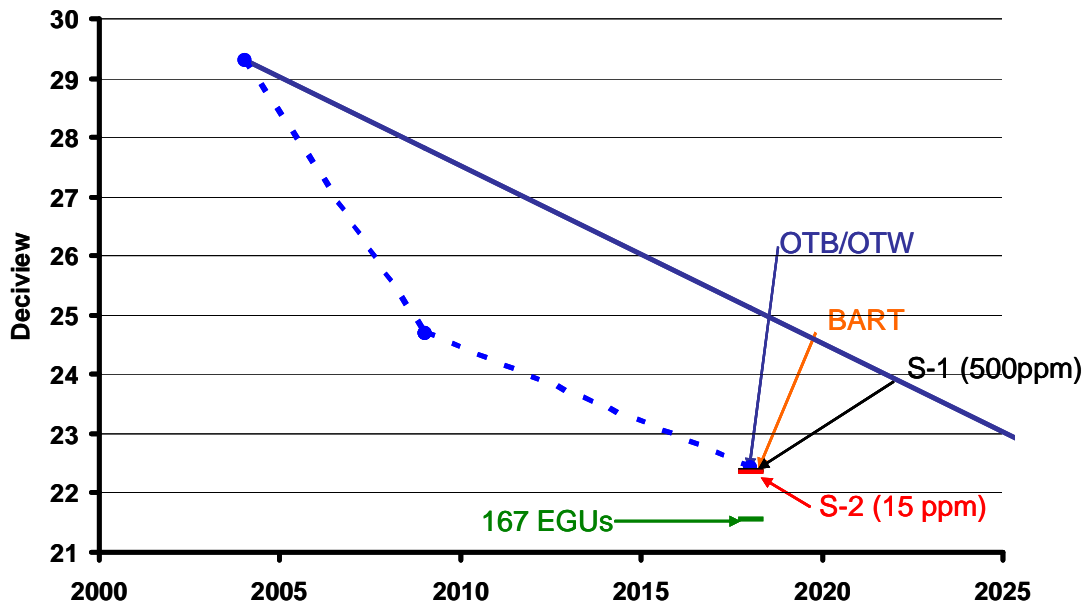
**Figure 5-9. Visibility improvement relative to uniform rate of progress at Lye Brook Wilderness Area**



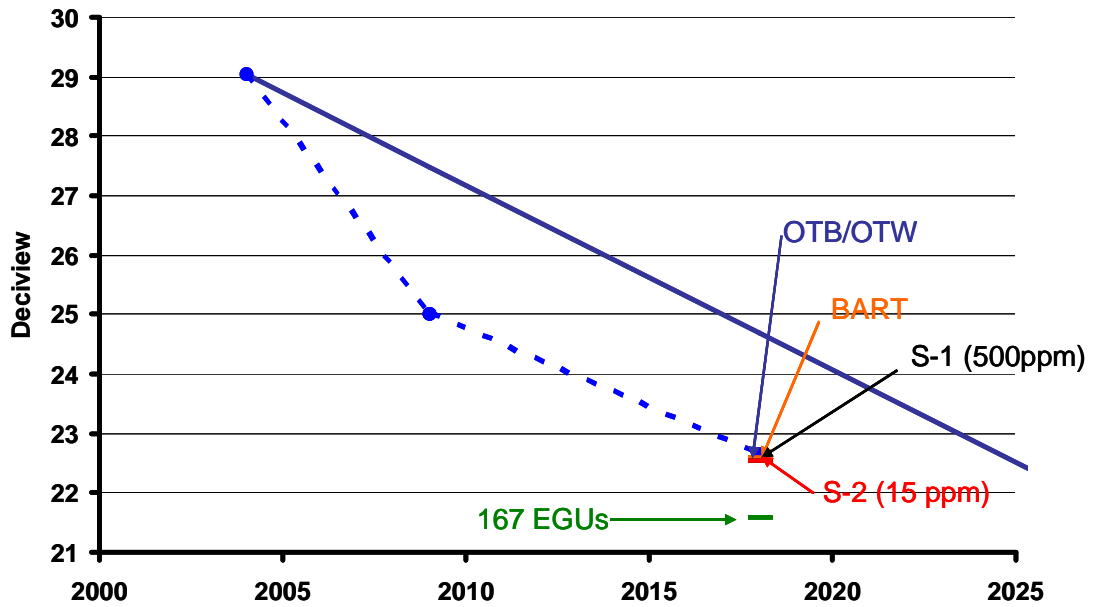
**Figure 5-10. Visibility improvement relative to uniform rate of progress at Moosehorn National Wildlife Refuge**



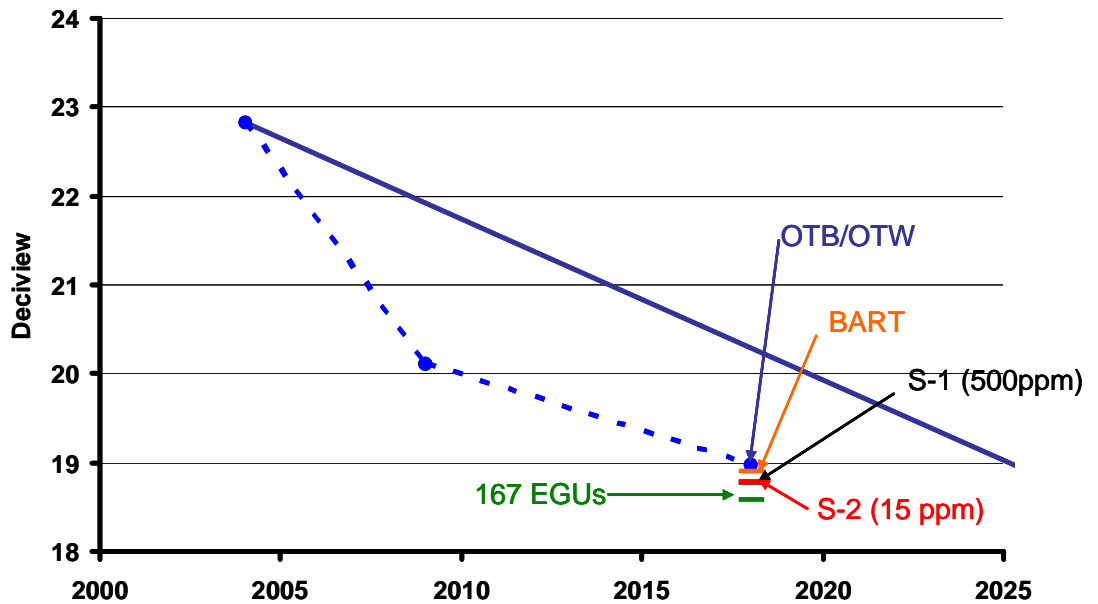
**Figure 5-11. Visibility improvement relative to uniform rate of progress at Shenandoah National Park**



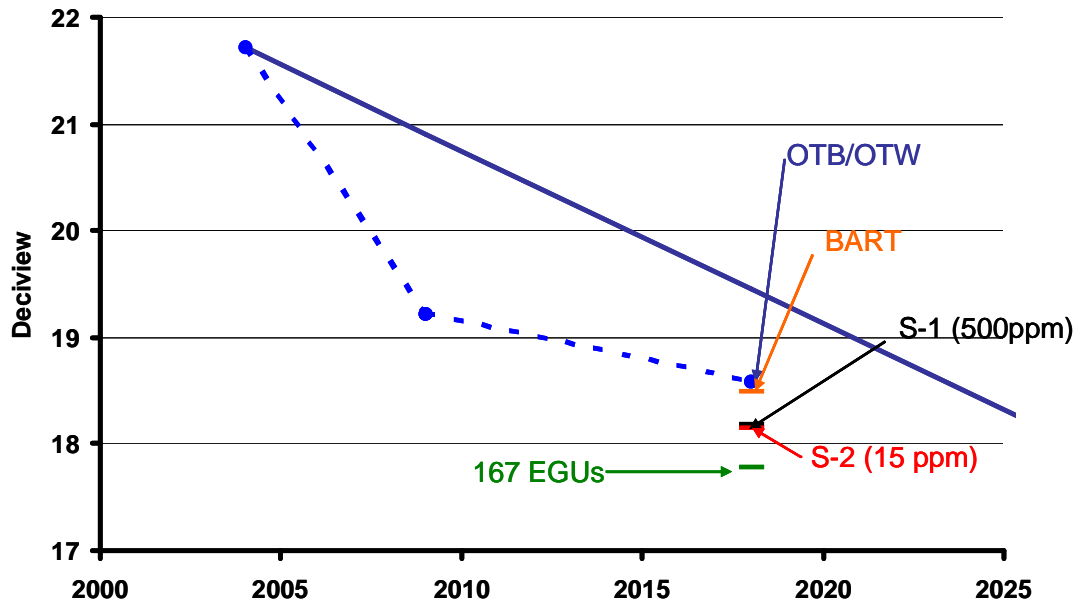
**Figure 5-12. Visibility improvement relative to uniform rate of progress at Dolly Sods Wilderness Area**



**Figure 5-13. Visibility improvement relative to uniform rate of progress at Presidential Range-Dry River Wilderness Area**



**Figure 5-14. Visibility improvement relative to uniform rate of progress at Roosevelt-Campobello International Park**



Tables 5-1 and 5-2 summarize the sulfate mass reductions and the deciview targets that represent the progress shown in the prior figures.

**Table 5-1. Projected 2018 twenty percent worst day sulfate mass reduction at MANE-VU Class I areas under various control assumptions.**

MANE-VU Class I Area	Baseline [2000-2004]	OTB/OTW [2018]	BART	S-1	S-2	167 EGUs
Acadia National Park, ME	6.32	2.40	0.08	0.29	0.03	0.37
Brigantine Wilderness, NJ	11.58	5.35	0.07	0.20	0.02	0.51
Great Gulf Wilderness, NH	7.28	2.96	0.06	0.09	0.01	0.13
Lye Brook Wilderness, VT	8.46	3.49	0.09	0.13	0.01	0.18
Moosehorn Wilderness, ME	5.67	2.03	0.07	0.21	0.03	0.24
Presidential Range – Dry River Wilderness, NH	7.28	2.96	0.06	0.09	0.01	0.13
Roosevelt-Campobello International Park, NB	5.67	2.03	0.07	0.21	0.03	0.24

Notes on Table 5-1:

1. Baseline values represent the average sulfate mass ( $\mu\text{g}/\text{m}^3$ ) over the 5 year baseline period on the 20 percent worst days.
2. OTB/OTW represents the combined estimated mass reduction ( $\mu\text{g}/\text{m}^3$ ) due to all “on the books” measures.
3. BART mass reduction reflects preliminary estimates of emission reductions resulting from BART determinations. These determinations are still in the process of being conducted, however, and thus are subject to change.
4. S-1 oil strategy assumes the adoption of 500 ppm distillate, 0.25 percent S for all No. 4 oil and 0.5 percent S for all No. 6 residual oil.
5. S-2 oil strategy assumes the adoption of 15 ppm distillate, 0.25 percent S for all No. 4 oil and 0.5 percent S for all No. 6 residual oil.
6. 167 EGU strategy benefits are based on net reductions after each of the 167 stacks is controlled to at least the 90 percent level and after the identified emissions reductions (beyond 2018 projections contained in the Base B emissions files) are redistributed among all other CAIR-eligible EGUs in the modeling domain.

**Table 5-2. Projected 2018 twenty percent worst day deciview goals for MANE-VU Class I areas under various control assumptions**

MANE-VU Class I Area	Baseline [2000-2004]	OTB/OTW [2018]	+BART	+S-1	+S-2	+167 EGUs
Acadia National Park, ME	22.89	19.62	19.51	19.10	19.05	18.50
Brigantine Wilderness, NJ	29.01	24.26	24.19	24.00	23.98	23.47
Great Gulf Wilderness, NH	22.82	18.81	18.74	18.62	18.61	18.43
Lye Brook Wilderness, VT	24.45	20.40	20.29	20.13	20.12	19.90
Moosehorn Wilderness, ME	21.72	18.59	18.50	18.20	18.16	17.80
Presidential Range – Dry River Wilderness, NH	22.82	18.98	18.90	18.78	18.77	18.59
Roosevelt-Campobello International Park, NB	21.72	18.58	18.49	18.19	18.15	17.79

Notes on Table 5-2:

1. Baseline values represent the 5-year average baseline conditions (dv) on the 20 percent worst days.
2. OTB/OTW represents the projected deciview goal due to all OTB/OTW measures.
3. Pluses indicate that the deciview goals assume implementation of all measures to the left of and including the column indicated.
4. BART reflects preliminary estimates of emissions reductions due to BART determinations. These determinations are still in the process of being conducted and thus are subject to change.
5. S-1 oil strategy assumes the adoption of 500 ppm distillate, 0.25 percent S for all No. 4 oil and 0.5 percent S for all No. 6 residual oil.
6. S-2 oil strategy assumes the adoption of 15 ppm distillate, 0.25 percent S for all No. 4 oil and 0.5 percent S for all No. 6 residual oil.
7. 167 EGU strategy benefits are based on net reductions after each of the 167 stacks is controlled to at least the 90 percent level and after the identified emissions reductions (beyond 2018 projections contained in the Base B emissions files) are redistributed among all other CAIR-eligible EGUs in the modeling domain.

## 6. CONCLUSIONS

This report provides details on modeling platforms and input data as well as a description of the processing steps that were undertaken to prepare inputs for use in simulating future air quality on an eastern U.S. domain that includes MANE-VU Class I areas. The findings are consistent with previous work documenting the role of SO<sub>2</sub> emissions in the formation of visibility impairing fine particulate in the eastern U.S. (NESCAUM, 2006a, b). This report goes further, however, in terms of providing detailed simulations of (1) projected visibility impairment in 2018 under a “beyond on the way” scenario that represents a starting point for the regional haze program; (2) state-by-state apportionment of 2018 emissions for that 2018 “beyond on the way” scenario; and (3) sensitivity analysis of the projected benefits of several additional measures that are being considered by the MANE-VU states for inclusion in reasonable progress goals.

The findings of these simulations suggest that:

- The “beyond on the way” scenario – defined by CAIR with other “on the books” measures and the limitation of fuel sulfur content to 500 ppm for all No. 2 “distillate” fuel oil sold in the MANE-VU region – is sufficient to achieve visibility improvement beyond the so-called “uniform rate of progress” defined by uniform visibility improvement between now and 2064, the planning horizon for the regional haze program.
- The 2018 pollution apportionment suggests that this improvement is due to significant reductions in the relative contributions of almost all eastern U.S. states, resulting in a *relative* increase (though not an absolute increase) in the projected contribution from areas outside the modeling domain (e.g., Canada and the model domain boundary conditions).
- Potential additional emissions reduction strategies (including the reduction of fuel sulfur content of No. 2 distillate to 15 ppm, limits on sulfur content of residual oil, control of BART-eligible sources, and additional EGU controls beyond CAIR) could yield significant further reductions of sulfate and corresponding significant visibility improvements at MANE-VU Class I areas and should be considered with respect to the four statutory factors in setting reasonable progress goals.

As MANE-VU states consider these results and conduct consultations with each other and neighboring RPOs, NESCAUM will prepare a “best and final” modeling scenario for 2018 that may assist the Class I states in setting reasonable progress goals based on their assessment of which measures are reasonable to implement. This final model run is anticipated to be complete in March 2008.

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