Module 2
Responsibilities of Governing Bodies

Workbook

Financial/Managerial Series

This course includes content developed by the Pennsylvania Department of Environmental Protection in cooperation with the following grantees:

RCAP Solutions, Inc.
Penn State Harrisburg Environmental Training Center
Training Module 2
Responsibilities of Governing Bodies

Objectives:

The purpose of this training module is to have the learners:

- Understand their full responsibility as a governing body member of a public drinking water supply system that must operate as a viable business.

- Recognize they must ensure their utility has adequate financial, technical and managerial capacity to operate.

- Know what is expected of governing bodies to properly manage a water utility, including legal matters.

- Identify key areas where they individually need additional information or training.

- Know what roles and responsibilities all employees, contractors and volunteers have in operating the system.

- Create practices to stay informed and properly manage the system.

- Consider how to best work with other members of their board or council, and suggest ways of delegating tasks and decision-making to properly balance responsiveness with due diligence.

- Consider methods for constructive two-way communication with customers.
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Introduction

What are your responsibilities as an elected or appointed government official overseeing a public water system?

By the end of the course you should be able to:

- Understand your full responsibility as a governing body member of a public drinking water supply system that must operate as a viable business

- Recognize you must ensure your utility has adequate financial, technical and managerial capacity to operate

- Know what is expected of governing bodies to properly manage a water utility, including legal matters

- Identify key areas where you individually need additional information or training

- Know what roles and responsibilities all employees, contractors and volunteers have in operating the system

- Create practices to stay informed and properly manage the system (ex. quarterly meetings with the operator on site)

- Work with other members of their board or council, and suggest ways of delegating tasks and decision-making to properly balance responsiveness with due diligence
Constructively participate in two-way communication with customers

Many responsibilities can be categorized as either legal or financial, and in some cases both, such as contracts. Two additional categories, managerial (including planning) and ethical, capture remaining responsibilities that are not necessarily prescribed by law or the threat of legal action. These include activities that are for the benefit of your system and community.

Responsibility can translate into ‘liability.’ As officers and/or decision makers of a public water system, be mindful that you could be held personally liable for failing to comply with applicable laws that address public health, safety and discrimination. Additional liability issues may be related to risk management, disaster and emergency preparedness, and negligence.

It is very unusual for individuals to be held personally accountable. Generally, by knowing the legal issues applicable to your system and making a good faith effort to ensure compliance, you will protect yourself. Some boards may choose to buy special insurance, called Directors and Officers (D&O) insurance, to protect its members from lawsuits since they can be held liable under the Safe Drinking Water Act.

As a member of a governing body, you are responsible for ensuring:

- Quality product
- Environmental compliance
- Safe work environment
- Timely payment of bills
- Fair rate system that generates enough revenue to meet all needs
- Responsible spending


- Planning for long-term sustainability
- Public education and customer service

To start, let us examine your legal responsibilities.

**Legal Responsibilities**

Legal responsibilities include:

- Carrying out your system’s policies, ordinances, etc.
- Environmental compliance
- Record-keeping in accordance with state and federal law
- Business contracts
- Compliance with bidding and purchasing requirements
- Non-discriminatory practices and policies for both employees and customers
- Worker protection (sexual harassment, Material Safety Data Sheets)
Are you familiar with the Safe Drinking Water Act?

The Safe Drinking Water Act was last reauthorized in 1996, and it directs the U.S. EPA to establish water quality standards necessary to protect the public from known harmful contaminants. It calls for states to accept primary enforcement responsibility (primacy).

Each state's requirements, standards and programs must meet or exceed those established by the EPA. In return, the states receive federal grants to supplement state funds to operate their programs.

The 1996 reauthorization included several amendments, including the filter backwash rule, arsenic rule, radon rule, and disinfection byproducts rule. There was also an amendment requiring every state to establish a Source Water Assessment Program, with the purpose of evaluating potential threats to drinking water.

The SDWA is addressed in more depth in Training Module 3, SDWA.

As part of the certification process, your operator had to learn about environmental regulations relevant to your water system. You may want to consider the following questions:

- Does your operator ever report on compliance?
- Do you have procedures in place to regularly review test results and identify potential problems?
- Where can you find out the environmental regulations governing your facility?

The DEP can provide you with water quality requirements. This information is readily accessible on the Internet at:
http://www.dep.state.pa.us/dep/deputate/waterops/Redesign/PAGES/wateroperinfo.htm

Or, you can call your local DEP Sanitarian.

You do need to stay on top of environmental compliance. Claiming ignorance or relying on your operator to know the regulations is not defensible.

Ultimate responsibility for compliance remains with the governing body!

Records need to be kept for a variety of needs, including:

- Regulatory purposes
- Sunshine Act and Right to Know requirements
- Accounting, finance, and audits
- Emergency response procedures
- Personnel files

You may want to consider the following questions:

- Do you know where records are kept?
- How records are organized?
- How records are ‘signed’ out?
- Who has access to the records?
- Which records (ex. personnel files) are confidential?
- Are all the records where they should be?

Your records should be kept in an orderly fashion, preferably in one place, and must comply with Sunshine and Right to Know laws as applicable. You may want to consider storing critical documents in a fireproof safe. Also, consider how electronic files are organized, stored, and backed up.

Particularly during and after new major capital improvement projects, documents may be scattered among the people that had a role in the project.
development. Make sure these documents, including contracts, engineering
drawings, and grant agreements, are returned, labeled and organized so that
information can be retrieved quickly. Good record keeping can be essential
in resolving disputes with contractors, customers or other parties.

The Pennsylvania Sunshine Act requires all public agencies to keep records
of all official actions including making recommendations, establishing policy,
making motions and voting on resolutions, ordinances, rules, regulations,
proposals, reports, orders, etc.

The Right to Know Law also applies to all municipalities in the state, including
home rule municipalities. It guarantees public access to any public record of
a public agency. Not all government documents are ‘public,’ such as
personnel files.

The Governor’s Center for Local Government Services offers a publication
called “Open Meetings/Open Records: The Sunshine Act and the Right to
Know Law”. It is very important that you understand both pieces of legislation
and how it applies to local governments. To obtain a copy, call (717) 783-
0176.

Appendix A provides a page outlining several federal laws that apply to safety
and job discrimination issues. Since we don’t have the time to cover all these
in detail here, this can be used as a quick reference after the training session.
The page is from the National Environmental Training Center for Small
Communities (NETCSC) publication “Managing a Small Drinking Water
System: A Short Course for Local Officials.” Additional information can be
obtained from the PA Dept. of Labor or OSHA.
Resources for going a little deeper…

This short course is exactly that, a short training module that can only explain the major areas of responsibilities officials have in overseeing their water systems.

For in-depth training, other resources are available. The NETCSC training module listed above, “Managing a Small Drinking Water System”, is a 10 part course that includes two video tapes. Copies can be purchased by calling (800) 624-8301.

The EPA offers a free publication called, “The Drinking Water Handbook for Public Officials.” Contact the central DEP office for a copy, and reference publication #810-B-92-016.

The Governor’s Center for Local Government Services offers a number of useful publications related to this subject. Browse their website at www.inventpa.com and click on the ‘Communities in PA’ link.

Government purchases must adhere to rules that do not apply to the private sector. These rules, including mandatory bidding and selection procedures, are intended to eliminate any favoritism or unfair advantages among companies competing for government business, especially those in which public officials may have a personal or financial interest.

There are several exemptions to mandated bidding. However, even when bidding is not mandated, it may still be a good option. A bidding process must be strictly adhered to when it is chosen.

A separate training module called “Bidding, Purchasing and Leasing” has been prepared to specifically address purchasing rules in Pennsylvania. The
Governor's Center for Local Government Services has a free publication called the “Purchasing Handbook.”

Similar to individuals or corporations, local governments, authorities and other governing bodies can enter into legally binding contracts. While officials generally seek the advice of their solicitor before drafting or signing contracts, it is their responsibility to know what they are signing. Once a contract is signed, officials must live up to their contractual obligations, such as making payments on time. Likewise, they owe it to their customers to make sure contractors live up to their obligations.

**Financial Responsibilities**

As decision makers for your water utility, you need to operate in a fiscally responsible manner. This means:

- Instituting fair rate structures that provide enough revenues to meet all financial obligations and provide some reserve for emergency repairs and capital improvement projects
- Paying the bills on time
- Instituting a fair delinquent accounts collection policy that is adhered to for all customers
This means:

- Using the bidding process to your advantage to maximize purchasing power
- Carefully selecting consultants exempt from the bidding process (ex. engineers, lawyers) by fairly evaluating more than one candidate, checking their references and negotiating with them
- Using contracts to ensure contractors live up to their obligations, and making sure they provide the services paid for by your customers

This also means:

- Instituting a purchasing policy that allows employees to do their jobs efficiently without the governing body relinquishing too much control (ex. allowing certain employees to sign off on purchases up to a maximum dollar limit)
- Paying your employees a fair wage and benefits that reflect the work that they do, recognizing the significant costs employee turnover can have on small facilities

This also means:

- Applying for grants
- Investigating and deciding on the best means of raising money for major projects (private loans, government loans, bonds)
• Investigating and deciding on the best way to invest any savings within municipal guidelines

Let’s see what you have learned so far. Take five minutes to complete the following fill-in-the-blank exercise.

1. The Pennsylvania _______ Act requires all public agencies to keep records of all official actions.

2. The Safe _______ _______ Act was last reauthorized in 1996, and it directs the U.S. EPA to establish water quality standards necessary to protect the public from known harmful contaminants.

3. Government purchases must adhere to rules that do not apply to the private sector including mandatory ________.

4. The governing body should institute a fair delinquent accounts collection policy that is adhered to for ________ customers.

5. The Right to Know Law guarantees ______ access to any ______ record of a ______ agency.

6. As a local official of a public water system, you could be ______ for failing to comply with applicable laws that address public health, safety, and ____________.

Managerial and Ethical Responsibilities

Management responsibilities can be, to a certain extent, placed in the hands of a utility manager, if you are large enough to have one. Ultimately,
governing officials are responsible for managing employees and the operation of their system. Because you are not expected to be on site micro-managing the system, procedures and practices need to be in place that will alert you to problems before they become emergencies.

The goal here is to avoid unexpected problems, ranging from equipment failures to sudden resignations by employees. While you cannot always predict or prevent emergencies from happening, having monitoring systems and checkpoints in place will effectively reduce them.

One way to begin considering the means by which you can create these practices is to think about major areas of concern, such as:

- Treatment plant equipment and buildings
- Distribution system
- Employees and personnel issues
- Financial issues such as budgeting, rates, etc.
- Environmental compliance, security and source protection
- Customer issues, such as customer service, delinquent accounts, and complaints
Management practices might include:

- Equipment monitoring programs
- Water tests, financial reports, other status reports
- Regular meetings with administrative staff, operators and contractors
- Employee performance reviews
- Complaint response procedures

Consider assigning individual members of your board to oversee different areas of the operation, and define exactly what they should do to keep on top of things.

It is important to have detailed job descriptions that delineate the roles and responsibilities for each employee. This will help ensure tasks do not fall through the cracks. Additionally, it is important to specify this for contractors, engineers, auditors, solicitors, and others that have roles and responsibilities for your water system.

As governing officials, you are entrusted with ensuring the system will continue to meet the needs of your community well into the future. Activities to protect the best interests of the community in most cases involve planning. This could be a five year business plan, a pump replacement program, a line extension, or partnering with a nearby water utility.

It is also in the best interest of your community to protect the water system assets, including the source of your drinking water. The DEP or a technical
assistance provider like RCAP can help you develop source water protection plans to minimize the risk of contaminants finding their way into your water source.

Since 9/11, security has been recognized as an area that many water utilities have neglected. Simple things like locks on doors, restricting access to public wells, etc. are often missing from small and moderate size systems. Free assistance is available from a variety of sources to help you conduct a security assessment, including the DEP, RCAP, and PSATS.

### How secure is your system?

How easy would it be for kids, a disgruntled customer, or a former employee to break into your facility? How safe is your water source? Are you prepared to deal with natural disasters? What would you do if you lost electrical power for more than a day or two?

Having a plan to protect your system and an Emergency Response Plan to respond to emergencies is important and required by law.

governing board member is simple. Do the right thing. Make decisions based on the best interest of the water system and its customers, and exclude personal interest in decision making as much as possible. You have committed to this by becoming a board member, and are expected to act accordingly.

If you are part of a municipal government or authority, there are laws regarding conflicts of interest, and any governing body may have rules about this in their by-laws.
By accepting a position on your board, you have also made a commitment to attend meetings, actively involve yourself in studying issues, participate in constructive discussions, and work with other members to make the best decisions.

Whether you are serving as an authority member, borough councilman, or township supervisor, you have to work together with other individuals in managing the system and making decisions.

Some of these decisions will be unpopular and board members must be prepared to make these decisions. It is your responsibility as a member of the governing body to make decisions with the best interest of the water system in mind. An example of this would be approving a rate increase to ensure to future financial viability of the water system.

Many of the decisions need to be made in a timely manner, and cannot be tabled or delayed. If obstacles occur in your decision making, there are decision making models and techniques available to help boards with the process. Information on these can be found at a number of sources including the “Basics of Environmental Systems Management” series from the National Environmental Training Center for Small Communities (NETCSC).

It helps if everyone has a common set of goals to work towards. When members of the governing body have these goals in mind, it can facilitate the decision making process.

It also helps to recognize that there are multiple stakeholders in your system, including customers, developers, regulators, debt holders, employees, and contractors. It is hard to satisfy everyone, and one of the board’s main responsibilities is to balance the various demands on the system.
Another important responsibility of the governing body is customer education.

The better educated the customers are the more buy-in they will have in the decisions. They will be better able to understand the need for certain decisions, such as rate increases, when they know the reasons why. Additionally, educated customers can offer better input during regular meetings, and conflicts can be minimized.

To improve customer education, encourage attendance at meetings of the governing body and also encourage the customers to ask questions. You may get some tough questions but it is worth it in the long run. Customer education is facilitated by “showing them” instead of simply “telling them.” If a pump needs to be replaced, show them pictures of the broken equipment or if a line section needs to be repaired, show them a piece of broken pipe.

In addition to the meetings, customers can be educated through a newsletter with the latest water system news. This can be mailed along with the water bills or posted on a website, if applicable. Additionally, you are required to
send a Consumer Confidence Report (CCR) to your customers annually. More information on the CCR is available in Module 3, SDWA or from DEP or EPA.

The governing board should ensure that customer complaints are properly addressed. It is irresponsible to ignore any customer complaints. Complaints should be recorded, including information such as time and date of the complaint, the complainants name, the nature of the complaint, etc., along with the action taken.

This procedure guarantees that some response is given to the customer. Additionally, keeping records of responses to complaints may protect the board in the event of legal action.

Before we summarize what has been covered, let’s see what you’ve learned so far.

You’ll find a short exercise in your workbooks. Take a few minutes to answer the questions. You can look back through your workbooks if you need to.

1. It is important to have a detailed job __________ that delineates the roles and responsibilities for each employee.

2. Since 9/11, __________ has been recognized as an area that many water utilities have neglected.

3. As a member of a governing body, you have committed yourself to avoiding __________ of interest.
4. A Statement of ________ or a _________ Statement provides the reason for the existence of the water system.

5. Keeping records of responses to __________ may protect the board in the event of legal action.

**Summary**

The key points of this training module are:

- Government officials, both elected and appointed, are ultimately responsible for operating and maintaining their water systems. ‘The buck stops here!’

- Even though the water utilities government officials oversee are publicly owned, they must still operate as businesses. The bills must be paid on time, and the system must be adequately maintained. Decisions must be in the best interest of the drinking water system’s long-term sustainability.

- There are responsibilities clearly defined by federal and state laws, and you are responsible for knowing about them and complying with them. Ignorance will not be an acceptable defense in the event of a legal challenge. Responsibilities not defined by law are important in maintaining and protecting your community’s water source and investment in its infrastructure.

- Stakeholders in your drinking water system include customers, regulators, debt holders and employees. A statement of purpose, acknowledgement of what each stakeholder wants, and clear sense of
purpose shared among board members will make balancing the competing demands from stakeholders an easier task.

- Decision-makers need to know what is going on. Find ways to make sure you really do, including meetings with employees, reviewing reports, and to some extent staying abreast of major trends and happenings in the industry.

- As managers, you are responsible for monitoring what goes on, knowing what needs fixed, planning for the future, communicating with your customers, and acting as the decision makers. It is impractical for every member of your governing body to be involved in the day to day management and purchasing. The management and decision-making structure of your organization should be practical, flexible, responsive AND responsible. Offering the employees or contractors that run the drinking water system some measure of decision making and purchasing power is prudent. On the flip side, leaving nearly everything in the hands of an operator or secretary is not responsible. Find ways to delegate tasks and decision-making that properly balances responsiveness with due diligence.

- Whenever possible, customer service should be proactive, rather than reactive. People will complain when there are problems with quality and service, and they are never happy when rates go up. Finding ways to prepare your customers ahead of time for service interruptions, rate adjustments, etc. will reduce the number of complaints.

**Resources and References**

The following are references and resources you can use when you have questions, or are ready to study government responsibilities for water systems in more detail:
Department of Environmental Protection has a couple of websites offering information on regulations:

http://www.dep.state.pa.us/dep/deputate/watermgt/WSM/wsm.htm

http://www.dep.state.pa.us/dep/deputate/waterops/Redesign/PAGES/wateroperinfo.htm

You may also contact your local DEP office to ask questions or receive more information.

Pennsylvania State Association of Township Supervisors, (717) 763-0930

Governor’s Center for Local Government Services, (717) 720-7395. This agency has several free, relevant publications.

RCAP Solutions, Don Schwartz, PA/NJ Program Manager, (814) 861-6093.

PA Department of Environmental Protection, Technical Assistance and Outreach, Dennis Lee, (717) 772-4058

National Environmental Training Center for Small Communities (NETCSC), located in Morgantown, WV. Phone (800) 624-8301 or web address at http://www.nesc.wvu.edu/netcsc/.

The complete list of training modules includes:

- Module 1, Water Supply System Basics Operations
- Module 2, Responsibilities of Governing Boards
- Module 3, The Safe Drinking Water Act
- Module 4, Dealing with Consultants, Technical Assistance Providers, Regulators, and Funding Agencies
- Module 5, The Basics of Accounting and Finance for Small Water Systems
- Module 6, Business Planning for Small Water Systems
- Module 7, Budgeting and Capital Improvements Planning Overview for Small Water Systems
- Module 8, Rate Design Overview for Small Water Systems
- Module 9, Bidding, Purchasing, and Leasing
- Module 10, Project Management Overview for Small Water Systems
Appendix A : Federal and State Laws that Address Safety and Job Discrimination Issues

Establishes requirements for minimum wage, maximum number of working hours and provisions for overtime pay, the use of child labor, and equal pay for men and women for performing substantially the same job.

**Civil Rights Act of 1964 (Title VII)**
Prohibits employment discrimination based on race, color, religion, sex, or national origin; establishes equal employment opportunity protection and sexual harassment protection.

**Age Discrimination in Employment Act of 1967**
Protects individuals who are 40 years of age or older.

**Americans with Disabilities Act of 1990**
Makes all aspects of employment, public services, and buildings/facilities more accessible to people with disabilities.

**Civil Rights Act of 1991**
Provides monetary damages in cases of intentional employment discrimination.

**Family and Medical Leave Act of 1993**
Entitles employees to take reasonable leave for certain medical reasons.


The federal Occupational Safety and Health Administration (OSHA) regulates privately owned water utilities, but does not have regulatory authority over state and local government entities, including authorities. In Pennsylvania, government employees are protected by the **PA Department of Labor**. Examples of laws enforced by this state agency include:

- General Safety Law
- Public Employees Relations Act
- Public Employees Relations Act
- Equal Pay Law
- Combustible and Flammable Liquids Act
- Employer to Pay Employment Medical Examination Fee
- Minimum Wage Act of 1968
- PA Community & Worker Right to Know Act
- Workers' Compensation Act
Appendix B Walkerton Timeline:

The Canadian Press

2000

May 12: Torrential downpour washes bacteria from cattle manure into shallow town well.

May 17: Residents complain of bloody diarrhea, vomiting, cramps, fever -- symptoms of E. coli poisoning.

May 18: Tests of water sampled May 15 reveal E. coli contamination. Water manager Stan Koebel fails to notify public or public health office.

May 19-21: Hundreds fall ill; Koebel does not mention knowledge of E. coli in water to health authorities.

May 21: Public health unit begins independent water testing, issues boil-water advisory.

May 22: First death directly linked to E. coli.

May 23: Health unit tests reveal water contaminated with deadly E. coli O157:H7. Two-year-old girl dies, more than 150 people seek hospital treatment, another 500 complain of symptoms.

May 24: Medical officer of health, Dr. Murray McQuigge, declares E. coli outbreak Canada’s worst. Two more die.


May 26: Tory Premier Mike Harris denies government cuts to blame for tragedy, points finger at changes made by previous NDP government. Proposed class-action lawsuit launched. Provincial police begin probe.

May 29: Sixth death. Province admits knowing for six years water system flawed; announces new rules to protect drinking water.

May 30: Seventh death.

May 31: Under opposition pressure, Harris orders public inquiry.

June 2: Federal, provincial governments announce financial aid for those affected by outbreak.

Aug. 26: New drinking-water laws take effect.

Oct. 16: Public inquiry under Justice Dennis O’Connor begins.

Nov. 15: Water utility's secretary-treasurer tells inquiry that Stan Koebel believed townspeople had food poisoning or flu.
Nov. 16: Province says Walkerton's water clean, but leaves it to health unit to lift boil-water advisory.

Nov. 17: Koebel resigns with $98,000 severance package.

Nov. 30: Mayor David Thomson bursts into tears at inquiry, recalling when he learned Stan Koebel withheld crucial information that might have curtailed the tragedy.

Dec. 5: Health unit lifts boil-water advisory.

Dec. 6-7: Frank Koebel, water foreman and Koebel's brother, stuns inquiry with testimony about drinking on the job and routine falsification of safety tests and records.

Dec. 18-20: Stan Koebel testifies about his reasons for falsification of safety tests and records, and why he didn't alert authorities to E. coli in water.

2001

Jan. 15: Court begins hearing request to certify class-action suit.

Feb. 1: Tentative settlement reached in class-action suit. Province admits no liability but guarantees compensation plan.

March 19: Judge gives final approval to class-action settlement.

March 27: Province picks up $15 million tab for fixing town's water.

April 23: Walkerton council agrees to pay Stan Koebel $82,000 for severance and vacation plus $5,000 in legal costs.

May 1: Deadline for opting out of class action passes. No one does.

May 20: Memorial service held commemorating anniversary of boil-water advisory.

June 17: Governor General Adrienne Clarkson pays tribute to victims by unveiling a memorial stone on a fountain.

June 25: Dr. Richard Schabas, former medical officer of health, testifies he repeatedly told the government that funding cuts would compromise public health.

June 26: Brenda Elliott, former environment minister, tells inquiry that the government acted as a team when making decisions around funding cuts.

June 27: Norm Sterling, also a former environment minister, testifies he was assured by his senior bureaucrats that any risks to public health caused by layoffs and budget cuts were manageable.

June 29: Premier Mike Harris testifies he was never warned of risks to human health posed by funding cuts to the Environment Ministry.

July 3: Inquiry faces first challenge when three local Environment Ministry officials argue judge has
no right to find fault with their conduct. Judge dismisses challenge.

Aug. 15-27: In closing submissions, lawyers defend their clients, with government blaming Stan Koebel for tragedy and Koebel saying blame must be spread.

Aug. 27: Inquiry wraps up Walkerton hearings.

2002


Jan. 16: Some details of the report are leaked to The Canadian Press.

Jan. 17: The Ontario government announces it will release the first part of the report on Jan. 18, 2002, four days ahead of schedule, because of the leak. Attorney General David Young says he has asked the Toronto police force to investigate the leak.

Jan. 18: The report is released in Walkerton. It says the chain of events that led to the disaster began Stan and Frank Koebel, two brothers responsible for the town's water, and was compounded by government budget cuts that undermined the ability of the Ministry of the Environment to pick up on the brothers' shortcomings.

Nov. 23: An arbitrator awards former public utilities foreman Frank Koebel a $55,000 compensation package by the municipality for his job loss.

Dec. 22: Ontario study finds half of provincial water plants are still violating safety laws implemented after tainted water tragedy.

2003

April 23: Twelve criminal charges announced against brothers Stan and Frank Koebel.

Premier Harris announces a public inquiry into the affair—not the proposed legislative inquiry, + police investigation. "I am a politician, and since I am ultimately responsible and accountable, it's hard to take it out of my hands," Premier Mike Harris
Appendix C: Am I a Good Board Member?

The following self evaluation comes from the Mississippi State University Extension Service publication “Management Training Manual for Board Members of Public Water Systems”. It provides an outstanding analysis of your own strengths and weaknesses as a board member.

*Please grade yourself as a board member and as a decision maker. An honest self-assessment should give you an idea of your effectiveness as a board member. Circle the letter that best describes your grade for each statement. The grades should indicate your strengths and weaknesses as you participate in the business conducted by your water system board.*

A B C D F  1. Unless I am sick or a real emergency occurs, I attend all board meetings and am on time.

A B C D F  2. I carry my share of the responsibility and will do any tasks that I can to see that business is conducted properly and efficiently.

A B C D F  3. I find out what business will be conducted and am well prepared for meetings.

A B C D F  4. I have studied our organization’s bylaws.

A B C D F  5. I really want to serve our organization. I have no personal agenda and expect no hidden financial rewards for serving.

A B C D F  6. I am familiar with our water system; know most of its users; and know where our lines, wells and tanks are located.

A B C D F  7. I am a team player who does not allow my emotions or disagreements with other board members to interfere with my objectivity in making decisions. I try to make decisions that are best for the water system.

A B C D F  8. I am familiar with the laws that affect our board such as water quality and health department requirements, policies for hiring and firing employees, and liability for boards/board members.

A B C D F  9. I participate in long-range planning. I know the investment required and the cost of operating the system.

A B C D F  10. I am for fair water rates. However, I am also for rates that cover operating costs and provide adequate funds for future plans.
11. I know the major responsibilities of the employees hired by the board.

12. I believe in periodic evaluation of employees. I also strongly believe in hiring the most qualified person for a job, and allowing that person to do the job without interference from the board.

13. I believe that I should be available to work on specific jobs and committees in order to spread around the work load and not put all of the load on the board president or chair.

14. I do my best to present a good image of the board to the public. I do not make negative comments that produce friction and disharmony among board members.

15. I know the policies of the board for bids and contracts and for hiring services for the water system.

16. I know the rules of the organization concerning rates, late payments, disconnecting services, and reinstating services.

17. I try to increase my knowledge of our system, our bylaws, legal obligations, environmental regulations and other information that would benefit me as a board member.

18. I know the rules we use for conducting business such as following an agenda, making motions, and finalizing business decisions.

19. I try to have a good working relationship with the manager, operator, and other employees of the water system or water department.

20. I urge the board to communicate with users through bill stuffers, newsletters and/or public media.