SWANA RECYCLING
TECHNICAL ASSISTANCE STUDY
FINAL REPORT
SUSTAINING AN AFFORDABLE
WASTE MANAGEMENT PROGRAM

Prepared for:
LANSDOWNE BOROUGH,
DELAWARE COUNTY, PENNSYLVANIA

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# SWANA RECYCLING TECHNICAL ASSISTANCE STUDY

**FINAL REPORT**

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## APPENDICES

- **Appendix B** – Pennsylvania PAYT Program List
Gannett Fleming, Inc. (GF) conducted an evaluation of the existing waste management and recycling program with valued input from the Borough of Lansdowne staff and its Public Works Department (PWD). A primary reason for taking a closer look at the existing waste system included rising collection system costs that were magnified by recently imposed waste disposal tip fees that add over $100,000 annually to the program cost. Escalating costs will continue to impact residential trash fees unchecked unless steps are taken. This Executive Summary provides an overview of the findings and recommendations for improving the overall performance of the existing system, as described in more detail in the full Report.

The Borough PWD operates a comprehensive waste and recyclables collection service that is characterized by the following services:

- Twice-per-week trash collection picked up at the side or back of the house.
- Every other week single-stream recycling in 18-20 gallon curbside recycling bins.
- Wednesday bulky item service provided separate from regular trash or recycling.
- Yard waste collection one or two Wednesdays per month, March - December.
- Leaves vacuumed four times each fall between October and December.

Although the overall waste program service is comprehensive, the level of trash service and recycling service is unbalanced. The waste and recyclables collection methods and frequencies do not optimize the overall waste system performance. The 12 percent recycling rate (shown in the chart to the right) is well below 20 to 35 percent curbside rates in other Pennsylvania municipalities. Twice-per-week trash services and costs appear excessive and unintentionally diminish the effectiveness of the recycling program. Twice-per-week trash collection contributes to avoidable environmental harms and public impacts compared with a once-per-week alternative. Truck idling during back door trash service along with below average recyclables diversion rates adds to these environmental impacts.
The existing program has a silver lining. Lansdowne is in a position to feasibly reduce costs, lower emissions and fuel consumption, improve recycling, and reduce street damage and truck traffic and substantially improve the overall performance of its waste system. Importantly, adjustments can be made to the program without compromising the ability of the PWD to deliver a level of curbside waste and recycling service that meets residential needs. Sensitive to the economic climate and with the shared understanding between the Borough and GF, our recommendations don’t suggest lowering cost by cutting staff. Rather, our recommendations re-balance the level of curbside waste and recycling services. Where program efficiencies are achieved in trash collection, reallocation of staff to enhanced recycling service and other municipal responsibilities has value.

Key conclusions regarding the existing waste system include:

1. Tipping fees add over $100,000 annually, or about $23.00 per year per occupied household. Improving recyclables diversion to realistic target levels through recommended strategies can offset this new disposal cost by 10-17 percent.

2. Standard twice-per-week trash service is 15 to 20 percent more costly than comparable once-per-week trash service.

3. Back door and side-of-the-house trash collection is labor intensive, dramatically slows trash routes, increases risk of worker injury, and has material impact on program costs.

4. The convenience twice-per-week trash service competes with recycling efforts.

5. Reported per-household recovery of recyclables is far below achievable benchmarks. Every other week curbside collection of recyclables in small, 18-20 gallon bins, is not effective. Recycling containers are undersized to maximize recovery.

6. Usable data and cost metrics are essential in order to make informed adjustments to the Borough’s waste management program. However, record keeping of waste and recycling performance and costs by the Public Works Department is deficient and lacks coordination with accounting and/or other departments.

Summarized recommendations include:

1. Implement weekly trash collection and weekly single-stream recyclables collection provided on the same day.

2. Increase the size of curbside recycling containers to 35, 64, and 96 gallon totes.

3. Implement a volume-based pricing system or Pay-As-You-Throw System on the waste portion of the program. For example, households that place more than two trash containers out for pick up (the base level of service at a fixed fee) would be required to pay for the additional service. PAYT distributes cost more
equitably and it is financially beneficial for residents to recycle. The PAYT is also recommended to be applied to bulky items. Residents can buy tags or stickers, if they wish to dispose of “additional” or special bulky items as determined by the Borough.

4. Offer the option for small businesses that generate waste within allowable quantities to subscribe or “opt-in” to the Borough waste and recycling collection service.

5. Negotiate improved recyclables processing contract terms with Blue Mountain Recyclery or one or more other area processors, which may include participation with neighboring municipalities to create improved market leverage.

6. Conduct an analysis to identify the costs and level of effort associated with the various components of the waste system (e.g. trash collection, disposal, recycling collection and disposal, bulky item service, yard waste, leaf waste, and even workers compensation costs). Use this information for waste system operations and budgeting decisions.

The Borough’s health, safety and welfare along with community needs and aesthetics can be enhanced with the recommended weekly curbside waste and recyclables program. Savings will be a combination of budget impacts seen in the form of decreased refuse tipping fees, increased recyclables sales and Act 101 Section 904 Performance Grants, and operational savings including slightly lower maintenance and annual fuel costs. Benefits from the proposed efficiencies are shared with residents through the continued delivery of affordable waste management services in an environmentally, economically, and socially responsible manner. The Borough is similar to many Pennsylvania communities where people face a rising cost of living and environmental awareness is heightened. These recommended and worthwhile changes will face short lived resistance but can result in an improved status quo in the near future.
1.0 INTRODUCTION

The Borough of Lansdowne (Borough) provides waste and recyclables collection using its Public Works Department (PWD). The Borough is concerned about rising costs associated with its waste management and recycling program, including a new waste disposal fee of $23.45 per ton that is being assessed for every ton disposed beginning in 2010. The Borough is requesting recommendations on how to increase waste diversion to recycling and how to keep costs affordable for the Borough and its residents. Through the partnership with the Solid Waste Authority of North America (SWANA), the Pennsylvania State Association of Township Supervisors, and the Pennsylvania Department of Environmental Protection (PADEP), the Borough was awarded $7,500 in technical assistance to be provided by Gannett Fleming, Inc. (GF) to evaluate the program and make recommendations.

1.1 Scope of Work

Task #1 GF will gather and review background information provided by the Borough related to existing waste management and recycling activities. This task will include a review of historic recycling and refuse data and relevant contracts with collectors, processors or other entities.

Task #2 GF will identify alternatives or variations to the existing collection system that can enhance recycling and/or optimize collection system efficiency. GF will develop findings and recommendations for implementation of an alternative/modified waste and recyclables collection system.

Task #3 GF will prepare and provide the Borough with a summary report of findings and recommendations. This task includes a review of the Report by the PADEP and response to PADEP comments. An electronic file of the final report will be submitted to PADEP. Both an electronic and hardcopy version of the final report will be provided to the Borough.
2.0 BACKGROUNDB

Lansdowne Borough is a suburb located less than ten miles from Philadelphia in Delaware County, Pennsylvania. Over 11,000 Borough residents live within the 1.2 square mile community. Lansdowne is an attractive, classic town with tree-lined streets, eleven parks, and many Victorian-style homes. The Borough’s waste and recycling program is operated by the Public Works Department under a Union contract. The Borough’s waste and recycling program is progressive and comprehensive. However, offering residents and businesses comprehensive opportunities to properly manage wastes, recyclables and organics is not cheap, and the cost for providing these services continues to escalate. The cost increases are magnified by a recently implemented per-ton tipping fee for waste disposal.

The Borough’s Environmental Committee evaluates waste management and recycling options and costs along with other environmental initiatives on an ongoing basis. In the last few years, some focus areas have included:

- Recycling enforcement for apartment complexes
- Changing from twice-per-week to once-per-week trash collection
- Changing recycling from once per week to twice per week collection
- Modifying the organics curbside collection schedule
- Increasing small business recycling
- Evaluating the use of fines to enforce recycling mandates

3.0 EXISTING WASTE AND RECYCLABLES COLLECTION SERVICES

The following sections describe the residential waste collection service recycling programs currently operated by the Public Works Department.

3.1 Residential Curbside Waste Collection

The Public Works Department provides twice-per-week waste collection services to residential single-family units, and to multi-family dwellings of up to six units. Crews enter the property for pick up at the back of the house, rather than residents placing their containers out at the curb. The collection areas are broken into eight zones. According to the Borough, on average collection crews service 500 units per route.

In 2008, the Borough collected and disposed 4,598 tons of municipal waste. The Borough will be assessed a $23.45 per ton waste disposal tip fee by the Delaware County Solid Waste Authority in 2010. If Borough residents dispose waste at year 2008
rates, the additional waste disposal cost will be approximately $108,000 annually. This cost is equivalent to roughly $23.00 in additional cost per household per year.

3.1.1 Bulky Item Collection

The Borough encourages the reuse of bulky items in good condition by donation to the Community Action Agency of Delaware County. For other bulky items, residents must arrange for bulky item collection by filling out an on-line form. There is no separate charge for the collection, except for a $10 per item “hazardous waste fee” for refrigerant-containing items. The borough sends out two trucks on two Wednesdays each month to collect the items from the scheduled households. On average, each truck stops at between 75 and 100 households. Households can set out no more than five items. Acceptable items include:

- Furniture: tables, bookshelves, sofas, etc.
- Mattresses
- Bicycles
- Baby carriages
- Washers
- Dryers
- Refrigerators
- Air conditioners
- Ranges
- Hot water heaters
- Lawn mowers (gasoline removed)
- Auto tires without rims
- Auto wheels/rims; steel cable

3.2 Recycling

3.2.1 Residential Curbside Recyclables Collection

The Borough converted its dual stream curbside recycling program to a single-stream program in 2007. All recyclable materials including containers and paper are combined for collection and delivery together to a processor. Recyclables are collected once every two weeks along eight different routes. The Borough collects single-stream recyclables using one 25-yard capacity packer truck. The curbside program accepts the following materials:
3.2.2 Yard and Leaf Waste Collection

Borough crews collect yard waste one or two Wednesdays per month from March through December. Residents are asked to place their yard waste in a trash can or a paper yard waste bag, or to bundle it and tie with string. Loose leaf waste is collected...
four times each fall, between October and December. The Borough uses a vacuum truck to collect leaves left along the curb. According to the Delaware County Solid Waste Authority, the Borough deposited 297 tons of yard waste for recycling in 2008.

3.2.3 Residential Recyclables Processing: Blue Mountain Recyclery/FCR

The collected recyclables are taken to Blue Mountain Recyclery/FCR for processing. The Borough periodically executes a contract to secure pricing for recyclables commodities. The contract sets up a formula based on fluctuating recyclables market conditions. The economic recession has depressed recyclables markets, resulting in currently low recyclables revenues and sometimes positive recyclables tipping fee costs. Since September, the tipping fee to deposit recyclables at Blue Mountain Recyclery has ranged from approximately two to twelve dollars per ton.

3.2.4 Public Recycling Opportunities

The Borough provides recycling bins at train stations and in other public locations and at public events like the annual Art Festival. Public recycling Igloos are available at the Highland Avenue parking lot.

3.2.5 Apartment Recycling

Apartments, multi-family units, and condominiums up to six units are required to participate in the Borough’s curbside recycling program. Larger apartment complexes (seven or more units) that are not serviced by the Borough are required to arrange for collection and recycling of all designated materials. Property owners must provide written documentation as to the type and weight of materials recycled for the prior year by January 15th. The codes department recently incorporated recycling into annual rental inspections.

3.2.6 Business Recycling

Borough businesses are required to recycle according to Borough ordinance. Businesses independently contract for waste and recycling services. Businesses handle recycling in a variety of ways including contracting recycling services with a private hauler (common for cardboard), self hauling, and/or volunteer recycling efforts. The Borough has looked at ways to increase recycling for small businesses, but has not yet identified a strategy.

3.3 Residential Refuse and Recycling Summary

As shown in the Residential Refuse & Recycling Totals graph, in 2008 the Borough collected approximately 4,600 tons per year of waste in its regular trash collection and
approximately 660 tons of recyclables in its curbside recyclables collection. Refuse and total materials collected had declined slightly from 2007, while recyclables increased slightly.

These amounts equate to a 12 percent residential recycling rate, not including bulky item and yard and leaf waste recycling. With the 297 tons of yard waste recycling, the percentage of residential waste diverted increases to 17 percent. Note that “residential” here refers to dwellings of up to six units.

![Graph: Residential Refuse and Recycling Tons per Year](chart1)

![Pie Chart: Residential Curbside Materials Composition 2008](chart2)

### 3.4 Preliminary Evaluation of the Existing Collection System

GF’s preliminary review of the Borough’s existing curbside waste and recyclables collection program reached the following key findings:

- **The imposition of tipping fees will require one or more adjustments to the Borough system.** In 2010, the Borough will pay approximately $108,000 for recently imposed waste disposal tip fees. The added cost is equivalent to approximately $23.00 per year for occupied households. Mitigating and managing this cost likely will come from one or more of the following adjustments:

  1. Directly reducing the tipping fee burden by reducing the amount of trash disposed.
  2. Offsetting a portion of tip fee expenses by reducing solid waste management operational and related costs. For example, by implementing measures to improve curbside collection efficiency.
  3. Increasing the residential waste management fee to recover the increase. Optimally, this pass through cost to residents will be minimized and fairly
allocated to the extent feasible by implementing active measures as noted above.

- From a statewide and national perspective, twice-per-week trash collection and bi-weekly recyclables collection (current system) is not a common waste management scheme and it does not optimize recycling efforts. A more common approach in Pennsylvania curbside recycling communities is for both refuse and recyclables to be collected once-per-week. With a weekly schedule for single-stream recyclables and trash, each stream is equally convenient for residents to manage – and that boosts recycling participation. In Lansdowne, curbside trash collection occurs four times more often than recycling, putting recycling at a disadvantage.

- Although recycling is strongly emphasized and enforcement is in place, aspects of the Borough’s existing waste system structure negatively impact the overall performance of the waste and recycling program - in the recovery of recyclables and in the efficiency/cost of collection. Key aspects of the program where this occurs include:
  
  o Twice-per-week trash service competes with recycling. Trash service convenience further deters recycling efforts because the current ordinance does not specifically define curbside set-out quantity limits for trash. In other words, Borough residents can place as much trash out at the curb as they want without a specific limit that could be effectively enforced. Trash limits of 4-6 bags (35 gallon) per house per set out are proven to be linked to increased recycling.
  
  o Back or side of the house trash service is provided and drastically slows routing compared to curbside set-out trash collection. The current service method contributes to the collection of approximately 500 households per route, which is 100 to 300 fewer homes than typically collected on weekly curbside trash routes across Pennsylvania. This pick-up method is labor intensive and adds time to each pickup due to increased distance to the truck while carrying heavy trash. This method increases the risk of worker injury and workman’s compensation claims. The Borough indicated that about 500 households are also serviced per route for recycling. This is 300 to 500 houses fewer serviced per route than expected for recycling.

  o The program lacks any financial disincentive program (like “Pay-as-you-throw”) for residents/property owners who discard more waste than the typical household.

- Borough recycling diversion rates fall short of state targets and short of known achievable benchmarks for municipal curbside recycling. Borough households served by the municipal program recycled approximately 12 percent of their waste, not including yard waste, and 17 percent when including reported yard
waste tonnages. This amount is well short of the state goal of 35% recycling. Viewed another way, Lansdowne’s reported curbside recovery average of 6.3 pounds per household per week is less than household quantities diverted in many other communities. For example, Cheltenham Township in Montgomery County collects nearly 11 pounds of recyclables per household per week in a dual-stream weekly collection, a rate over 70% higher than Lansdowne’s.

- **Borough residents enjoy a comprehensive and high level waste service, but in comparison the recycling service is somewhat limited and inconvenient.** The back door collection for trash collected twice-per-week plus free weekly bulky item collection services and seasonal curbside yard waste collection twice per month plus leaf removal make up a robust service package. Deficiencies for recycling are characterized by every other week collection, convenient waste disposal, and small recycling containers.

- **Collecting bulky items** (e.g. furniture) on a separate day from regular trash is not a widely preferred method in the waste hauling industry because it is inefficient and adds cost when compared with collection a limited number of specified bulk items each week with regular trash. However, bulky collection service cannot be integrated into the standard waste collection program because bulky items are only accepted at Delaware County Solid Waste Authority’s transfer station Plant#1 on Wednesdays or Thursdays and cannot be mixed with regular trash.

- **The household fee appears to be reasonable.** In comparison to prevailing public and private charges in eastern Pennsylvania, and in light of the very high level of service, the current solid waste fee of $224 per year appears to be a reasonable price for the services offered. However, with the implementation of the Authority tipping fee and in the absence of compensating or other cost reductions, this fee may need to be raised by roughly $23.

- **Small recycling container sizes limit program performance.** Households use 18 or 22-gallon recycling containers. In our experience, the average household participating in a single-stream recycling program like Lansdowne’s will often exceed the capacity of such containers with just one week’s generation of recyclables. When recycling containers are full, residents are inclined to dispose of recyclables rather than store them. Single-stream programs typically opt for 35, 64, or 96 gallon totes to maximize recovery.

- **The Borough’s recyclables processing contract does not appear to optimize revenue opportunities for recyclables.** Lansdowne has paid $2 to $12 per ton to deposit its single-stream recyclables over the past several months. GF has observed better contract terms in this region for recyclables. Market competition exists among processors in the local area. Combining Borough recyclables with
one or more other municipalities to secure a better price is an option that should be considered.

- **Inefficient recycling routes?** Although GF did not conduct a route analysis, we were provided basic route information. Based on the recycling routing information received by the Borough, 500 households are collected per day or route, the current recyclables collection routes service far fewer households than the industry average. Most single-stream recycling routes service 800 - 1,100 households in an 8-hour day. The average time per stop should be around 30 seconds. The public works department indicated that recyclables are often “loose”, meaning additional handling is needed for items that are set at the curb but do not fit in the recycling container.

### 4.0 TRENDS: ONCE-PER-WEEK VS TWICE-PER-WEEK COLLECTION

Because this study identifies deficiencies with the current twice-per-week trash collection program, it was necessary to review trends to clarify perceptions about twice-per-week trash service. Although there is no complete survey of statewide municipal waste collection systems, Gannett Fleming has witnessed through its work across Pennsylvania that once-per-week waste collection is provided in the significant majority of municipalities. Southeastern Pennsylvania has areas where twice-per-week waste collection has persisted since its implementation years ago. Gannett Fleming attributes these southeastern Pennsylvania waste system anomalies to demographic and related characteristics including:

- above average per capita income
- suburban neighborhoods
- hauler reinforcement to increase profits
- historic perceptions that second day trash service is needed

Over time, twice-per-week trash collection in this area has become the expected norm and consequently residents are conditioned to believe they “need” a second day to place materials at the curb. Since trash bills are proportionally a small cost component in the cost of living, paying a high trash bill for a second day of service is not perceived as a burden. Notably, trends show a change away from twice-per-week collection. GF believes the primary factors for this trend are environmental awareness and cost consciousness by both municipalities and residents. Even in this region where some pockets of twice-per-week collection persist, the norm is once-per-week collection. In Chester County, for example, out of 46 reported municipalities, eight (seventeen percent) offered twice-per-week collection year round, and another two offered it part of the time. In Delaware County, the following municipalities are known to offer twice-per-week trash collection (*may not account for all municipalities*):
- Clifton Heights Borough
- Springfield Township
- East Lansdowne Borough
- Yeadon Borough (twice-per-week in summer only)
- Upper Darby Township (twice-per-week in summer only)

Although cost is not an issue for many residents in these wealthy neighborhoods, environmental stewardship increasingly has more value. Consequently, any attempt at transitioning to once-per-week trash collection must highlight the environmental harms of the existing system and the environmental benefits of a new collection schedule and program. Besides its cost and its disincentive effect on recycling, twice-per-week trash collection causes more negative environmental impacts due to increased fuel consumption, increased emissions, and increased damage to roadways. According to the American Association of State Highway and Transportation Officials (AASHTO) a single trash truck has the same impact to a road surface as 1,000 cars. A typical trash truck gets less than five miles per gallon. Vehicle emissions, which contain a myriad of harmful and carcinogenic toxins, are attributable to poor air quality particularly in dense neighborhoods and impact human health.

5.0 WASTE SYSTEM OPTIONS AND MODIFICATIONS

The scope and premise of this study was broad: to identify changes that would increase diversion and reduce costs. GF could not investigate all existing program features or go into great detail in evaluating recommended alternatives and/or modifications to the existing program. However, based on our review of the waste and recycling program, we developed some initial options and strategies believed to target the low hanging fruit, both in terms of waste reduction and decreased costs. Implementing a combination of options and program modification will likely yield the greatest impact in terms of overall improvements to program efficiency. The Environmental Committee and Gannett Fleming can develop these options further and assist with implementation as needed.

5.1 Implement Once-Per-Week Trash and Recyclables Collection

Twice-weekly collection suffers from three main drawbacks in comparison to once-per-week collection:

1. **Higher cost.** As confirmed by GF through discussions with waste haulers, a twice-per-week refuse collection program costs 15-20% more than a weekly collection program. It is not double the cost because of fixed costs. Also, fewer curbside set-outs the second day of collection results in many skipped houses, less operating hours and less waste disposed as compared to the first route.
2. A second day available to discard trash discourages recycling, reduction, and reuse. Recycling should be at least as convenient as trash disposal or recyclables diversion will be negatively impacted. Section 3.4 above shows that Lansdowne experiences below average rates of participation per household (as measured by weight).

3. Twice-per-week collection magnifies environmental harms, public nuisances, and street damage when compared to once-per-week collection.

Consequently GF recommends implementation of once-per-week trash and recyclables. This recommendation has two parts that stem from underperforming aspects of the current program; (1) reduce the frequency of trash collection, and (2) increase the frequency of recyclables collection.

5.1.1 Trash Frequency Reduction Excluding Summer Months

Recognizing that the Borough will encounter resistance to once-per-week trash service, we offer that the Borough could consider continuing twice-weekly collection in the summer months (as done in some nearby municipalities). This compromise would address the concern (often unfounded) with trash odors during the warm months. During the remaining months, the Borough would save substantial costs, encourage recycling, and allow residents to experience the effectiveness of weekly collection during the remainder of the year. For example, after a six month pilot in Plano, Texas, 92 percent of surveyed residents reported that they did not feel they needed a second day for trash collection (U.S. EPA 1999). Transitioning to year-round once-per-week collection could be reconsidered after some experience with the modified schedule.

5.1.2 Pay-As-You-Throw (PAYT) for Second Day Trash Service

Currently, the Borough trash fees are the same for every household – whether or not they dispose of trash on the second day of collection. Public resistance can be minimized by simply offering a choice to property owners of once-per-week or twice-per-week service. Under this approach, waste costs are distributed more equitably, because only residents who select and use the second day service will have to pay for it. Jacksonville, Florida, is an example of a community that provides a second weekly collection on a subscription basis. Only one half of one percent of households use this extra service (U.S. EPA 1999). A variation of this option is to offer the optional second collection in summer months only. By charging for twice-per-week service in summer collection for those who request the service, it promotes yard waste recycling, composting, and “grass-cycling” (leaving grass trimmings on the lawn) because the cost acts as a disincentive and encourages recycling of materials. Each subscription option can serve as a version of PAYT, which is a proven waste system format for increasing diversion from disposal at landfills and incinerators to recycling.
5.1.3 Increase Recyclables Recovery Via Once-Per-Week Collection

An effective and efficient change the Borough can make to increase the diversion of materials to recycling is to put recycling on an equal footing with trash disposal – collect both streams on a weekly basis. Although adding another day for recyclables collection adds collection costs, GF believes weekly recyclables collection is a feasible alternative for Lansdowne because:

- In recommending the change from twice-per-week to once-per-week trash collection, staff will be available to offer the enhanced, once-per-week recycling service that will divert more recyclables tons while putting recyclables collection on a level playing field with recycling. It is our general understanding it is not the intent of the Borough to remove staff, but reallocate them as necessary to improve the program.

- The recycling performance is poor, largely due to inconvenience. A more frequent recyclables pickup on the same day as trash will simplify the program and improve the utilization of the existing recycling containers that are not large enough for bi-weekly collections.

In its report titled Getting More For Less: Improving Collection Efficiency (1999), the U.S. EPA reports on five municipalities that decreased recycling frequency from weekly to bi-weekly. All but one of those communities reported that the increase in materials per set out was outweighed by the decreased frequency, resulting in a net loss of recyclables materials diversion. These results demonstrate recyclables quantities will increase when collection frequency increases. Based on GF contacts with area private waste haulers, Lansdowne can expect to collect ten pounds or more per household per week in a properly structured weekly single-stream curbside program. An increase to ten pounds per household would translate into an increase of 385 tons of recyclables over 2008 reported totals (656 tons).
5.1.4 Cost Impact of Changes in Collection Frequencies

GF projects that Lansdowne could readily offer weekly trash and recyclables collection at essentially the same, or lower cost than is currently paid by residents. The Borough runs sixteen refuse routes and four recycling routes per week, totaling twenty routes. Solid waste crews and trucks are also engaged in bulky item and yard and leaf waste collections, not counted in these totals.

**Recycling Collection Routes.** For a weekly recycling program, the eight weekly recycling routes can be serviced simply by adding four additional recycling routes each week. With collection twice as frequent, it tends to reduce the amount per set out. The rate of recycling per household is projected to increase due to convenience and consistency. Larger recycling bins will also increase recovery.

**Trash Routes: No Route Size Adjustment Scenario.** While there would be four additional recycling routes per week, the number of refuse collection routes will be reduced. In the most efficient collection scenario, all eight second weekly refuse routes would be eliminated, resulting in a total of sixteen routes (eight refuse and eight recycling). The net change would be four less collection routes (twenty percent less refuse/recycling routes), and the Borough would enjoy substantial collection cost savings. Note that this change would not equate to a twenty percent reduction in solid waste collection costs because these crews and trucks provide other services (bulky items, yard waste) that are not affected by these proposed changes.

**Trash Routes: Cost-Neutral Scenario.** The more likely scenario is that the Borough will need to reduce the size of the refuse routes due to an increase in the material set out per stop. As a result, Lansdowne may need to add one or more additional refuse routes. In a collection cost-neutral scenario, the Borough would have the same number of total refuse and recyclables collection routes as currently (twenty) only in the form of eight recycling routes and twelve refuse routes. Such a scenario would reflect the change from eight to twelve refuse routes, with a 33 percent reduction in the number of households serviced per route. GF regards this cost neutral scenario as the recommended scenario to adopt for evaluation and initial planning purposes.

**Recyclables Revenues and Tipping Fee Impacts.** Assuming net collection costs are projected to be unchanged, the cost impact of the extra recycling/reduced trash collections is affected by material processing fees or revenues. Reduced disposal saves $23.45 per ton. In addition, Act 101, Section 904 Recycling Performance Grants are awarded with a price based on the amount recycled (~$10 – 20 per ton). This analysis assumes an award of $15 per ton from Performance Grants.

Depressed recyclables market conditions currently result in a cost to the Borough to process recyclables, but this cost is still cheaper than waste disposal. The Borough pays between two and twelve dollars per ton for recyclables delivered to Blue Mountain Recyclery. Using $10 as a conservative recyclables tip fee, the Borough would save on...
net $28.45 per ton recycled (the savings of $23.45 refuse tipping fee plus a $15.00 recycling performance grant minus the $10 “tipping fee” to process the recyclables). GF projects that the Borough could increase recyclables recovery by 385 additional tons from enhanced recycling (section 4.1.2). The savings to the Borough under this conservative recyclables market scenario for the additional 385 tons of recyclables would be about $11,000 annually. If recyclables markets improve (the current trend) and generates positive revenue of $10 per ton, then the “savings” to the Borough would be $48.45 per ton, for a total of nearly $19,000 per year.

**Cost Impact Conclusions.** Under these relatively conservative scenarios, the cost savings are modest, ranging from roughly $11,000 to $19,000 per year. These changes would offset 10 to 17 percent of the cost associated with Authority imposed disposal tip fees (see figure to the right). These cost impacts are based on conservative assumptions regarding collection schedule adjustments, in which the Borough would not reduce time spent on collection routes. Notably, there appears to be opportunities to reduce overall collection system costs by making schedule and method adjustments to the current collection system if support can be obtained for recommended modifications.

![Bar chart](chart.png)

### 5.2 Modify the Bulky Item Collection System

Providing a separate day for bulky item collection usually adds cost when compared with incorporating bulky-item disposal with the regular trash collection. It is recommended that the Borough change the bulky collection program. One bulky item per week of “acceptable” bulk items should be permitted to be set out at the curbside each on trash day. These items would correspond with items accepted at the Marple Transfer Station.

As part of its bulky program, the Borough should consider a fee structure for bulky items. For example, the Borough might allow one free item per week of the type of item that can be collected with the regular trash and included in the regular trash bill. Additional items, or items requiring separate pickup, would include a fee that could be
implemented by requiring a sticker or tag purchased to be placed on the items. In Pennsylvania the fees are highly variable, often ranging from $25 to $50 depending on the item and program.

These changes to the bulky item collection system can have the following benefits:

1. Generate a modest amount of revenue
2. Provide an incentive to find other uses for such material (e.g., donation)
3. Free up one of two collection crews providing semi-monthly bulky item service.

The most efficient way to introduce changes to the bulky item system would be to make them simultaneous with the changes to the trash and recyclables frequencies. Combining all of the collection system changes could then be factored into a re-design of collection routes. The Borough could increase the number and reduce the size of the routes to accommodate the additional materials handling requirements.

5.3 “Opt-in” Program for Small Businesses to Receive Residential Waste and Recycling

Many small businesses generate quantities of waste that are equivalent to a typical household. The waste stream of a small business, however, typically contains a higher portion of recyclables than the average household. This is particularly true for small offices, where paper may make up over 60 percent of the waste generated. Currently, the Borough does not provide curbside collections to small businesses; they must contract with a local waste hauler for trash services. Because private recycling service may have an additional charge, many businesses pay for trash service only.

By adding willing small businesses that generate manageable quantities of trash (say five bags or less per week) to the curbside program, the Borough and its businesses can realize the following benefits:

- The Borough gets additional revenue through billing the small businesses and through the DEP recycling performance grant.
- Most businesses will save money for the trash service, and can participate in the single-stream recycling program. The savings are realized because many small businesses pay a fee for capacity in a dumpster, which costs more than the curbside service that would be offered.
- More recyclables are diverted from disposal.

5.4 Procure/Require Larger Residential Recycling Containers

Undersized recycling containers that fill up before collection day can be a severe disincentive to maximum recycling. It is GF’s experience that containers of the sizes
used in Lansdowne (18 and 22 gallons) can easily fill up with less than a week’s accumulation of recyclables in a single-stream program. The Borough should consider pursuing an Act 101 Section 902 Recycling Grant to offset the majority of cost for larger curbside containers. Residents could also be allowed to use their own clearly marked totes to add curbside recycling capacity to the current containers.

5.5 Implement Volume-Based Pricing or “Pay-As-You-Throw “

In 2010, the Borough will be charged $23.45 for every ton of waste disposed, creating a direct relationship between the quantity of waste disposed and the cost of the waste management system. Consequently, new emphasis must be placed on waste reduction and recycling as a measure to reduce Borough and residential costs. Pay-As-You-Throw (PAYT) is a common incentive-based waste program structure used to achieve high waste diversion rates. There are over 200 PAYT programs in Pennsylvania but none in Delaware County (yet) because tip fees that are the basis of the incentive are new to the County (see PAYT list in Appendix B).

The details of PAYT waste systems are highly variable. However, all PAYT programs share a common theme: the fee for trash disposal is related to how much is thrown away. In a PAYT system, residents have the opportunity to control or lower their trash bill by increasing their waste reduction and recycling efforts. Because waste collection costs, not disposal, make up the bulk of costs the PAYT system will not be a total solution for cost management. However, it will be an effective way to increase recycling and community awareness.

5.6 Pursue a More Advantageous Recyclables Processing Contract

At the start of this study, Lansdowne’s contract terms with Blue Mountain Recyclery/FCR did not appear to optimize the Borough’s market arrangements for recyclables. The Borough can place recyclables out for bid for single-stream processors, as there are at least two processors within transport reach of the Borough (i.e. Blue Mountain Recyclery/FCR and BFI/Allied Recyclery in King of Prussia). Competitive bidding may be most effective after a year’s experience with the modifications recommended above, which are projected to increase recyclables collection rates and potentially make processors inclined to offer more favorable terms. The price impact of re-bidding recyclables pricing cannot be predicted. However, if the changes recommended above would increase recyclables collection to 1,000 tons per year, every $10 improvement in the price would reflect a $10,000 reduction in the Borough’s waste system costs. It is noted that a recycling contract with more advantageous terms was executed February 1, 2010 with Blue Mountain Recyclery/FCR.
6.0 CONCLUSIONS AND RECOMMENDATIONS

6.1 Conclusions

The Borough operates a comprehensive waste management and single-stream recycling program. The costs for this program recently increased by over $100,000 per year due to new disposal tip fees while day-to-day operating costs continue to escalate. To address a primary goal of this study, adjustments are needed to the current waste system to mitigate and stabilize cost increases that will impact the Borough and its residents. Although the overall waste program service is comprehensive, the level of trash service and recycling service is unbalanced. The waste and recyclables collection methods and schedules do not optimize the overall waste system performance and it contributes to a system where the cost and level of effort for trash removal appears excessive and unintentionally diminishes the effectiveness of the recycling program. This imbalance is particularly evident when the Borough’s program is compared with other collection programs, industry trends and standards, and as demonstrated through the waste management alternatives considered during this study (e.g. once-per-week trash service versus twice-per-week).

Program costs and diversion of recyclables is not the only issue. Based on identified inefficiencies, the current system contributes to avoidable environmental harms and public impacts. These impacts are due to twice-per-week trash routes, truck idling for back door service, and below average recyclables diversion. There is an opportunity to reduce emissions and fuel consumption, improve recycling, improve routing and truck traffic safety and reduce street damage caused by truck traffic. System adjustments can be made that will yield considerable reductions in the waste program operating costs while benefitting the community environment. Adjustments can be made to make the program more efficient without compromising the level of service - residents will need to be engaged so they understand why the changes are necessary and how they too must participate. Stemming from these efficiencies, the Borough can reallocate staff as needed to enhance the recycling program and meet other municipal responsibilities. Key conclusions regarding the Borough’s current system are as follows:

1. The imposition of increased tipping fees will require one or more adjustments to the Borough waste system and will add about $108,000 in 2010 or about $23.00 per occupied household. Improving recyclables diversion to realistic target levels through recommended strategies can offset this new disposal cost by 10-17 percent.

2. Twice-per-week trash collection is provided and costs approximately 15 to 20 percent more than comparable once-per-week trash service. Twice-per-week trash service adds trash trucks to Borough streets and the convenience of trash disposal and waste capacity competes with recycling efforts.
3. Back door and side-of-the-house trash collection is an extremely labor intensive service and is largely responsible for slowing down the trash routes. A cost analysis was not conducted on back door trash service. However, Gannett Fleming believes this service is highly inefficient and contributes to a large portion of the trash service cost as reflected by the low number of units service per route. Idling at the curbside longer than necessary for every pickup wastes fuel and increases the release of harmful emissions.

4. Reported per-household recovery is far below achievable benchmarks. It appears the bi-weekly recyclables collection program using 18-20 gallon containers is not effectively recovering curbside recyclables. GF believes this is largely due to the inconvenience caused by making households store recyclables for two weeks in small containers.

5. The efficiency of the overall bulky item collection system can be improved. Wednesday collection adds time and costs as compared to available options for integrating at least a portion of bulky item service in with regular trash service.

6. The household fee appears to be reasonable for the level of service provided.

7. Recycling containers are likely undersized.

8. The Borough’s recyclables processing contract might not be affording Lansdowne the best terms available.

9. The record keeping by the Public Works Department including accountability of costs associated with the components of the waste and recycling operations appears to be deficient and uncoordinated between Public Works and Accounting and/or other departments. The limited tracking of these costs as correlated to operational activities inhibits information transparency. Waste and recycling performance and cost metrics that are critical for making informed adjustment to the waste management program are lacking.

6.2 Recommendations

The Borough and its Environmental Committee should give serious consideration to making the following changes:

1. Implement weekly trash collection and a weekly single-stream recyclables collection on the same day.

2. Modify the bulky item collection program. Incorporate a one-item-per-week, bulky item collection service into the standard trash service. Bulky items like furniture that are accepted at the same disposal facility as other residential municipal wastes should be collected on the same day in the same truck with residential trash. Call-in service should continue for other bulky items like
appliances and residents should “PAYT” for this service, using stickers or a similar program.

3. Offer the option for small businesses that generate waste within allowable quantities to subscribe or “opt-in” to the Borough waste and recycling collection service. A letter questionnaire should be sent in advance to validate interested businesses.

4. Increase the size of curbside recycling containers. Procure and/or offer residents a program to procure or otherwise use larger residential recycling containers. Recommended containers sizes for single-stream include 35, 64, and 96 gallons.

5. Implement a volume-based pricing system or PAYT system on the waste portion of the program. For example, households that place more than two trash containers out for pick up (the base level of service at a fixed fee) would be required to pay for the additional service. Or, the trash bill could vary between residents who request once-per-week trash only and those who select twice per-week collection. This will distribute cost more equitably and encourage recycling.

6. Negotiate improved recyclables processing contract terms with Blue Mountain Recyclery or one or more other area processors. Work with neighboring municipalities to combine recyclables and create market leverage.

7. Closely evaluate both waste and recycling routes and redesign the routes with consideration of changing service methods. Transition away from backdoor and beside-the-house trash collection to curbside set out to improve the overall program performance.

8. Carefully manage public concerns. The US EPA offers the following recommendations for overcoming resident resistance to change:

   1) Identify the fears, needs, and concerns of stakeholders.
   2) Develop outreach materials that address those needs in a targeted way.
   3) Deliver the promised service reliably.
   4) Provide ongoing customer service and support.

The EPA notes that communities making substantial change should be prepared with trained and available staff for a four to six week period for handling calls and addressing complaints or questions about service changes. After this four to six week period, call volume substantially falls off.

9. Conduct an analysis to identify the costs associated with the following components of the waste system and use this information for budgeting and to make decisions regarding waste system operations.
a. Collection cost for trash, recycling, and organics.
   i. Labor and other operation expenses (fuel, maintenance, etc.)

b. Administrative costs
c. Disposal costs
d. Workman’s compensation claims and cost
e. Revenues
   i. Residential Fees and/or taxes
   ii. Avoided disposal costs for recycling
   iii. Grants (e.g. Act 101, 904 and 902 grants)
   iv. Recyclable commodity revenues
   v. Other revenues

An enterprise fund to manage revenues associated with waste system costs is recommended.

GF believes that the recommended changes to the refuse and recyclables collection service and frequency, and offering service to willing small businesses, can be accomplished using existing crews and equipment. Health, safety and welfare along with community needs and aesthetics can be enhanced with the recommended weekly curbside pickup program that has been recommended. It was not in our approach to recommend staff cuts/labor reductions that might result from enhanced program efficiencies, and therefore, the cost savings will be a combination of budget impacts seen in the form of decreased refuse tipping fees, increased recyclables sales and Act 101 Section 904 Performance Grants, and operational savings (e.g. maintenance and fuel).

Value-added benefits from proposed efficiencies will include the availability of staff for other municipal tasks, and a reduced environmental and public impact corresponding to fewer trash routes and increased recycling. These benefits are shared with residents through the continued delivery of affordable waste management services in an environmentally, economically, and socially responsible manner. Any modifications to the existing collection system will require adjustments by the Public Works Department and by residents. However, the Borough appears to be community that cares about recycling and the environment - so these changes will face short lived resistance and will bring about an improved status quo in the near future.
Appendix A

EPA: “Getting more for Less – Improving Collection Efficiency
(1999)
Getting More for Less
Improving Collection Efficiency
Acknowledgements

Project Sponsors
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National League of Cities

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Jack Friedline, City of Mesa, AZ
Lou Guilmette, City of Rochester, NY
Foreword

The Collection Efficiency Study was undertaken to provide a more detailed understanding of cost-saving methods for collecting residential solid waste (RSW) and recyclables. The study included the following major tasks:

- Conducting meetings and discussions with project sponsors, funding sources, and Peer Advisory Committee members (i.e., representatives from local governments who provide RSW or recyclables collection services) to determine those approaches to improved collection efficiency that would have the most potential interest and utility to solid waste managers and elected officials.
- Selecting case study sites to illustrate each targeted collection efficiency strategy.
- Researching collection system improvements in the case study locations and producing four case study reports.
- Performing telephone surveys of other local governments and service providers who implemented the targeted strategies for improving RSW or recyclables collection efficiencies.
- Producing a workbook for solid waste managers and elected officials that synthesizes key lessons learned from the case study research and the telephone surveys.
- Conducting a series of workshops.

*Getting More for Less: Improving Collection Efficiency* reproduces information presented to participants at a series of national workshops on collection efficiency. The workshops were conducted by the Solid Waste Association of North America (SWANA) as part of the collection efficiency study. This workbook summarizes and synthesizes the results of the study. This report is not intended to be a comprehensive review of all options for increasing collection efficiency, nor does it discuss other programs or mechanisms that could improve the efficiency of the entire solid waste management system. Tools such as full cost accounting; pay-as-you-throw fee structures (through which generators are charged based on the amount of waste they produce); and comprehensive evaluations of alternatives for a fully integrated waste management system are beyond the scope of this report.

The U.S. Environmental Protection Agency (EPA) is making this document available in order to increase the dissemination of these data within the solid waste management community and to elected officials. This wider distribution will help promote a better understanding of cost-savings methods for collecting RSW and recyclables.

The information in this document has not been verified, and no guarantee, expressed or implied, is made as to the accuracy or completeness of the information. Inclusion in this document does not express or imply endorsement by EPA.
Why Collection Efficiency?

S

imply put, collection efficiency means getting more for less—picking up more solid waste or recyclables using fewer trucks or fewer people or less time.

Sound impossible?

Dozens of local governments and haulers across the continent have demonstrated that residential solid waste (RSW) collection cost-cutting strategies work. Some of these strategies require a major shift in paradigm—new equipment, new approaches to staffing, new set-out behaviors from residents. Other strategies are based on using existing resources more imaginatively. All of the collection efficiency strategies described in this workbook can have dramatic impacts on the cost-effectiveness and quality of service delivery.

Why Improve Collection System Cost-Effectiveness?

Industry wisdom has presumed that collection is the most expensive part of a solid waste management system.

A recent study undertaken by the Solid Waste Association of North America (SWANA) substantiates this belief. A close look at municipal solid waste (MSW) management system costs for six local governmental units (LGUs) revealed remarkable consistency in at least one area. Collection of solid waste and recyclables typically represented the single largest percentage of MSW management budgets—from 39 percent to 62 percent of total system costs.

As shown in Figure 1, on average, the study found that collection represented

<table>
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<tr>
<th>MSW Management System Costs</th>
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<tr>
<td>General &amp; Administrative</td>
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<tr>
<td>Landfill</td>
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<td>Facilities</td>
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<tr>
<td>Transfer</td>
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<tr>
<td>Collection</td>
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Figure 1

50 percent of MSW management system costs. Clearly, improvements in collection efficiency can have a big impact on total costs.

Want more detail? Figure 2 (included at the end of this chapter) shows a summary of functional costs for the MSW management systems studied, including a summary of the key characteristics of each system.

If You Are Trying To Cut Costs

If you need to reduce costs, it makes sense to:

- Target a larger component of your budget—“get more bang for your buck.”
- Target the element of the system over which you have the most control.

Which Cost-Cutting Strategy Will Work For You?

The list of strategies to potentially help control or cut solid waste or recyclables collection costs is limited only by the imagination of solid waste managers, equipment and vehicle manufacturers, and technology vendors and the desires/needs of their customers.

This workbook focuses on four specific cost-cutting strategies:

- Changing collection frequency.
- Improving routing.
- Using automated collection equipment.
- Implementing a dual collection system (i.e., collecting RSW and recyclables in separate compartments on one vehicle).

Case Study

The Bottom Line
Savings Across The Country

- Reduced crew size per vehicle.
- Increased average crew productivity by 14 percent.
- Saved $900,000 in the first year.
- Expects to save almost $9 million over a 10-year period.

**Mesa, Arizona**, reduced RSW collection frequency and replaced the traditional second day of RSW collection with a curbside pickup of recyclables.
- Added a separate collection for recyclables with no additional vehicles and only three new crew positions.
- Reduced overtime demands.
- Expects to save nearly $700,000 per year in direct costs (a savings of approximately $1.50 per household served per year).

**Charlotte, North Carolina**, improved routing systems, changed collection frequency, eliminated backyard collection, and switched to fully automated RSW collection.
- Eliminated 43 routes.
- Reduced staffing levels by more than 30 percent.
- Expects to save $40 million over a 10-year period.
### Why Collection Efficiency?

#### Cost-Cutting Strategy

<table>
<thead>
<tr>
<th>Changing Collection Frequency</th>
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<tbody>
<tr>
<td>- You are collecting RSW twice per week now.</td>
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<tr>
<td>- You need or want to add a new collection service (and could replace an RSW collection with a new service).</td>
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<tr>
<td>- You want to implement a pay-as-you-throw (PAYT) fee structure.</td>
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<tr>
<td>- You have low set-out rates or weights.</td>
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<tr>
<td>- Your vehicle payload is not being maximized.</td>
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<td>- You operate with crews of two or more people.</td>
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<tr>
<th>Improving Routing</th>
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<tr>
<td>- You have not examined route design or balance recently.</td>
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<tr>
<td>- Crews are working uneven workdays.</td>
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<tr>
<td>- You are changing service levels, vehicle type, crew size, or frequency of collection.</td>
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<td>- Your service area is growing (e.g., new development or annexation).</td>
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<tr>
<td>- Your service population is shrinking (e.g., competition or egress).</td>
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<td>- You have Graphical Information System or mapping software.</td>
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<tr>
<th>Increasing Degree of Automated Collection</th>
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<tr>
<td>- You are using manual or semi-automated collection vehicles now.</td>
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<tr>
<td>- You want to implement a PAYT fee structure.</td>
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<tr>
<td>- Lifting-related injuries have plagued your system.</td>
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<td>- You operate with crews of two or more people.</td>
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<td>- You have a cooperative relationship with employees.</td>
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<td>- You have high staff attrition rates or absenteeism.</td>
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<td>- You have unobstructed curb access.</td>
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<tr>
<td>- You have the ability to replace your RSW collection fleet and purchase new containers.</td>
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<thead>
<tr>
<th>Implementing a Dual Collection System</th>
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<tbody>
<tr>
<td>- You want or need to add collection services (e.g., separate recyclables or yard trimmings pickup).</td>
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<tr>
<td>- You have low participation rates.</td>
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<tr>
<td>- Distances between stops are great.</td>
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<tr>
<td>- Recyclables processing and RSW disposal facilities are located within geographic proximity.</td>
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<tr>
<td>- You have the ability to replace your RSW collection fleet.</td>
</tr>
</tbody>
</table>
Why Collection Efficiency?

Figure 2

Where Did The Money Go?
Summary Of Solid Waste Management System Costs For Six U.S. Cities*

Minneapolis, Minnesota
- Half of city’s households served by private crews, the other half served by public crews.
- 116,500 total households served.
- Weekly collection.
- 80 percent of households receive alley collection.
- Semi-automated collection for RSW.
- Recyclables collected weekly.
- Yard trimmings collected (April to November).
- RSW delivered to waste-to-energy (WTE) facility (county).

Palm Beach County, Florida
- Combination of municipal collection and franchise collection (unincorporated area).
- In unincorporated area:
  - Twice-per-week curbside collection of RSW.
  - Weekly collection of recyclables, yard trimmings, and bulky waste.
- RSW delivered to WTE facility or transfer station.
- County Solid Waste Authority uses a private processor, owns a materials recovery facility (MRF), and owns and operates a yard trimmings processing facility.

Scottsdale, Arizona
- 41,750 single-family households served.
- RSW collected weekly with fully automated vehicles.
- Customers set out RSW in 80-gallon wheeled carts.
- Recyclables collected through dropoff only.
- Brush and bulky waste collected once every 4 weeks.
- At time of analysis, city delivered RSW to a landfill that did not meetSubtitle D requirements.

* At the time of the analysis.
Why Collection Efficiency?

Figure 2

Summary Of Solid Waste Management System Costs For Six U.S. Cities (Continued)

**Seattle, Washington**
- RSW and recyclables collection provided by contract haulers.
- RSW collected using:
  - Sideloaders with one-person crews.
  - Rearloaders with two-person crews.
- Variable rate pricing system in place.
- Recyclables collected by:
  - Source separation approach (residents set out in three bins) weekly.
  - Commingling in 90-gallon wheeled carts (monthly).
- Yard trimmings collected through curbside pickup and dropoff; backyard composting and onsite management promoted.

**Sevierville, Tennessee**
- Combination of curbside collection (incorporated areas) and residential self-haul to convenience centers (unincorporated areas).
- 1,950 households receive curbside RSW collection service with weekly pickup.
- Recyclables collected through dropoff centers at convenience stations (collected by private hauler/processor).
- RSW delivered to MSW composting facility.

**Springfield, Massachusetts**
- 44,500 households served with mandatory RSW collection.
- Once-per-week RSW collection.
- RSW pickup with manual rearloaders and three-person crews.
- Recyclables collected every other week.
- Mandatory ordinance requires residents to separate recyclables.
- RSW delivered to WTE facility.
Changing
Collection Frequency

Many solid waste managers and elected officials fear that reducing RSW or recyclables collection frequency will be unpopular with residents or cause them to stop recycling.

This chapter addresses:
- Options for changing collection frequency.
- Benefits of reducing collection frequency.
- Strategies to overcome barriers to changing frequency.
- Examples of local governments or haulers that have successfully changed collection frequency.
- Factors to consider when evaluating collection frequency changes.

When considering improvements to RSW and recyclables collection programs, the search for cost-cutting approaches may lead to changing collection frequency. The most common frequency shifts include:
- Replacing twice-per-week RSW collection with weekly service.
- Reducing recyclables collection schedules from weekly to every other week or twice per month.

Reducing RSW Collection Frequency

Tradition, public health concerns, and, in some cases, state or local legislation have resulted in two RSW collections per week in some parts of the nation. This trend is particularly prevalent in the South, where the hot, humid climate has created fears about pest and odor problems from less frequent RSW collection.

Studies have demonstrated, however, that the second collection day is traditionally under-utilized, both in terms of set-out rates (which typically drop off sharply on the second collection day each week) and weights collected per stop. These factors drive up the cost per ton of collecting RSW on the second day each week. In addition, if residents have the opportunity to separate recyclables and yard trimmings for diversion, and/or are offered a PAYT fee structure, the need for a second RSW collection day is decreased even further.

When RSW collection frequency is decreased, average weekly set-out rates tend to rise. Most communities contacted for this study indicated that RSW set-out rates are estimated to be 95 to 100 percent when collection services are offered...
once per week. Vacancy rates and seasonal occupancy factors affect this estimate. PAYT fee systems can also affect set-out rates.

How are weights collected per stop affected when programs switch from twice-per-week to weekly collection? The answer varies depending on the availability of diversion programs and the fee system in place. New or expanded collection programs for recyclables or yard trimmings may lower total pounds of RSW collected per household per week; however, average set-out weights typically increase when a second RSW collection day is eliminated.

The typical increase in set-out rates and corresponding increases in weight collected per stop mean that route sizes might have to decrease; crews will be picking up more material per stop, loading their vehicles faster, and driving off-route to unload more often. The balance of weight collected per day, however, improves and hours worked per day are typically better balanced as well.

What About Flies?

The Tucson, Arizona, pilot program showed no increase in flies as a result of the switch to once-per-week collection. The highest occurrence of flies associated with RSW set-outs typically occurs in

<table>
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<tr>
<th>Field Observations</th>
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<tbody>
<tr>
<td><strong>Location</strong></td>
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<tr>
<td>Waco, Texas</td>
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<tr>
<td>Memphis, Tennessee</td>
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<tr>
<td>Escambia County, Florida</td>
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<tr>
<td>Austin, Texas</td>
</tr>
<tr>
<td>Ocala, Florida</td>
</tr>
<tr>
<td>Tucson, Arizona (pilot study)</td>
</tr>
</tbody>
</table>

What Do Residents Say?

Surveys of RSW customers in Waco, Texas, and Ocala, Florida, revealed the following self-reported behaviors. Both of these cities offer drop-off recyclables collection only. Ocala residents receive weekly curbside collection of yard trimmings. In Waco, separate yard trimmings collection is not offered.

<table>
<thead>
<tr>
<th>Location</th>
<th>First Collection Day Per Week</th>
<th>Second Collection Day Per Week</th>
</tr>
</thead>
<tbody>
<tr>
<td>Waco, Texas</td>
<td>First collection day per week: respondents reported setting out two to three bags of RSW.</td>
<td>Second collection day per week: respondents reported setting out one bag of RSW.</td>
</tr>
<tr>
<td>Ocala, Florida</td>
<td>First collection day per week: respondents reported containers were 85 percent full.</td>
<td>Second collection day per week: respondents reported containers were 78 percent full.</td>
</tr>
</tbody>
</table>
March, April, and October (i.e., optimum climate conditions for fly breeding). Comparative data about the percentage of RSW cans with flies were available for March and April 1995 (during the once-per-week pilot) and March and April 1996 (after service returned to twice-per-week collection).

Switching Services

Local governments contacted as part of this study often reduced RSW frequency in tandem with the addition or expansion of a new service such as curbside collection of recyclables, or separate collection of yard trimmings, for example. This practice often allowed new services to be added, or expansions for new services to be accelerated while minimizing fleet and staffing increases. It also offset potential negative public response to loss of a traditional RSW collection day.

In some places, adding a new service was mandatory. In Arizona, a law passed in the 1950s as a public health measure to control potential transmission of disease through flies, rodents, and other pests requires that twice-per-week collection be offered. The cities of Mesa, Tucson, and Phoenix have each applied for variances from the state that will enable these local governmental units to offer a second collection of recyclables or yard trimmings in place of the second RSW pickup.

Is Once-Per-Week RSW Collection Enough?

Surveys, focus groups, and field observation reveal the following:

- Eighty-five percent of residents in Tucson, Arizona, surveyed by phone during a pilot program of weekly RSW collection, indicated that weekly service was adequate for their needs.

- Ninety-two percent of residents in Plano, Texas, responding to a mail survey after the pilot program of weekly RSW collection began, reported that once-per-week service was sufficient.

- When Jacksonville, Florida, switched to once-per-week RSW collection, residents were given the option to receive twice-per-week collection for an additional $5 per household per month (on average). Fewer than 1,000 of the city’s 216,000 households (i.e., less than 0.5 percent of eligible homes) signed up for the increased service levels.

- In a mail survey of 1,500 residential customers in Ocala, Florida, 50 percent of respondents who currently receive twice-per-week service thought that weekly RSW collection would be satisfactory if additional recyclables or yard trim-
mings collection opportunities were available.

- Phone surveys conducted with residents in Waco, Texas, prior to the initiation of a pilot weekly RSW collection program indicated that more than 55 percent of households with twice-per-week service could manage with weekly pickup if recyclables and yard trimmings diversion programs were more convenient.

### Changing Collection Frequency For Recyclables

The jury is out on recyclables collection frequency. Some communities contacted as part of this study reported significant reductions in operational costs and only marginal impacts on participation and diversion when collection frequency for recyclables was changed from weekly to biweekly or semi-monthly. Other jurisdictions reported customer dissatisfaction, increases in contamination, and drops in diversion that cast a shadow over potential cost savings.

### Benefits Of Collection Frequency Change:

- Makes each stop count more: maximizes weights collected per stop.
- Minimizes nonproductive time: increase average set-out rates.

### Case Study

#### Experience In The Old Dominion

Two regional public service authorities in Virginia that provide recyclables collection services to their member jurisdictions have made the switch to biweekly collection of recyclables.

<table>
<thead>
<tr>
<th>Central Virginia Waste Management Authority (CVWMA)</th>
<th>Southeastern Public Service Authority of Virginia (SPSA)</th>
</tr>
</thead>
<tbody>
<tr>
<td>- A study conducted by CVWMA and its contractor revealed that most households participating in the curbside recyclables collection program were setting out recyclables twice per month on average.</td>
<td>- SPSA switched from weekly recyclables collection to biweekly to allow for the more rapid expansion of recyclables pickup to member jurisdictions.</td>
</tr>
<tr>
<td>- CVWMA initiated a contract in April 1994 for the biweekly collection of recyclables.</td>
<td>- Concurrently, SPSA changed workday schedules from 8 hours per day, 5 days per week to 10 hours per day and a 4-day workweek.</td>
</tr>
<tr>
<td>- At the same time, residential mixed paper was added as a target material in the collection program.</td>
<td>- Total number of households served increased from approximately 150,000 to nearly 250,000.</td>
</tr>
<tr>
<td>- CVWMA issues a calendar each year to remind residents which week is their recycling week.</td>
<td>- Average set-out rates per collection day increased approximately 1 to 2 percent.</td>
</tr>
<tr>
<td>- The results:</td>
<td>- Pounds collected per stop increased almost 19 percent (from approximately 16 pounds per stop to nearly 19 pounds per stop).</td>
</tr>
<tr>
<td>- 17 percent increase in average number of set-outs per route per collection day.</td>
<td>- Pounds of recyclables collected per scheduled work hour increased by 66 percent.</td>
</tr>
<tr>
<td>- 49 percent increase in average pounds collected per set-out (includes addition of mixed waste paper).</td>
<td>- Initial confusion associated with the change to biweekly service passed quickly, according to David Horne, one of SPSA’s curbside recycling managers.</td>
</tr>
</tbody>
</table>
Changing Collection Frequency

**Case Study**

**Reducing Recyclables Collection Frequency—Is It Worth It?**

**Etobicoke, Ontario, Canada**

“There were substantial decreases in cost by going to every-other-week collection and no major drop in recyclables tonnages,” according to representatives from this municipality. Set-out rates increased by 55 percent, and the number of recyclables collection routes dropped by 21 percent.

Sacramento, California

Starting in January 1994, the city decreased recyclables collection frequency from weekly to every-other-week service. According to Reina Schwartz, the number of routes was decreased by 23 percent. Gary Van Dorst, the city’s acting director of technical services, reported savings of $500,000 per year in the recycling program budget (*Resource Recycling*, April 1995). Reported impacts on recyclables recovered vary. Some city reports indicate a 12 to 13 percent drop in overall recyclables recovered through the curbside program. Average pounds collected per household per month may have dropped as much as 40 percent, but the number of homes being served by the program has increased.

**Hollywood, Florida**

“Based on observations and calls, we felt it was not a productive way to do recycling”—Lorie Mertens, the city’s public works education coordinator, after a pilot program tested biweekly recyclables collection.

(Source: *BioCycle*, July 1996)

---

**Reverse Psychology**

While most local governments considering a change in recyclables collection frequency are thinking about reductions in the number of collections offered per month, at least one local government is considering the reverse.

**The Tucson Experience**

A year-long pilot, started in September 1994, tested the effects of increasing recyclables collection frequency from biweekly to weekly pickup on participation, set-outs, and diversion.

**Participation**

Monthly participation in the pilot areas rose by nearly 44 percent, from 57 percent to 82 percent.

**Set-Out Rate**

Increased from 44 percent biweekly to 53 percent (weekly pickup); this surprising result may be related to the fact that RSW collections were decreased from twice-per-week to once-per-week during the same pilot program.

**Diversion**

Diversion from the pilot routes rose nearly 56 percent; composition studies conducted by The Garbage Project (University of Arizona) confirmed a corresponding decrease in recyclables found in RSW set-outs.

The pilot concluded that moving from biweekly to weekly collection could improve diversion while maintaining cost-effectiveness.

(Source: *BioCycle*, July 1996)
Changing Collection Frequency

- Reduces fuel consumption and other environmental impacts.
- Reduces vehicle and labor needs: eliminate routes.
- Provides new services: switch existing collections for new services.

Based on the research conducted for this study, it is difficult to isolate the impact of collection frequency changes on costs and productivity. Most of the local governments or haulers contacted had implemented changes in RSW collection frequency in conjunction with other system changes such as adding recyclables or yard trimmings collections, implementing semi-automated or fully automated collection, reducing crew size, adding materials to recycling programs, changing RSW set-out locations, or changing workday schedules.

A study released in March 1995 about RSW collection costs in Montgomery County, Maryland (a suburb of Washington, DC), addressed the cost impact of collection frequency in the two main service areas of the county. In one part of the county, residents receive weekly RSW pickup. In another segment of the county, RSW is collected twice each week.

Note that different haulers service the two areas, which could contribute to the level of complaints received.

As shown in the above table, the cost per ton to provide twice-per-week collection is estimated to be approximately 70 percent higher than the cost to collect RSW once-per-week. Customer satisfaction—as measured by the number of complaint calls received—increased by more than 27 percent in the once-per-week service area.

This study indicates that while the costs per ton are likely to drop with once-per-week service, customer complaints might increase. More time might be needed to distinguish legitimate complaints from instances where customers did not set out their containers on time.

Getting Over The Hurdles

When addressing collection frequency changes for RSW or recyclables, solid waste system planners face some common barriers. Here are strategies for overcoming them.

- **To reduce potential odor and health hazards** associated with reduced collection frequency, provide containers with lids; require residents to bag waste before containerizing; and educate residents about ways to minimize odor and vector risks.
- **To avoid increases in illegal dumping**, anticipate short-term increases; develop an education and enforcement strategy; and provide consistent collection service.
- **To reduce the physical burden associated with heavier set-outs**, provide wheeled carts and “carry out” service for physically challenged residents. PAYT fee structures also could encourage residents to recycle more and dispose of less waste.
To prepare for holidays on residents’ collection days, develop a holiday collection plan that could include steps such as providing next day collection, offering workers the chance to work holidays (with holiday pay rates), and suspending collection of recyclables/yard trimmings on holidays in order to divert crews for RSW collection. Be sure to promote holiday collection schedules adequately and the availability of self-haul options if appropriate.

To avoid raising residents’ expectations for a rate cut, promote changes as a cost containment strategy and offer other desired services to replace the second RSW collection day.

To reduce worker injuries associated with heavier set-outs, increase automation to reduce lifting related injuries and knee and wrist strains (e.g., from heavy recyclables set-outs); provide separate collection for yard trimmings (which will help reduce RSW set-out weights); provide increased safety and health training; and develop safety incentives.

To keep residents satisfied, if necessary, offer extra collection services at premium rates (make sure operational impacts have been anticipated and addressed).

Ready To Make The Change?

Even when change makes sense, it is often difficult. Solid waste system changes are particularly challenging. By addressing the following questions early in your planning process, you will identify areas where additional research, education, or consensus-building are needed.

Customer Service
1. Have you adequately informed the public of collection frequency changes?
2. Do you track complaints and service request data now?
3. Have you anticipated how changing collection frequency will affect number of calls received?
4. Have you added phone lines or staff to handle short-term increased volume of calls?
5. Have all staff who might get questions or calls been notified of the change?

Social and Political Issues
1. How long has twice-per-week collection been offered?
2. Will residents see a change in rates?
3. Will new services be added?
4. Are residents likely to have difficulty handling larger set-outs of RSW?
5. Are there concerns about increased illegal dumping, litter, vectors, or odor that have to be addressed?
6. Have you involved citizens, businesses, government officials, and other stakeholders in the decision-making process?
7. Do you have data from a pilot program or similar community to support your decision?
8. Will the change in collection frequency be more acceptable if alternative twice-per-week collection services are offered? If so, how will you charge for that premium service? What will the operational impacts be?
9. Will your current or future fee structure affect how customers perceive the change in collection frequency? (A PAYT fee system, for example, might make RSW collection frequency change more acceptable because there is a more direct relationship between fees paid and amount of service received.)

Labor
1. How will changing collection frequency affect your staffing needs?
2. If you will need fewer workers, can you time the switch to match current attrition levels?
3. If workers are displaced, can...
they perform other functions within the department or the organization?

4. Have workers been informed of planned changes and involved in decision-making?

5. How will changing collection frequency affect overtime demands (especially during peak waste generation periods or following holidays)?

6. Can the existing labor pool handle increased weights per set-out?

7. Have you implemented safety training, such as proper lifting classes, to help workers handle heavier set-outs?

8. Have you reviewed labor agreements and/or work rules for barriers to changing collection frequency?

4. Have you considered Monday holiday collection needs when developing routes?

**Containers**

1. If containers for RSW or recyclables have previously been provided, are they still large enough for the increased volume and weight of set-outs?

2. Are alternative containers acceptable? Have customers been informed of set-out options?

3. Are local hardware stores aware of impending increased demand and are they prepared to respond (possibly with “sales” to soften the impact on homeowners)?

---

### Making The Change

**Austin, Texas**
- Switched RSW collection frequency from twice to once per week.
- Switched from manual rearload to semi-automated rearload vehicles.
- Implemented weekly collection of recyclables.

**Central Virginia Waste Management Authority, Virginia**
- Reduced recyclables collection frequency from weekly to every other week.
- Added residential mixed paper to list of target recyclables in curbside program.

**Edmond, Oklahoma**
- Reduced RSW collection from twice to once per week.
- Replaced manual rearload collection vehicles with fully automated side loader vehicles.

**Greensboro, North Carolina**
- Reduced RSW collection frequency from twice to once per week.
- Switched from rearload vehicles to fully automated side loaders for RSW collection.
- Added weekly collections for recyclables and yard trimmings.

**Houston, Texas**
- Conducted series of pilot studies to test reduction in collection frequency for RSW, addition of recyclables and yard trimmings diversion programs, and alternative collection vehicles. Currently moving to new collection system:
  - Once-per-week RSW collection with fully automated side loaders.
  - Biweekly collection of recyclables.
  - Weekly collection of yard trimmings in manual rearloaders.

**Indianapolis, Indiana**
- Reduced RSW collection frequency from twice to once per week.
- Switched from manual rearloaders to fully automated side loaders.
- Increased yard trimmings collection frequency from once per month to once per week.
**Case Study**

**Continued**

**Jacksonville, Florida**
- Reduced RSW collection frequency from twice to once per week.
- Maintained weekly curbside pickup of recyclables.
- Added weekly yard trimmings collection.

**Jekyll Island State Park, Georgia**
- Reduced RSW collection frequency from twice to once per week.
- Replaced manual rearload collection with automated side loaders.
- Added weekly yard trimmings collection.

**Little Rock, Arkansas**
- Reduced RSW collection frequency from twice to once per week.
- Switched from manual rearloaders for RSW collection to automated side loaders.
- Implemented weekly collection of recyclables (automated side loaders) and yard trimmings (manual rearloaders).

**Los Angeles, California**
- Reduced RSW collection frequency from twice to once per week.
- Replaced manual frontload collection approach with fully automated side loaders.
- Implemented automated collection of recyclables and yard trimmings once per week.

**Memphis, Tennessee**
- Reduced RSW collection frequency from twice to once per week.
- Retained semi-automated rearload collection fleet.
- Implemented weekly curbside recyclables collection.

**Mesa, Arizona**
- Reduced RSW collection frequency from twice to once per week.
- Retained fully automated side load collection fleet.
- Phasing out alley collection.
- Implemented fully automated weekly curbside collection of recyclables.

**Phoenix, Arizona**
- Reduced RSW collection frequency from twice to once per week.
- Converted from rearloaders for RSW pickup to fully automated side loaders.
- Implemented weekly curbside collection of recyclables with fully automated vehicles.

**Pittsburgh, Pennsylvania**
- Reduced curbside recyclables collection from weekly to every other week.

**Plano, Texas**
- Reduced RSW collection frequency from twice to once per week.
- Moved from manual side loaders to semi-automated side loaders (phase 1) to fully automated side loaders (current system).
- Implemented weekly curbside pickup for recyclables and yard trimmings.

**Sacramento, California**
- Reduced recyclables collection frequency from weekly to every other week service.
- Added households to the program concurrently.

**Southeastern Public Service Authority of Virginia**
- Reduced recyclables collection frequency from weekly to every other week.
- Added households to the program concurrently.
- Changed workday schedule from 8 hours per day to 10 hours per day.
- Currently switching from curb-sort to commingled collection (two-stream sort).

**Tempe, Arizona**
- Reduced RSW collection frequency from twice to once per week.
- Retained fully automated collection fleet.
- Added weekly curbside collection for recyclables (fully automated vehicles used).

**Victorville, California**
- Reduced RSW collection frequency from twice to once per week.
- Switched from manual side loaders to automated side loaders.
- Implemented automated collection program for weekly pickup of recyclables.
Improving Routing

In jurisdictions where routing studies have not been conducted recently, collection managers often assume their drivers know the best way to pick up RSW. Indeed, driver intuition has been one of the guiding tenets of RSW routing for years. Who better to determine route configuration than the folks on the street doing the job?

As collection systems become increasingly complex, productivity issues hit the spotlight, and concerns about costs arise, and route design and management are no longer a matter of instinct alone. Improvements in data collection and analysis, increased awareness of the importance of productivity standards, and the availability of computer-assisted routing tools are some of the keys to effective routing.

This chapter addresses:

- Principles of routing.
- Options for routing.
- Impacts of improved routing techniques.
- Listing of local governments and haulers who have improved route productivity and workload balance.

Principles Of Route Design

Routing is typically accomplished in two phases:

- Macro routing: The total geographic area to be served is divided into total area to be served by all crews and vehicles in one collection day and area to be served by each individual crew and vehicle in one collection day.

- Micro routing: The specific path that each individual crew vehicle will follow to service each route is specified.

The size of each route will depend on a wide variety of factors, including geographic features of the territory, demographic considerations, vehicle design and loading features, set-out requirements, staffing patterns, types of service being provided, frequency of collection, and institutional considerations, as shown below.

Heuristic Routing Principles

According to Webster’s 10th Collegiate Dictionary, “heuristic” refers to problem-solving techniques that rely on the evaluation of feedback to improve performance. Sounds a lot like “trial and error,” doesn’t it?
In the mid-1970s, EPA produced heuristic routing guidelines to help route managers design the most efficient collection paths. These guidelines are still applicable today. Once a route manager has designed a theoretical route path with these guidelines in mind, the “trial and error” begins, and experienced drivers and collectors should test the routes for practicality under actual field conditions.

The Guidelines*

1. Routes should not be fragmented or overlapping. Each route should be compact, consisting of street segments clustered in the same geographical area.
2. Total collection plus handling times should be reasonably constant for each route in the community (equalized workloads).
3. The collection route should be started as close to the garage or yard as possible, taking into account heavily traveled and one-way streets (see next two rules).
4. Waste on heavily traveled streets should not be collected during rush hours.
5. In neighborhoods with many one-way streets, it is best to work through it using a series of overlapping loops.

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*A Balancing Act

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Figure 4

6. Services on dead-end streets can be considered as services on the street segment that they intersect—because they can be collected only by passing down that street segment. To keep left turns at a minimum, collect waste on dead-end streets when those streets are on the right side of the truck. Depending on the length of the street and turning restrictions, waste on dead-ends can be collected by walking down, backing down, or making a U-turn.

7. Waste on a steep hill should be collected, when practical, on both sides of the street while the vehicle is moving downhill. This practice facilitates safety, ease, and speed of collection. It also lessens wear on the vehicle and conserves oil and gas.

8. Higher elevations should be at the start of the route.

9. For collection from one side of the street at a time, it is generally best to route with many clockwise turns around blocks. (This rule and the following one emphasize the development of a series of clockwise loops in order to minimize left turns, which generally are more difficult and time-consuming than right turns. Right turns are safer, especially for right-hand-drive vehicles.)

### Manual Routing

**Nothing Fancy, But Nobody Said It Was Easy**

<table>
<thead>
<tr>
<th>Step</th>
<th>What You Need To Know</th>
</tr>
</thead>
</table>
| Define collection service areas that are well-balanced. As a starting point, consider total customers to be served, multiplied by collections per week, divided by collection days. | - Number of customers to be served in each region.  
- Number of collections per week.  
- Number of collection days per week.  
- Natural boundaries (e.g., major roadways, topographical features, or railways). |
| Divide the collection service areas into individual routes (work per truck and crew per day). | - House or customer count data on a block-by-block basis.  
- Vacancy and occupancy data.  
- Number of available collection vehicles.  
- Average set-out rates (and differences by region, if known).  
- Average weights per set-out (and differences by region, if known).  
- Time required per stop (including travel time between stops).  
- Nonproductive time (e.g., to route, to disposal/processing locations, to vehicle yard).  
- Maximum customers who can reasonably be served by each type of vehicle and crew combination (take into account differences in materials being collected, set-out container types, vehicle capacity, compaction ratios, vehicle age and reliability, and crew size). |
| Design path routes, using EPA heuristic routing guidelines. | - Location of one-way streets and dead-ends.  
- Location of other topographic or traffic-related features that affect heuristic route design. |
| Drive routes to test for practicality. | - If routing is practical under real-life conditions. |
Improving Routing

10. For collection from both sides of the street at the same time, it is generally best to route with the long, straight paths across the route before looping clockwise.

Options For Routing

The most common approach to routing continues to be the manual method—which involves maps, pencils or colored markers, and patience. Though less prevalent, computer-assisted routing also is on the rise. This approach requires computer map databases and customer databases (plus the equipment and the staff capable of running the programs).

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Former System</th>
<th>Improved Routing</th>
<th>Impact</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of routes per day</td>
<td>13 for RSW 5 for yard trimmings</td>
<td>10 for RSW 5 for yard trimmings</td>
<td>23 percent decrease in routes</td>
</tr>
<tr>
<td>Average number of hours worked per day</td>
<td>5.5 for RSW 5 - 9 for yard trimmings (seasonal variation)</td>
<td>7 (both for RSW and yard trimmings)</td>
<td>27 percent increase in hours worked per crew per day</td>
</tr>
<tr>
<td>Average number of households per route per day</td>
<td>420 (both for RSW and yard trimmings)</td>
<td>500 (both for RSW and yard trimmings)</td>
<td>19 percent increase in households served per route per day</td>
</tr>
<tr>
<td>Number of vehicles required</td>
<td>18 active 7 spare</td>
<td>15 active 5 spare</td>
<td>20 percent reduction in fleet size</td>
</tr>
<tr>
<td>Crew size</td>
<td>3 for RSW 3 for yard trimmings</td>
<td>3 for RSW 2 for yard trimmings</td>
<td>No change for RSW routes</td>
</tr>
<tr>
<td></td>
<td></td>
<td>3 for RSW 2 for yard trimmings</td>
<td>73 percent increase in households served per crew hour for yard trimmings routes</td>
</tr>
</tbody>
</table>

Computer-Assisted Routing: It’s Just A Matter Of Time

The arduous task of manually re-routing can be eased somewhat with computer technology. Several vendors offer systems for optimizing routes through computer-generated routing algorithms.

What’s required?

- Geographic Information System (GIS) street maps: Known as “center-line” maps, these maps are digitized representations of every street in a jurisdiction with line segments that reflect every block face. The map database might also indicate address ranges per block, paving surface, road weight limitations, or turning restrictions. Many larger local governments have invested in developing their own GIS systems (which could include tax mapping, election district maps, zoning and land use maps, maps of streets and water/sewer lines, etc.). Center-line map databases also are available from commercial vendors for almost every county in the United States.

- Firms such as E-TAK, Navigation Technologies, and Geographic Data Technologies produce these digitized map databases at costs that range from approximately $650 to $2,500 per county.

- Customer database: Sometimes available through tax assessors
Improving Routing

offices or a utility billing system, these databases could provide complete customer lists with physical street addresses.

The computer-assisted routing works by geocoding each customer and searching the map database for the appropriate block.

The same information required for manual routing (as listed in the box on page 19) also is needed for computer-assisted routing.

Depending on the vendor, outputs of the computer-assisted routing include maps, direction lists, and customer lists.

Benefits Of Improved Routing

Efficient route management can decrease costs by reducing labor and vehicle needs, balancing workloads, decreasing overtime demands, and allowing for adjustment of workloads during periods of seasonal waste stream variation.

Here are some results from local governments that have tried traditional and computer-assisted routing improvements.

Manual Routing: Norman, Oklahoma

Late in 1992, a committee of labor and management representatives in the city of Norman, Oklahoma, initiated the task of evaluating its RSW collection system productivity. The rate of operating cost increases was projected to create a deficit for the sanitation department, and rate increases could only be authorized by public referendum. This scenario created an incentive for labor and management to work together to develop cost-cutting strategies.

Ideas from the labor and management committee were put to the following tests:

- Does it cut costs?
- Are service levels maintained?
- Are employee wages and benefits maintained?
- Can it be implemented practically?

Computer-Assisted Routing: Hempstead, New York

Located on Long Island, approximately 25 miles east of Manhattan, the town of Hempstead has a population of

<table>
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<th>Former System</th>
<th>Improved Routing</th>
<th>Impact</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of routes per day</td>
<td>62 for RSW 18 for recyclables</td>
<td>52 for RSW 16 for recyclables</td>
<td>16 percent decrease in RSW routes</td>
</tr>
<tr>
<td>Average number of households per route per day</td>
<td>675 for RSW 1,200 for recyclables</td>
<td>800 for RSW 1,300 for recyclables</td>
<td>19 percent increase in households per RSW route</td>
</tr>
</tbody>
</table>

Hempstead, New York, System Comparison
Improving Routing

800,000. The implementation period took approximately 2 years, but Hempstead now uses GIS-based technology to route RSW, recyclables, and yard trimmings collection vehicles.

Hempstead relied on state and county supplied street center-line databases as the basis for its routing application. Turn limitations, and other traffic impediments, had to be entered into the database before computer-assisted route design was feasible. This effort took time, and maintaining the database is an ongoing process. Hempstead’s Commissioner of Sanitation, however, finds the investment is paying off. The town has used the route optimization program several times per year since its installation to help address the addition of phone books and magazines to the recyclables collection program and to allow for adjustments in routing because of the seasonal variability of yard trimmings quantities.

As a result of routing improvements, the town has eliminated 10 RSW collection routes, at an estimated annual savings of $200,000 per route.

Computer-Assisted Routing: Charlotte, North Carolina

The city of Charlotte, North Carolina, has been on the leading edge of cost-cutting measures for

Case Study

Improving Routing

Western Disposal
Boulder, Colorado
- Implemented computer-assisted routing to balance workload and allow more customers to be served per vehicle per day.

Charlotte, North Carolina
- Improved routing with computer-assisted route design.
- Implemented fully automated collection.
- Reduced collection frequency.
- Experimented with changes in workday schedules.

Gloucester Township, New Jersey
- Balanced workload for recyclables collection.
- Improved number of households served per route per day.

Hempstead, New York
- Maintained collection frequency and crew size.
- Reduced number of RSW and recyclables routes through computer-assisted route design.
- Plans to adjust routes for seasonal variations in yard trimmings quantities.

Metro Dade, Florida (Miami)
- Improved routing for RSW through use of computer-assisted routing software.
- Estimates average crew handles 10 to 15 percent more households per day under the new system.

Norman, Oklahoma
- Improved routing through manual routing effort and establishment of route productivity goals.

Oyster Bay, New York
- Implemented computer-assisted routing program (one of the first cities to try automated route selection).
- Tried “grand tour” route concept.
- Increased number of households served per truck per day by 12 to 13 percent for RSW.
- Estimates annual savings of $1 million through route improvements.
Improving Routing

RSW collection for the past several years. The city has switched from twice-per-week RSW collection (with one collection per week picked up in the backyard) to a fully automated collection system with weekly curbside service for RSW. Yard trimmings and recyclables are collected in separate pickups on a weekly basis. In addition, the city recently privatized 25 percent of its service area to compare the performance and cost of the private hauler’s services to the public crews.

Computer-assisted routing is another way that Charlotte has stayed current with trends in the industry. Using the RouteSmart™ package, Charlotte has been able to respond to changing collection schedules, service areas, and route sizes with relative ease. Installing the computer-assisted routing application required an investment equivalent to a full year of one analyst’s time. In addition, the software itself cost the city approximately $37,000. All together, start-up costs were estimated to be approximately $75,000.

In the first year of its use, the RouteSmart™ system saved the city approximately $26,500 in labor costs associated with the routing exercise alone. In addition, the city expects to save through increases in route productivity through improved route management.

Improved Routing: Where Else Is It Working?

Routing is an important factor in any solid waste management system that is undergoing change, but the list of local governments or haulers (on page 24) illustrates several jurisdictions where improved routing is receiving priority attention.
Automating RSW Collection

In the past, residents put their entire waste stream, including recyclables, in their backyards or at the curb for collection.

Today, the demands for increased cost-effectiveness and diversion programs that require separation of residential recyclables or yard trimmings have caused a revolution in the solid waste industry’s approach to collection.

Though manual collection of RSW has been the mainstay for decades and is still the norm, there is growing interest in automation as a way to:

- Decrease labor requirements.
- Reduce the number of vehicles required to serve a collection territory.
- Reduce injury potential associated with fatigue and lifting.
- Reduce litter and unsightly set-outs.

Many local governments and waste haulers are turning to automation as a way to reduce the labor costs of recyclables and yard trimmings pickup.

This chapter addresses:

- Options for automated collection of RSW.
- Impacts of automated collection.
- Potential barriers to the implementation of automated collection.
- List of local governments and haulers who have implemented semi- and fully automated collection programs for RSW, yard trimmings, or recyclables.
- Factors to consider when evaluating automation of the collection fleet.

Options For Automating Your Collection Fleet

There are two main approaches to reducing the demands of manual RSW collection—semi-automated collection vehicles and fully automated collection vehicles. Both systems rely on mechanical or hydraulic lifting systems to reduce the labor costs associated with collection services.

Semi-Automated Collection

Semi-automation offers a bridge between manual collection systems and fully automated collection approaches. System characteristics include:

- Specialized collection containers: Typically, customers are required to use special containers compatible with mechanical lifting equipment. Often,
Automating RSW Collection

Semi-Automated Collection

What Are The Drawbacks?

- In some cases, collectors have found that semi-automated collection takes longer than collecting RSW in bags because:
  - Carts must be returned to the curb.
  - Hydraulic systems for the lifters sometimes do not have sufficient power to lift heavier set-outs.
  - Mechanical lifter timing is sometimes not adjusted sufficiently to operate quicker than a human “lifter.”
- Labor needs may not decrease because crews must dismount and move containers at each stop.

On The Plus Side

- Semi-automated collection systems allow solid waste planners to utilize existing equipment (through retrofits) to test automated collection concepts.
- Semi-automated collection offers an automated collection option for geographic areas that have constraints such as tight streets, on-street parking, and one-way streets with customers on the left side of the street that would limit the use of a fully automated system.
- Dual-side collection options allow collectors to service carts from both sides of the collection hopper in some semi-automated vehicle designs.
- Manual collections can still be performed (for out-of-cart set-outs or overflow materials).
- Worker safety is enhanced:
  - Operator fatigue is minimized.
  - Manual lifting is minimized.
  - Workers’ compensation costs sometimes decrease.
  - Job longevity might be increased; less turnover.

Fully Automated Collection

In fully automated collection systems, containers are lifted, emptied, and returned to the collection point mechanically. Unless there are problems—overflow materials, improperly prepared materials, obstructed set-outs, or the need for roll-out assistance—the driver need not leave the cab of the collection vehicle. Crane-like arms—in some cases long

Containers are designed with wheels and lids to make storing and handling set-outs easier for customers and collectors.

- Semi-automated containers are sized in the 60- or 90-gallon range. In many communities with PAYT programs, 30-gallon semi-automated containers are common, and some communities offer even smaller “mini” cans for households that generate less waste.

Special equipment or equipment modifications: Semi-automated “flippers” (hydraulic lifting devices) can often be mounted on existing front-load, rear-load, or side-load collection vehicles. These retrofits may be less efficient than factory-built semi-automated vehicles. Lift times should be monitored, as well as power demands, for any potential retrofit. Semi-automated vehicles direct from a vehicle body manufacturer also can be designed for rear- or side-loading.

How does semi-automated collection work?

- Customers wheel carts to the curb, typically facing them outward to facilitate crew usage.
- Crews wheel carts to the collection vehicle.
- Crews line carts up with the lifting device.
- Crews activate the lifting device, mechanically tipping contents of the carts into the hopper of the RSW collection vehicle.
Fully Automated Collection

What Are The Drawbacks?

- Fully automated collection equipment is typically more expensive than manual or semi-automated vehicles (but fleet size is typically reduced because of increased productivity).
- Maintenance costs are often reported to be higher with fully automated equipment because of increased hydraulic system complexity (but fleet size is typically reduced, so fewer vehicles are usually being maintained).
- Fully automated systems rely on customers placing containers in accessible locations (or maximum efficiency is hindered).
- Out-of-cart set-outs are less easily handled with fully automated vehicles (hopper loading heights make manual collection impractical and maximum efficiency is limited if operators must exit the cab).
- On-street parking, low hanging wires, and narrow, one-way, or dead-end streets can present challenges for fully automated collection vehicles.
- One-way streets with left-side collections present challenges—operator time to roll-out carts for right-side pickup decreases maximum productivity.

On The Plus Side

- Labor demands can be reduced significantly—most often, fully automated vehicles are operated by one-person crews.
- Greater diversity is possible in hiring drivers (physical lifting capabilities are not a requirement).
- Worker comfort is increased—drivers rarely need to leave the cab (reduces exposure to weather).
- Worker safety is enhanced:
  - Operator fatigue is minimized.
  - Manual lifting is eliminated.
  - Potential injury risk associated with larger crews (especially collectors riding on exterior steps) is minimized.
  - Workers’ compensation costs often decrease.
  - Job longevity is increased; less turnover.
- Vehicle operator job classifications are often higher than manual collection crew positions; sometimes wages are higher for automated vehicle operators as well (considered a plus by workers).

Impacts On Worker Safety

What drives local governments and haulers to consider automating collection of RSW? Often, the answer is worker injury rates and the cost of Workers’ Compensation claims. While lifting injuries are the most common type of work-related injury expected to be minimized by increased automation, puncture wounds and lacerations might be avoided as well.

In Rochester, New York, an ergonomic study was conducted to document physical stresses experienced by collection crews in the city’s manual RSW collection system.
At the time of the ergonomic study, backyard collection service was being offered. The average collector was walking 13 miles and lifting 6 tons of RSW per day. After implementing a semi-automated collection system and eliminating backyard collections, the average miles walked per day by collectors dropped by nearly 50 percent, to approximately 6.6 miles per day. Manual lifting of heavy set-outs was virtually eliminated. As a result, approximately 4.5 percent fewer days were lost due to injury per employee in the year following the citywide implementation of the semi-automated RSW collection system. Workers’ compensation costs were reduced by 52 percent over the same period.

In addition, one year after the semi-automated RSW collection program was piloted, workers were asked to rate the semi-automated collection strategy. One hundred percent of the workers agreed that:
- Safety conditions were improved.
- Wheeled carts decreased fear of injury.
- Working conditions were improved.
- The semi-automated collection system should be expanded.
- They would prefer a semi-automated route over a manual route if they had the choice.

Thornton, Colorado, reported that work-related injuries cost $200,000 between 1988 and 1991. After implementing a fully automated collection program for RSW, the injury costs for the first year of operation dropped to zero. Workers’ compensation insurance premiums dropped more than 60 percent from 1991 to 1993.

**Impacts On Productivity**

Local governments and haulers contacted as part of this study often implemented automation in conjunction with other system changes—a decrease in collection frequency, an increase in diversion

<table>
<thead>
<tr>
<th>Local Government</th>
<th>System Type</th>
<th>Before</th>
<th>After</th>
<th>Percentage Increase in Households Served per Scheduled Crew Hour</th>
</tr>
</thead>
<tbody>
<tr>
<td>Austin, Texas</td>
<td>Manual</td>
<td>3</td>
<td>2</td>
<td>15</td>
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<tr>
<td>Rochester, New York</td>
<td>Manual</td>
<td>2</td>
<td>1</td>
<td>100</td>
</tr>
<tr>
<td>Boca Raton, Florida</td>
<td>Manual</td>
<td>3</td>
<td>1</td>
<td>86 (projected in feasibility study)</td>
</tr>
<tr>
<td>Escambia County, Florida</td>
<td>Manual</td>
<td>3</td>
<td>1</td>
<td>235</td>
</tr>
<tr>
<td>Indianapolis, Indiana</td>
<td>Manual</td>
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<td>260</td>
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<td>Little Rock, Arkansas</td>
<td>Manual</td>
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<td>250</td>
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<td>Manual</td>
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<td>1</td>
<td>300</td>
</tr>
</tbody>
</table>

**Automation At Work**
programs, a change in scheduled workday, or a change in set-out locations, for example. Reported increases in households served per scheduled crew hour are shown on the previous page for a sampling of systems that changed from manual to automated collection approaches.

**Other Benefits**

In addition to reducing the risk of work-related injuries and increasing productivity with fewer labor demands, the use of standardized wheeled carts offers several benefits:

- Using carts with lids helps to keep water, ice, and snow from set-outs, which helps to control the weight of set-outs and decreases tipping fee costs for weight of added water. Both Rochester, New York, and Minneapolis, Minnesota, reported reductions in annual RSW tonnages that the cities attributed directly to reduction in moisture content of set-outs.
- Using carts can improve neighborhood aesthetics—uniform containers often eliminate unsightly set-outs. (Community standards can vary, however, and some people might complain that carts look bad on the street. These complaints are more likely in areas where back-yard or alley collection is being replaced by curbside pickup.)
- Blowing litter can be reduced because containers with lids are more resistant to being tipped over or torn apart by dogs, raccoons, crows, etc.
- Containers with lids can help control odor and vector concerns associated with keeping RSW for longer periods of time. In Evanston, Illinois, for example, the city council’s concerns about the health impacts of reducing collection frequency to once per week were alleviated by the concept of wheeled carts with secure lids.
- If local governments and haulers reduce collection frequency and enforce RSW set-out limits (i.e., only RSW contained in the appropriate container will be collected), incentives can be created for participating in diversion programs.
- Providing wheeled carts in a variety of sizes can make implementation of PAYT fee structures easier.

**Overcoming The Hurdles**

Automation can raise concerns about reduced staffing needs and overflow waste. Here are some strategies for addressing these concerns.

**When Automation Reduces Staffing Needs**

“What will we do with the displaced workers?” It’s a question that often accompanies an evaluation of automated collection approaches. Some local governments have had success with:

- Timing the switch to automated collection to match attrition rates.
- Retraining workers for other positions.
- Interdepartmental transfers.
- Early retirement incentives.

**What About Overflow Waste?**

When system planners evaluate fully automated collection, overflow waste is an important consideration. Most families find that 90 gallons of RSW capacity per week is more than sufficient—especially if recyclables and yard trimmings diversion programs are available. But there might be exceptions—after holidays, parties, or spring cleaning, for example—and some customers will place set-outs next to (or on top of) their containers because it is easier than lifting the lid and placing RSW inside the cart.

Some of the local governments and haulers contacted as part of this study tracked “overflow” percentages (the average number of out-of-cart set-outs as a percentage of total possible set-outs). Among the communities that tracked the data, overflow rates ranged from about 6 percent to 16 percent:
Automating RSW Collection

Trading Headaches?

It makes sense that equipment designed to hydraulically lift heavy set-outs could cut down on labor costs and improve productivity. But what about the cost of purchasing and maintaining such equipment? Are you just trading headaches?

The key to this question rests with selecting the appropriate vehicles and equipment, providing adequate operator training, and designing an appropriate maintenance program.

Pasadena, California’s, solid waste planning administrator offered the following advice for local governments considering the purchase of an automated collection system:

- Buy top-of-the-line equipment; it will pay off in longer use and fewer repairs.
- Consider reducing capital costs by converting your existing fleet to automated vehicles.
- Specify vehicle performance and hold suppliers to those specifications.
- Invest in training: send representatives to the factory and provide appropriate on-the-job training.
- Design a maintenance program that addresses the needs of the specialized vehicles and equipment.
- Keep learning and adapt your program as you go.

Where Is Automation Working?

The list of RSW collection service providers on pages 33 to 34 have implemented semi- or fully automated collection systems.

Ready To Make The Change?

Because resistance to change is commonplace, it is important to think strategically when evaluating significant system modifications. Answering the following questions early in your planning process will

- Tucson, Arizona: 6 percent
- Memphis, Tennessee: 7 percent
- Plano, Texas: 11 percent
- Norfolk, Virginia: 16 percent

It should be emphasized that carts were not always full when out-of-cart set-outs were present. During field observation in Memphis, Tennessee, spot checks revealed that containers often had sufficient room to hold materials that had been left on top of or near carts. Customer misinformation or unwillingness to comply with set-out requirements might be the culprit, not excessive volume demands.

The potential productivity of fully automated systems might be seriously compromised if elected officials or staff are not willing to enforce containerized set-out requirements. Before new set-out policies were instituted in Chesapeake, Virginia, for example, manual collection equipment completed a second pass of each household each collection day to collect overflow set-outs. This system increases fleet and labor demands and undermines the intent of the fully automated collection approach.

Communities with PAYT fee structures should find overflow set-outs less problematic. In PAYT programs, the fee structure typically provides a financial disincentive for setting out excess RSW. When residents do have extra disposal needs, many PAYT systems use “extra bag” tags or stickers or some similar mechanism to recover some or all of the costs associated with handling the excess material.
help you to identify needs for additional research, education, and consensus building.

**Customer Service**
1. Have you adequately informed the public of collection changes?
2. Do you track complaint/service request data now?
3. Have you anticipated how the switch to automation will affect the number of calls received?
4. Have you added phone lines or staff to handle short-term increased volume of calls?
5. Have all staff who might get questions or calls been notified of the change?

**Social and Political Issues**
1. Have you involved citizens in the decision-making process?
2. Do you have data from a pilot program or similar community to support your decision?
3. How will customers respond to automated collection vehicles and containers?
4. Are residents likely to have difficulty handling the carts?
5. Will the change be more acceptable if customers have the option to use more than one container or set-out overflow waste in alternative containers?
6. Will the system be compatible with waste reduction and diversion goals?
7. If so, how will you charge for that premium service? What will the operational impacts be?

**Labor**
1. How will automation affect your staffing needs?
2. Can you time the switch to automated service to match current attrition levels?
3. Can displaced workers provide other functions within the department or organization?
4. Have workers been informed of planned changes?
5. Have workers been involved in decision-making?
6. Have you trained vehicle operators and maintenance personnel?
7. Have you reviewed labor agreements and/or work rules for barriers to changing crew size?
8. Have you considered reclassification of positions for operating automated equipment (and potential impacts on wages)?

**Containers**
1. If containers for RSW or recyclables have been provided, are containers sized appropriately?
2. Do customers have the option to utilize smaller containers or receive second containers? Will rates be adjusted (e.g., PAYT fee system)?
3. Are alternate containers acceptable? Have customers been informed of set-out options?
4. Have container distribution, maintenance, repair, and replacement needs been evaluated? Will these services be provided by your staff or contracted?
5. Have you selected carts that are compatible with collection vehicles and lifter mechanisms?
6. Have you considered potential program changes (increases in diversion opportunities, implementation of PAYT fee systems, for example) on container size and type?
7. Have you developed a container tracking system?
8. Have you developed area routes that optimize vehicle utilization?
9. Have you considered the impact of automation on the number of trips required to unload per day?
Implementing Automation Systems

**Semi-Automation**

**Austin, Texas**
- Implemented semi-automated RSW collection.
- Reduced RSW collection frequency.
- Reduced crew size (three-person crews to two-person crews).
- Added yard trimmings collection.

**Rochester, New York**
- Switched from manual rearload collection of RSW to semi-automated sideload collection.
- Decreased crew size (two-person crews to one-person crews).
- Implemented yard trimmings and recyclables collection programs.

**Full Automation**

**Beaumont, Texas**
- Switched from semi-automated RSW collection to fully automated sideload collection.
- Reduced collection frequency.
- Reduced crew size (two-person crews to one-person crews).
- Added yard trimmings and recyclables (biweekly) collection.

**Edmond, Oklahoma**
- Switched from manual to fully automated RSW collection.
- Decreased collection frequency.
- Decreased crew size (2-person crews to 1-person crews).

**Escambia County, Florida**
- Switched from manual to fully automated RSW collection for most households.
- Collect approximately 6,000 households with semi-automated sideloaders—which primarily serve dead-end streets and small private roads.
- Reduced crew size (three-person crews to one-person crews).
- Implemented separate yard trimmings collection.

**Glendale, California**
- Switched from manual rearload to fully automated sideload RSW collection.
- Added yard trimmings collection services.

**Gottstown, New Hampshire**
- Switched from manual to fully automated RSW collection.

**Greensboro, North Carolina**
- Switched from manual rearload to fully automated sideloaders for RSW collection.
- Decreased collection frequency.
- Decreased crew size (two-person crews to one-person crews).
- Added recyclables and yard trimmings collections.

**Greenville, Mississippi**
- Switched from manual to fully automated sideload collection for RSW.
- Reduced crew size (two-person crews to one-person crews).

**Houston, Texas**
- Replacing combination of manual rear- and sideload collection vehicles with fully automated RSW collection vehicles.
- Reducing RSW collection frequency.
- Reducing crew size (two-person crews to one-person crews).
- Implementing separate yard trimmings collection.

**Indianapolis, Indiana**
- Switched from manual rearload vehicles to fully automated sideload vehicles for RSW collection.
- Reduced collection frequency.
- Reduced crew size (three-person crews to one-person crews).
- Increased frequency of yard trimmings collection.

**Jeckyll Island State Park, Georgia**
- Replaced manual RSW collection with fully automated collection.
- Decreased collection frequency.
- Decreased crew size (three-person crews to one-person crews).
- Added yard waste collection.
- Switched from manual rearloaders to fully automated sideloaders.

* This community has a PAYT rate structure.
Implementing Automation Systems (Continued)

Kill Devil Hills, North Carolina
- Switched to automated sideloaders.
- Decreased crew size (three-person crews to one-person crews).

Lake Charles, Louisiana
- Switched from manual rearload collection to fully automated sideload collection of RSW.
- Decreased crew size (two-person crews to one-person crews).
- Added yard trimmings and recyclables collection.

Little Rock, Arkansas
- Switched from manual rearload collection to fully automated sideload collection for RSW.
- Decreased collection frequency.
- Decreased crew size (three-person crews to one-person crews).
- Implemented yard trimmings and recyclables collection.

Long Beach, California
- Replaced manual rearload fleet for RSW collection with fully automated sideloaders.
- Reduced crew size (two-person crews to one-person crews).

Los Angeles, California
- Switched from manual frontloaders for RSW collection to fully automated sideloaders.
- Decreased collection frequency.
- Implemented separate yard trimmings and recyclables collections.

Pasadena, California*
- Replaced backyard collection with curbside pickup.
- Switched from manual frontloaders to fully automated sideloaders for RSW collection.
- Reduced crew size (four-person crews to one-person crews).
- Added yard trimmings collection.

Pensacola, Florida
- Switched from manual rearload RSW collection to fully automated sideload collection.
- Decreased crew size (three-person crews to one-person crews).

Plano, Texas
- Replaced combination of alley (98 percent) and curbside (2 percent) service with curbside collection for RSW.
- Switched from manual sideload collection vehicles to semi-automated fleet (interim phase).
- In process of implementing fully automated sideload collection citywide.
- In the old system, combination of one- and two-person crews were used; one-person crews now used to staff fully automated vehicles.
- Decreased RSW collection frequency.
- Implemented recyclables collection.

Richland, Washington*
- Switched from combination of manual side- and rearload vehicles to fully automated sideload collection for RSW.
- Replaced combination of one- and two-person crews with one-person crews.

Toppenish, Washington
- Switched from manual rearload collection of RSW to fully automated sideload pickup.
- Decreased crew size (two-person crews to one-person crews).

Victorville, California
- Switched from manual sideloaders for RSW collection to fully automated sideloaders.
- Reduced RSW collection frequency.
- Implemented collection of recyclables (with automated equipment).

* This community has a PAYT rate structure.
Implementing Dual Collection

To meet rising concerns about costs and productivity and minimize the number of vehicles passing customers each day, dual collection vehicles—which allow for the collection of separated waste streams with a single vehicle in a single pass—are gaining in popularity.

This chapter addresses:
- Dual collection options.
- Impacts of dual collection.
- Applicability of dual collection.
- Listings of collection service providers who are using dual collection.

Dual Collection Options—What’s Available?

To get a better idea of the variety of ways in which haulers and local governments are implementing dual collection, consider the following three experiences.

By The Bag In Loveland, Colorado

Prior to the implementation of dual collection, Loveland had manual collection of RSW with two-person crews. No separate collections for recyclables or yard trimmings were offered, and residents were charged a monthly flat rate for solid waste management services.

Loveland decided to change this collection system for a variety of reasons, including rising Workers’ Compensation costs, a desire to provide curbside collection for recyclables, a desire to reduce risk of injury by decreasing set-out weights, and complaints from some citizens about the inequity of the flat-fee pricing structure.

Under its new dual collection system, Loveland uses vehicles produced by May Manufacturing. Chassis are fitted with manual rearloader bodies for RSW collection, and over-the-top loading compartmentalized bodies are used for
Implementing Dual Collection

recyclables—a two-stream curb-sort approach (paper and containers). OCC is collected in both paper and container compartments, as well as in the space between the packer and recyclables bodies.

Loveland combined flat and PAYT fees (bag and tag system for RSW set-outs) and offered separate optional curbside collection of yard trimmings using semi-automated collection vehicles. The city also promoted its yard trimmings dropoff programs.

As a result of its new system, two-person crews continue to provide manual rearload collection, but set-out weights are decreased because of yard trimmings and recyclables separation. Forty percent of residential waste is diverted (through recyclables collection and yard trimmings separation). Loveland has witnessed a 6 percent increase in operational costs compared to its old system with no separation of recyclables or yard trimmings.

The city has saved an estimated $200,000 per year in direct operational cost savings over predicted costs of operating two fleets to collect RSW and recyclables. Loveland also has a 92 percent customer satisfaction rating.

Waste Management: Making “One Pass” In Oakland, California*

In parts of Oakland, Waste Management provides RSW, yard trimmings, and recyclables collection services; in other service areas of the city, only RSW and yard trimmings collections are handled by Waste Management vehicles and crews. The “One Pass” approach gives the private hauler flexibility to collect two or three streams at one time. How does it work?

Waste Management uses Kann vehicles of front-load design with special “work buckets.” Work buckets are divided into two or three compartments. Vehicles are designed to collect:
- 3.5 tons of recyclables or 4 tons of yard trimmings per load.
- 5 tons of RSW per load.

RSW and yard trimmings are collected using wheeled carts (i.e., 30-, 60-, and 90-gallon containers). Semi-automated tippers dump carts into the work bucket. The vehicle body is split horizontally in two sections:
- A top compartment is designed to accept yard trimmings or recyclables.
- The top compartment is further split into two chambers that can hold separated paper and commingled container streams.
- A bottom compartment is designed for RSW.
- Compaction is used in all compartments.

In areas of Oakland where Waste Management provides all three collection services, recyclables and yard trimmings are collected on alternate weeks. One driver serves approximately 400 to 500 households per day. RSW, recyclables, and yard trimmings are all discharged at the same location—a transfer station with separate unloading areas for each collected material stream.

Vehicle maneuverability was an issue in some of Oakland’s hilly areas where streets are too narrow for the dual collection equipment. As a result, noncompartmentalized rearloaders are used to collect set-outs in areas where a dual collection truck is inappropriate.

The switch to dual collection vehicles has been a success. Mike Ropers, Waste Management’s maintenance manager, reports minimal mechanical problems with the new vehicles.

Patented Success: Visalia, California

In a unique public-private partnership, the city of Visalia and Ruckstell Equipment Sales teamed up to offer a dual collection system that relies on fully automated collection equipment. System features include a patented split cart with 110 gallons of total capacity divided into two equal compartments (55 gallons each) for RSW and recyclables. Fully automated side-load Heil collection vehicles are modified with split hoppers and split bodies (dual compaction). Forty percent of the packer body is

*Source: BioCycle, July 1996
devoted to recyclables. The commingled recyclables are collected in the top chamber, and RSW is collected in the bottom chamber (60 percent of vehicle capacity).

Since implementing the dual collection system, Visalia has not experienced a significant increase in time required to serve households. Route sizes have also remained constant (i.e., approximately 900 households per route per day). Visalia implemented a separate fully automated yard trimmings collection service concurrently with the dual collection program. The estimated incremental increase in direct costs to add recyclables and yard trimmings is 2 percent. Visalia reports a 26 percent diversion of recyclables (excluding yard trimmings) in areas of the city where the dual collection program has been phased in.

Impact Of Dual Collection: Evaluating The Potential

The Palm Beach County, Florida, Solid Waste Authority undertook a pilot program with assistance from the American Plastics Council to test the cost-effectiveness of dual collection compared to the “traditional” approach of using two separate fleets to collect RSW and recyclables, using data collected from one community in Palm Beach County (Lake Worth, Florida). Results of the pilot program are presented in the table on page 38.

Using the regression models that were developed as part of the project and field data from the pilot program, the estimated time required to service a set-out using the dual collection vehicle was calculated to be 44 seconds per stop. The total time required to collect RSW and recyclables with a two-fleet approach was estimated to be 64.6 seconds per stop. Based on the combined effect of the factors listed below, dual collection was estimated to result in a 13 percent cost savings in the Lake Worth pilot:

- Low weights per RSW set-out in the pilot area (approximately 30 pounds per set-out).
- An average time on route of just 4.9 hours for the dual collection vehicle compared to a total of 9.2 hours for the RSW and recyclables collection vehicles (approximately 4.6 hours each).
- The decrease in total time required per stop to collect RSW and recyclables with the dual collection vehicle.

Will Dual Collection Work Everywhere?

Dual collection has several limitations:

- Sizing dual collection compartments and determining the appropriate level of compaction is a challenge. Compartments need to be sized so that the recyclables compartments and RSW compartments fill up at approximately the same rate. In addition, while some communities use compaction of recyclables to improve compartment utilization, the impacts on material quality need to be considered. In Washington, DC (where dual collection was pilot tested), the City’s Public Works Department reported difficulty in finding the compaction level that would maximize route productivity but still maintain material quality.

- Many dual collection vehicles have longer wheelbases requiring a larger turning radius than many typical RSW or recyclables vehicles. They might not be usable on some routes with narrow roadways and dead-end streets. (Visalia’s dual collection system is a notable exception. These vehicles can access and service any area that a regular automated truck can access.)

- Once dual collection vehicles are designed, retrofits are possible but difficult; therefore up-front program planning is essential. The addition of corrugated containers to Loveland, Colorado’s recycling program, for example, presented operational challenges, because the original compartment sizing was designed for newspaper only in the fiber stream.

Remember, the current generation of dual collection programs is
## Comparison Of Truck Productivity (Based On Households Served)

**Lake Worth, Florida, Pilot Study**

<table>
<thead>
<tr>
<th></th>
<th>RSW Only</th>
<th>Recyclables Only</th>
<th>Dual Collection</th>
</tr>
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<tbody>
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<td>Time required to service one set-out</td>
<td>28.4 seconds</td>
<td>36.2 seconds</td>
<td>44.0 seconds</td>
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<tr>
<td>Set-outs served on first load</td>
<td>400</td>
<td>492</td>
<td>400</td>
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<tr>
<td>Set-outs served on second load</td>
<td>149</td>
<td>NA</td>
<td>NA</td>
</tr>
<tr>
<td>Total set-outs</td>
<td>549</td>
<td>492</td>
<td>400</td>
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<td>Set-out rate</td>
<td>80.0%</td>
<td>52.4%</td>
<td>80.0%</td>
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<td>Total route size (households)</td>
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<td>939</td>
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<td>Total scheduled work day</td>
<td>8 hours</td>
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<tr>
<td>Truck set up, paperwork, breaks</td>
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<td>Yard to route (travel)</td>
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<td>Time required to fill truck</td>
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<td>4 hours, 53 minutes</td>
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<tr>
<td>Route to unload point</td>
<td>20 minutes</td>
<td>20 minutes</td>
<td>20 minutes</td>
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<tr>
<td>Time to weigh and unload</td>
<td>30 minutes</td>
<td>30 minutes</td>
<td>60 minutes</td>
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<tr>
<td>Balance available after 1st load</td>
<td>2 hours, 41 minutes</td>
<td>53 minutes</td>
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<tr>
<td>Unloading point to route</td>
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<td>NA</td>
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<td>Time required to service second load</td>
<td>1 hour, 11 minutes</td>
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<td>NA</td>
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<td>Route to unload point</td>
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<td>NA</td>
</tr>
<tr>
<td>Time to weigh and unload</td>
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<td>NA</td>
<td>NA</td>
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<tr>
<td>Unloading point to yard</td>
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<td>20 minutes</td>
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<td>Time left over</td>
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<td>7 minutes</td>
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<td>TOTAL - Time on route</td>
<td>4 hours, 20 minutes</td>
<td>4 hours, 57 minutes</td>
<td>4 hours, 53 minutes</td>
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<tr>
<td>TOTAL - Time off route</td>
<td>3 hours, 40 minutes</td>
<td>3 hours, 3 minutes</td>
<td>3 hours, 7 minutes</td>
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</table>

NA = Not applicable

Implementing Dual Collection

still relatively new. Despite interest on the part of many public and private RSW collection systems, fewer than 100 dual collection systems were in operation in 1995.

Vehicle vendors and solid waste system planners continue to experiment with alternatives to dual collection. May Manufacturing’s President, Jim May, agrees that while dual collection vehicles have tremendous potential, they might not be appropriate everywhere.

Is Your System A Good Candidate?

Dual collection is more applicable if your community has:
- Low RSW generation.
- Low housing density.
- High driver and crew wages.
- High offroute time.
- High mileage to unload.
- High participation in recyclables collection.
- Processing and disposal locations are close (i.e., within 10 miles, typically).

Kicking The Tires

If you are thinking of implementing a dual collection system, you might want to talk to the experts—communities or haulers that are providing (or have tested) dual collection approaches.

<table>
<thead>
<tr>
<th>Case Study</th>
<th>Dual Collection In Practice</th>
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</thead>
<tbody>
<tr>
<td>Who?</td>
<td>What Type of Dual Collection?</td>
</tr>
</tbody>
</table>
| Beaver’s Disposal, California | • Split 110-gallon carts.  
  • Split hopper and chamber.  
  • Fully automated collection vehicles. |
| Chillicothe, Missouri | • Manual rearload for RSW.  
  • Over-the-top sideloading compartments for recyclables.  
  • Implemented variable rate pricing system and separate yard trimmings collection program as well. |
| Durham, North Carolina | • Semi-automated sideloader for RSW.  
  • Curb-sort over-the-top sideloading compartments for recyclables. |
| Hughes Trash Removal, Maryland | • Tested dual collection on a very rural route.  
  • Manual reloading style for RSW.  
  • Sideloading compartments for recyclables. |
| Loveland, Colorado | • Manual rearloader for RSW.  
  • Over-the-top sideloading compartments for recyclables.  
  • Implemented variable rates and separate yard trimmings collection program. |
| Oxnard, California | • 110-gallon split carts.  
  • Fully automated collection.  
  • Split hopper and chamber. |
| Pena Disposal, California | • 110-gallon split carts.  
  • Split hopper and chamber.  
  • Fully automated collection. |
| Visalia, California | • 110-gallon split carts.  
  • Split hopper and chamber.  
  • Fully automated collection. |

Note: Significant maintenance problems have crippled dual collection productivity.

Putting It All Together: Designing For Success

Changing a collection system requires setting clear goals, designing an appropriate program, and planning for addressing unanticipated challenges. Here are some tips for making the change:

**Goals**

1. Provide levels of service that will meet health, regulatory, and community requirements.
2. Provide those services for the lowest possible cost.
3. Ensure that the collection system will be compatible with processing and disposal systems.
4. Design for flexibility to meet changing demands.
5. Design a system that encourages the achievement of public policy objectives (e.g., recycling and diversion goals).

**Design Framework**

1. Who are the customers and how should they be served? Do service requirements vary geographically or demographically within the service territory?
2. How many types of collection services should be offered?
3. How frequently should each type of collection service be provided?
4. What set-out requirements should be established?
5. What types of vehicles and equipment will be needed?
6. Who should be the service provider?
7. What impacts will the collection system design have on staffing needs and labor relations?
8. What are the institutional, administrative, educational, and customer service support implications of the collection system design?
9. Are the resources of both public and private sectors being used appropriately?

**Planning For Change**

1. Involve stakeholders in the process: the community at large, the media, elected officials, planning and administrative staff, and front-line workers and supervisors.

2. Expect resistance.

3. Develop comprehensive and consistent public awareness campaigns (make sure to address all stakeholders).

4. Consider both the benefits and drawbacks of conducting pilot programs and phasing in change over time.

5. Be prepared to respond to changes in public policy, customer attitudes, and technology.

6. Develop a systems orientation—avoid “jumping out of the frying pan and into the fire” by carefully considering how collection systems integrate with each other and other elements of the MSW management system (e.g., transferring, processing, and disposal).
Numerous communities across the country have used the strategies described in this workbook to improve the efficiency of their collection programs. For more information about implementing a particular strategy, contact the following communities:

**Changing Collection Frequency**

City of Greensboro, NC  
P. O. Box 3136  
Greensboro, NC 27402  
Contact: Elizabeth Treadway  
Phone: 336 373-2867

City of Indianapolis, IN  
200 East Washington Street  
City/Cty Building, Suite 2460  
Indianapolis, IN 46204-3357  
Contact: Charles Bardonner  
Phone: 317 327-7866

City of Jacksonville, FL  
1031 Superior Street  
Jacksonville, FL 32254  
Contact: Fred Forbes  
Phone: 904 387-8922

City of Little Rock, AR  
701 West Markham  
Little Rock, AR 72201  
Contact: Chandra Russell  
Phone: 501 371-4475

City of Memphis, TN  
125 North Main Street  
Room 628  
Memphis, TN 38103

**Improving Routing**

City of Charlotte, NC  
SWS /Admin-7th Floor  
600 East Fourth Street  
Charlotte, NC 28202  
Contact: Wayman Pearson  
Phone: 704 336-2176

Miami-Dade County, FL  
8675 NW 53rd Street, Suite 201  
Miami, FL 33166  
Contact: Deborah Higer  
Phone: 305 594-1567

Town of Hempstead, NY  
1600 Merrick Road  
Merrick, NY 11566  
Contact: Richard T. Ronan, PE  
Phone: 516 378-4210, Ext. 306

City of Norman, OK  
P. O. Box 370  
Norman, OK 73070  
Contact: Tommy McCarrell  
Phone: 405 329-1023

**Automating RSW Collection**

City of Chesapeake, VA  
912 Hollowell Lane  
Chesapeake, VA 23320

**Dual Collection**

City of Loveland, CO  
200 North Wilson  
Loveland, CO 80537  
Contact: Mick Mercer  
Phone: 970 962-2530

City of Visalia  
Solid Waste Fleet Services  
366 North Ben Maddox Way  
Visalia, CA 93292  
Contact: Tom Baffa  
Phone: 209 738-3569
Having trouble determining the impact of collection system changes? Help is just a few keystrokes away!

SWANA developed a free, user-friendly computerized collection worksheet that will generate route requirements for any given system. The Windows-based program includes pop-up help boxes and guides users step-by-step through data gathering and all necessary calculations. The program allows MSW managers to estimate the cost and labor savings of making almost any system change (e.g., increasing levels of automation, changing vehicle size, changing collection frequency, or redesigning curbside collection routes). The automated worksheet is available on two 3-1/2 inch computer disks along with instructions for installing the software and running the worksheet program.

To order the free software, or for more information, please contact SWANA, Technical Services, P.O. Box 7219, Silver Spring, MD, 20907-7219. Phone: 301 585-2898. Fax: 301 589-7068. E-mail: <technical@swana.org>. You also can order the automated worksheet via mail by returning this form to the address printed on the reverse. Simply fill in your mailing address below, fold the page where indicted, seal, affix proper first-class postage, and drop it in the mail.

Mailing Address:

Name: ____________________________
Title: ____________________________
Organization: ____________________________
Street Address: ____________________________
City: ____________ State: ____________ Zip: ____________
SWANA, Technical Services
SWANA
P.O. Box 7219
Silver Spring, MD 20907-7219
Appendix B

Listing of PA PAYT Programs
Pennsylvania Pay-As-You-Throw Index

<table>
<thead>
<tr>
<th>COUNTY</th>
<th>MUNICIPALITY</th>
<th>POPULATION</th>
<th>CONTACT</th>
<th>PHONE</th>
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<tbody>
<tr>
<td>Armstrong</td>
<td>Dayton Borough</td>
<td>572</td>
<td>Heidi Greenawalt</td>
<td>(814) 257-9826</td>
</tr>
<tr>
<td></td>
<td>Leechburg Boro</td>
<td>2504</td>
<td>Carol Defilippi</td>
<td>(724) 842-8511</td>
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<tr>
<td></td>
<td>Rural Valley Bor</td>
<td>936</td>
<td>Lillian Bart</td>
<td>(724) 783-6996</td>
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<tr>
<td>Beaver</td>
<td>Brighton Townsh</td>
<td>7489</td>
<td>Lola Presutti</td>
<td>(724) 774-4803</td>
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<td>New Brighton</td>
<td>6854</td>
<td>Cheryl Oshop</td>
<td>(724) 846-1870</td>
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<td>White Township</td>
<td>1610</td>
<td>Therese Pamer</td>
<td>(724) 843-2819</td>
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<tr>
<td>Bedford</td>
<td>Broad Top Town</td>
<td>1918</td>
<td>Ernest Fuller</td>
<td>(814) 928-5253</td>
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<tr>
<td>Berks</td>
<td>Colebrookdale T</td>
<td>5469</td>
<td>Cynthia Clemmer</td>
<td>(610) 369-1362</td>
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<tr>
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<td>Reading, City of</td>
<td>78380</td>
<td>Jane Meeks</td>
<td>(610) 655-6278</td>
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<td>Wyomissing Bor</td>
<td>11172</td>
<td>Paul Lukehart</td>
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<tr>
<td>Bradford</td>
<td>Athens Borough</td>
<td>3468</td>
<td>Borough Council</td>
<td>(570) 888-2120</td>
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<td>Bradford County</td>
<td>60967</td>
<td>David Terrill</td>
<td>(570) 297-4177</td>
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<tr>
<td></td>
<td>Towanda Boroug</td>
<td>3242</td>
<td>Tom Fairchild</td>
<td>(570) 265-2696</td>
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<td>Bucks</td>
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<td>56788</td>
<td>Jamie Barnes</td>
<td>(215) 633-0668</td>
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<td>Doylestown Tow</td>
<td>14510</td>
<td>Stephanie Mason</td>
<td>(215) 348-9915</td>
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<td>Langhorne Mano</td>
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<td>William McTigue, Jr.</td>
<td>(215) 752-5835</td>
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<td>Elverson Borough</td>
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<td>Honey Brook Bo</td>
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<td>Van Wagner</td>
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<td>Collins</td>
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<td>Helen Olechovski</td>
<td>(724) 248-3308</td>
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<td>Karen Pernici</td>
<td>724-479-2688</td>
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<td>Phyllis Bestvina</td>
<td>(724) 465-7453</td>
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<td>Clymer Borough</td>
<td>1499</td>
<td>Connie Custer</td>
<td>(724) 726-1057</td>
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<td>Creekside Borough</td>
<td>337</td>
<td>Nancy Bowser</td>
<td>(724) 463-9767</td>
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<tr>
<td></td>
<td>East Wheatfield</td>
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**Tioga**

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**Westmoreland**

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Total PAYT Programs: 213