

Erskine Environmental Consulting

Geologic Investigations Hazardous Materials Naturally Occurring Asbestos

September 1, 2019

Subject: Final Revision REV 3

Review of Asbestos Test Results

Rockhill Quarry

East Rockhill Township

Bucks County, PA

This report presents a technical review of four laboratory reports posted on the East Rockhill Township web site, and provides recommendations for additional testing and alternatives to avoid the potential exposure to residents and school children located near the quarry site.

The four reports that were reviewed are as follows:

- June 26 2019 lab sample May 30 2019 (2019-06-27-Lab-Results-sample-receipt-5-30-2019-LLH901997-7)
- June 26 2019 lab sample June 3 2019 (2019-06-26-Lab-Results-sample-receipt-5-30-2019-LLH901997-8)
- June 27 2019 lab sample May 30 2019 (2019-06-26-Lab-Results-sample-receipt-6-3-2019-LLH901997-9)
- June 27 2019 lab sample June 3 2019 (2019-06-27-Lab-Results-sample-receipt-6-3-2019-LLH901997-10)

Purpose

The scope of this review was as follows:

- Was the chosen test method appropriate to assess the potential risk to offsite receptors, particularly children who are particularly at risk to asbestos exposure?
- Does the method used to quantify the asbestos content provide an accurate representation of the actual concentration of asbestos in the samples?
- Are there limitations of the chosen test method that prevents full detection of asbestos fibers?
- Was the chosen test method adhered to as designed, or was there a modification to the analysis or reporting requirements? If so, did the modification enhance the analysis by overcoming some deficiencies, or did it under report the asbestos concentration?
- Are there alternative test methods that can better quantify the concentration of asbestos for the purpose of assessing potential health risk?

This review and recommendations that follow represent the opinion of the author and based on experience running an asbestos testing laboratory, more than 32 years of experience in the field of NOA, consulting for clients who are concerned with both regulatory compliance and potential risk to

offsite residents, consulting on sites where oversight is required by regulatory agencies, and involvement within the NOA scientific community. One missing piece of information that would have been helpful in the review was not available: the test results were not accompanied by a report that described the sampling protocol, test method procedures, and identification of deviations and enhancements to the chosen method. However, there appears to be sufficient information within the lab bench sheets that allow interpretation of the methods used. All of these factors were considered as the basis of this opinion.

Why is a sampling and testing program using standard of practice, accepted analytical test methods, and enhancements that are applied to NOA important?

Quarrying at the Rockhill quarry site constitutes a high-risk operation because of four factors that contribute to potential risk of exposure. The four factors are described below:

1. Rock cannot be adequately wetted

Typical NOA project sites involve weathered rock or loose unconsolidated sediments or materials that can be adequately wetted using standard water application techniques. Once wetted at the source of disturbance, the material remains wetted, and the potential for fugitive emissions remains low during the source-to-disposition process (for example, cutting and filling on a common commercial or grading project). However, neither the Rockhill quarry project nor the materials to be disturbed are of this type. Hard rock cannot be wetted, and therefore, asbestos particle emissions cannot easily be controlled. Fine particles cannot be captured by airborne misting methods.

2. During quarry operations, a unit volume of rock becomes a repeated emission source throughout the process.

Most construction projects involve a two-step disturbance process where asbestos emissions are generated: excavation, bulldozing or scraping of material, and placement and compaction into fill. A quarry, however, is a multi-emission operation. Consider the various points of emissions during quarrying operations and transporting processed aggregate material on public roads through residential areas:

1. Drilling - emissions are not effectively captured by shields and vacuum systems that are not designed for fine asbestos particles,
2. Blasting - no dust control measures are effective,
3. Sorting and sizing - pneumatic hammers with no effective dust control measures (pressure sprayers only disperse the fine particles, and do not capture them),
4. Bulldozing - where rock is moved and crushed beneath metal tracks (with emissions blown away by large engine cooling fans),
5. Excavation and loading - (also with crushing beneath the tracks),
6. Hauling - to the crushing and screening operations,
7. Crushing and screening - a particularly high emission source with no effective dust control measures (mistors do not capture fine asbestos particles because the size of the water droplet is too large compared to the size of fine asbestos particles),
8. Hauling - processed material,

9. Treatment of vehicles before leaving the site - Standard wheel washes at the egress points are designed for large particles but not fine asbestos particles. Unless designed as a single pass system, recirculated water containing fine asbestos particles are tracked off site as water drips from vehicles.

3. Residential receptors and school children are located near the site

Because the latency period for asbestos-related disease begins with the onset of first exposure, young children are particularly at risk from asbestos exposures. It is reported that children occupying residences are located as near as 300 feet from the quarry, and several schools are located within 5 miles of the site boundary (see Table 1). The overall risk is greatly elevated as compared to workers at the site and adults that reside or work nearby. The children at Upper Bucks Christian School/Daycare located only 0.5 miles from the quarry are particularly at risk. Asbestos concentrations diminish as a function of distance, and although children as far as five miles from the quarry may be exposed, the risk to those who reside or attend schools within a mile of the asbestos source is significantly elevated. Based on experience over the last two decades, California air resource agencies, who have the most developed rules and regulations for NOA in the country, use the one-mile distance as a trigger for mandatory air monitoring to verify that the required dust control measures are effectively preventing adverse exposures.

4. Asbestos-containing soil and aggregate will be transported through residential communities

As noted above, asbestos concentrations diminish with distance, and children within residences and at schools located outside of the arbitrary one-mile distance have a lowered risk for adverse exposure. However, the hauling of asbestos-containing soil and aggregate through residential areas change this general assumption. Soil and mud track out prevention measures at egress points of construction sites are not particularly effective for fine asbestos particles, and coverings on haul trucks are not designed to contain asbestos. As a result, children at locations considered to be far-source receptors become near-source receptors due to accumulated spillage from haul trucks and track out on public roads.

Summary of Findings and Recommendations

Findings

Significant and actionable concentrations of actinolite asbestos was reported in numerous samples at the Rockhill quarry.

The key data from the reports are presented in Table 2. Columns 2 and 3 summarize the data that was reported for “asbestos fibers” (highlighted in blue). Columns 4 and 5 summarize the data that was reported for “non-asbestos fibers”, and identified as “non-asbestos fibers-cleavage fragments” (highlighted in green). Column 6 summarizes the Total Asbestos using EPA’s definition of an asbestos fiber and required for reporting using the test method that was specified in the lab reports. This concentration is, essentially, the sum of the two results that were differentiated using morphological and/or extinction angle criteria. Column 6 should be used as the asbestos result because neither EPA, reporting requirements specified by lab test methods, the laboratory community, nor Professional Geologists recognize differential counting as a means to reduce the reported asbestos concentrations. These issues, and other deficiencies in regards to the choice of the test method and the likely under reporting of asbestos, are described below.

The levels of asbestos that were reported are actionable regardless of which column is referenced. Using activity-based sampling to assess exposures at the Oak Ridge School in the El Dorado Hills, California, the Federal Agency for Toxic Substances and Disease Registry (ATSDR) conducted a risk assessment for airborne asbestos concentrations from disturbance of soils at the site. The asbestos concentrations in soil were comparable with those found at the Rockhill quarry (>75% of samples had asbestos concentrations below 1%). The ATSDR found that the risk was significant,

and comparable to ambient levels nearby active quarries (see the two highlighted sections in Appendix A). Based on this study and other data, the California Department of Toxic Substances Control (DTSC) set 0.01% asbestos as the threshold to require asbestos mitigation such as capping at school sites, and air monitoring during construction.

The limit of quantitation of the chosen test method is too high to assess whether an actionable concentration of asbestos is present.

Notwithstanding the deficiencies in the chosen test method described below, the limit of quantitation of 0.1% is ten times the accepted action level of 0.01%. As a result, the analyses likely under reported the concentration of asbestos.

The chosen test method is not appropriate for Naturally Occurring Asbestos.

The laboratory reports identified test method EPA/600/R-93/116 as the method chosen to analyze the samples. This method was designed specifically for asbestos in building materials, which uses a protocol designed to test for asbestos that was mined commercially and incorporated in building materials. The method, when not enhanced to test for non-commercially exploitable asbestos, can severely under report the actual asbestos content through the elimination of amphibole compositions that were not mined commercially. It is possible, if not likely, that the laboratory under reported the asbestos content in the materials sampled. This deficiency can be corrected by utilizing Transmission Electron Microscopy (TEM) to augment the analysis.

The chosen test method cannot detect fine asbestos fibers.

Test method EPA/600/R-93/116 uses Polarized Light Microscopy (PLM) to identify amphiboles. The method was designed to detect asbestos in building materials where asbestos consisted of large macroscopic bundles and masses and applied in large quantities. Fine fibers, generally considered to be $<0.25\mu\text{m}$ in diameter, are invisible, and cannot be detected. The problem is exacerbated when concentrations are $< 1\%$, the concentration that the test method was originally designed to test for. It is likely that more asbestos is present in the samples, and the laboratory under reported asbestos because the fine particles are not visible by PLM. This deficiency can be corrected by utilizing TEM to augment the analysis.

The chosen technique used to quantify asbestos concentrations does not adequately quantify asbestos concentrations.

The point counting method that was chosen to quantify asbestos concentrations relies on counting the percentage of asbestos particles relative to non-asbestos particles in a population of 1,000 particles. The percent asbestos that is reported, such as 0.1%, is not a valid concentration. The reported value is not related to weight percent or fibers per gram of material, rather, it is related to the surface area (or more accurately, the widest dimensions of particles) as viewed down the microscope and projected on a two-dimensional plane. Thus, the reported value is, at best, and area percent. This deficiency can be corrected by utilizing TEM to augment the analysis, which can accurately determine the weight percent of asbestos as well as the number of fibers per gram of material.

The laboratory differentiated particles of the same composition as “asbestos” and “cleavage fragments”, which under reports the amount of asbestos detected. The test method selected to analyze the samples does not allow for this arbitrary differentiation.

The chosen test method provides the procedures to analyze for and report the relative proportion of asbestos in a sample. The method specifies that all particles that meet the definition of a fiber be counted, and all amphibole fibers that belong to one of the five “regulated” amphiboles be reported as asbestos. However, the lab reports differentiate amphibole fibers into two categories: “asbestos” and

“non-asbestos cleavage fragments”. The practice excludes fibers that meet the EPA definition of asbestos from being reported as asbestos. The practice of differential counting is not accepted by EPA, and has documented this position publicly in written form in a rebuttal to arguments posed by the R.J. Lee Group (see highlighted areas in Appendix B). There is no approved or recognized test method that specifies the protocols for such a differentiation, so the laboratory apparently uses an arbitrary standard that has neither been peer reviewed nor accepted. Based on the notations in the lab bench sheets, the analyst appears to have used an optical property called parallel vs. inclined extinction to differentiate separate fibers that were interpreted to have crystallized in the asbestiform habit from those that crystallized in the crystalline form. Non-asbestiform minerals become fibers by fracturing along planes of weakness called cleavages, often producing fibers that are dimensionally equivalent to asbestiform fibers, and therefore, are counted as, and considered to be, asbestos. It is recognized that it is the dimensional properties (length and width) of a particle that produces a toxicity, and not the mechanism that created the fibers. Therefore, the lab reports severely under report the concentration of asbestos (see column 2 vs. column 4 in Table 1). Assuming that the testing of the materials was conducted in accordance with the specified test method, the actual concentration that should be reported is shown in column 6. Therefore, the laboratory underestimated the concentration by a factor of 800% (0.02% vs. 0.16%).

Recommendations

The review of the laboratory test results found that the laboratory methods, quantitation methods, and inappropriate deviations from standard of practice indicate that the sampling and analysis program that was implemented at the Rockhill quarry site is inadequate and deficient to assess and quantify the concentration of asbestos. The test method was inappropriate for Naturally Occurring Asbestos and cannot detect fine particles; the point counting method of quantitation neither quantifies asbestos concentrations nor reached a limit of quantitation needed for the purposes of assessing potential health risk; and the arbitrary differential counting method used to reduce the reported amounts of asbestos is not acceptable for the purposes of health risk evaluations.

The following are recommended alternatives that can be considered to fully characterize the asbestos concentrations in the materials, and produce data that can allow an informed decision regarding the potential risk to residents and children at the nearby school.

1. The asbestos sampling was conducted by a representative of the quarry owner/permit holder, as was the analytical testing. The purpose and goals of the testing for a quarry operator vs. a public agency that is interested in the health and safety of residents is very different. The results that were reviewed should not be relied upon for the purposes of potential health risk by fugitive asbestos particles. To avoid a conflict of interest, either real or perceived, and produce test results that will be considered reliable, the Pennsylvania Department of Environmental Protection (DEP) should contract with a Professional Geologist and qualified testing laboratory who are not affiliated with the mining industry.
2. The Professional Geologist should re-sample the materials that are of interest.
3. Samples should be prepared by the CARB 435 method and CARB 435 guidance document, with milling by a disc pulverizer. The milled samples should be mixed by using a four-axis mixer to prepare a homogeneous sample.
4. The samples should be tested using both PLM, using the CARB 435 method, and TEM, using the EPA 600/R-93/116 and CARB-modified bulk TEM protocol modified for NOA analysis (CARB/AHERA method). Amphibole fibers by PLM should not be excluded from reporting on the basis of inclined extinction. Amphibole structures by TEM should not be excluded on the basis of a chemical dissimilarity with those in building materials or reference materials for building materials. All amphiboles should be included in the analysis, and each should be identified per the International Mineralogical Association classification system.

5. It is recommended that thin sections of each rock type be prepared for petrographic analysis. The analysis of the minerals *in situ* is a powerful technique to investigate the presence of fine structural detail such as fibrous overgrowths coexisting with non-asbestiform minerals, asbestos in micro-veins, and other microstructural features.
6. To provide additional information regarding the potential risk to residents and school children, in advance of any quarrying operation, it is recommended that an air modeling be conducted to provide a predictive capability to airborne dust concentrations at off-site locations. The standard modeling program is EPA's AERMOD, a steady-state plume model that incorporates air dispersion based on planetary boundary layer turbulence and other inputs. Using standard EPA emission rates for equipment at the site, particle concentrations can be evaluated at any point offsite for the duration of the project. Air modeling is fairly commonplace at sites such as quarries where high emissions are predicted, and the data from the model can be used to calculate a risk-based threshold for the site perimeter program.
7. Consider using an alternative quarry for the Turnpike Milepost A31-A38 project. At least four quarries located at comparable distances from the turnpike project as the Rockhill quarry (see Table 3). One, Naceville Materials, is located less than a mile from the project. Supplying aggregate and other materials from one of these quarries would significantly reduce, or eliminate, the potential for asbestos exposure from operations at the Rockhill quarry and along quarry hauling routes on public roads and through residential neighborhoods.



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Table 1

Schools Located Within 5 Miles from the Rockhill Quarry

SCHOOLS WITHIN 5 MILES OF ROCKHILL QUARRY						
SCHOOL NAME	GRADES TAUGHT	STREET ADDRESS	COMMUNITY	DISTANCE MILES ¹	# STUDENTS ²	
Quakertown School District						
1 Strayer Middle School	6-8	1200 Ronald Reagan Dr	Quakertown	1.9	835	
2 Richland Elementary	K-5	500 Fairview Ave	Quakertown	2.3	452	
3 Quakertown Community High School	9-12	600 Park Ave	Quakertown	2.8	1661	
4 Quakertown Elementary School	K-5	123 S 7th	Quakertown	3.0	285	
5 Quakertown Sixth Grade Center	6 only	349 S 9th St	Quakertown	3.1	425	
6 Trumbauersville Elementary	K-5	101 Woodview Dr	Trumbauersville	4.0	391	
Sub-Total					4049	
Pennridge School District						
1 Robert B. Diebler Elementary	PreK-5	1122 W Schwenkmill Rd	Perkasie	1.0	413	
2 Pennridge High School	9-12	1228 N 5th St	Perkasie	1.4	2343	
3 Pennridge North Middle School	6-8	1500 N 5th St	Perkasie	1.6	620	
4 Patricia A. Guth Elementary	K-5	601 N7th St	Perkasie	1.7	453	
5 Pennridge South Middle School	6-9	610 S 5th St	Perkasie	2.6	487	
6 West Rockhill Elementary	K-5	1000 Washington Ave	West Rockhill	2.6	365	
7 Sellersville Elementary	K-5	122 W Ridge Ave	Sellersville	3.8	441	
8 Pennridge Central Middle School	6-8	144 N Walnut St	Perkasie	4.0	682	
9 Margaret M. Seylar Elementary	K-5	820 Callowhill Rd	Perkasie	4.1	445	
Sub-Total					6249	
Private Schools/County Schools						
1 Upper Bucks Christian School/Daycare	Infancy - 12	754 E Rockhill Rd	Sellersville	0.5	221	
2 Quakertown Christian School, Main	PreK-12	50 E Paletown Rd	Quakertown	1.1	230	
3 Bucks County Intermediate Unit (ELC)	K-12	143 Rocky Ridge Rd	Quakertown	1.3	136	
4 Childrens Developmental Program	6 wks - 5 yrs	995 Doylestown Pike	Quakertown	2.2	Not Available	
5 Faith Christian Academy	K-12	700 N Main St	Sellersville	2.2	322	
6 United Friends School - Broad St	Pre-K-8	1018 W Broad St	Quakertown	3.3	106	
7 Noah's Ark Preschool and Day Care (FCA)	Infancy thru K	116 Ridge Rd	Sellersville	3.5	Not Available	
8 St. Isidore's Elementary School	Pre-K-8	603 W Broad St	Quakertown	3.3	299	
9 The Goddard School/Daycare	Infancy thru K	138 Mill Rd	Quakertown	3.7	Not Available	
Sub-Total					1314	
ESTIMATED TOTALS ²						
# SCHOOLS AT RISK FOR EXPOSURE TO ASBESTOS FROM ROCKHILL QUARRY					24	
# CHILDREN IN LOCAL SCHOOLS AT RISK FOR EXPOSURE TO ASBESTOS FROM ROCKHILL QUARR					11612	
Children within 1 mile					634	
Children within 2 mile					5251	
Children within 3 mile					8823	
Children within 4 mile					11167	
Children within 5 mile					11612	
NOTES:						
1. Distance can vary slightly due to quarry size; values reported were measured within Rockhill Quarry mining area using Google Maps and other apps						
2. Annual student enrollments can vary slightly; totals include most schools within a 5 mile radius of Rockhill Quarry						

Table 2
Summary of Asbestos Testing

Sample ID	Asbestos	Species	Non-Asbestos Fibers	Species	Total Asbestos (EPA Criteria)
June 26 2019 lab sample May 30 2019					
#1 -CB-1 #1	0.2	Actinolite	0.1	Actinolite	0.3
#2 -CB-1 #3	ND		0.2	Actinolite	0.2
#3 -CB-2#4	ND		0.2	Actinolite	0.2
#4 -CB-2#5	ND		0.1	Actinolite	0.1
#5 -CB-2 #6	0.1	Tremolite	0.3	Actinolite	0.4
#6 -CB-3 #7	ND		0.3	Actinolite	0.3
#7 -CB-3 #8	ND		0.2	Actinolite	0.2
#8 -CB-3 #9	ND		<0.1	Actinolite	0.05
#9- CB-4 #10	ND		<0.1	Actinolite	0.05
June 26 2019 lab sample June 3 2019					
1 - RH #1	ND		<0.1	Tremolite	0.05
2- RH#2	<0.1	Actinolite	0.5	Actinolite	0.505
3- RH#3	ND		<0.1	Actinolite	0.05
4-RH#4	ND		<0.1	Actinolite	0.05
5-RH#5	ND		<0.1	Actinolite	0.05
6-RH#6	ND		<0.1	Actinolite	0.05
7-RH#7	<0.1	Tremolite	<0.1	Actinolite	0.1
8-RH#8	ND		<0.1	Actinolite	0.05
9-RH#10	ND		<0.1	Actinolite	0.05
10-RH#11	<0.1	Actinolite	<0.1	Actinolite	0.1
11 -RH #12	<0.1	Actinolite	0.3	Actinolite	0.305
12 -RH #14	<0.1		0.5	Actinolite	0.505
13 -RH#18	ND		<0.1	Actinolite	0.05
June 27 2019 lab sample May 30 2019					
#1 - DB-1	0.1	Actinolite	0.2	Actinolite	0.3
#2 - DB-2	ND		ND		0
#3 - DB-3	ND		ND		0
#4 - DB-4	ND		ND		0
#1 - Hand Sample #1	ND		0.1	Actinolite	0.1
#2 - Hand Sample #2	ND		ND		0
#3 - Vein 7	0.1	Actinolite	0.4	Actinolite	0.5
June 27 2019 lab sample June 3 2019					
14 - RH #22	ND		<0.1	Actinolite	0.05
15 - RH #23	ND		<0.1	Actinolite	0.05
16 - RH #24	ND		ND		0
17 - RH #25	ND		<0.1	Actinolite	0.05
18 - RH #26	<0.1	Actinolite	ND		0.05
19 - RH #27	ND		ND		0
20 - RH #28	ND		ND		0
21 - RH #29	<0.1	Actinolite	0.2	Actinolite	0.205
22 - RH #30	ND		0.2	Actinolite	0.2
23 - RH #31	ND		0.2	Actinolite	0.2
24 - RH #32	ND		0.3	Actinolite	0.3
25 - RH #33	ND		0.8	Actin. and Tremolite	0.8
AVERAGE	0.02		0.14		0.16

Notes:

Asbestos= Concentrations reported as "asbestos" by the laboratory

Non-Asbestos Fibers= Concentrations reported as "non-asbestos fibers" by the laboratory

Total Asbestos= Concentrations calculated using EPA criteria.

ND= No fibers detected.

<0.1= Fibers detected but none fell on one of the 1,000 counting points.

Average Concentrations: The average concentrations were calculated using a value of 1/2 the limit of quantitation for trace concentrations (<0.1%) and zero for samples where no asbestos was detected.

Table 3

POTENTIAL ALTERNATIVE SOURCES OF STONE FOR R.E. PIERSON INC. / PA TURNPIKE PROJECT

QUARRY NAME			LOCATION	MIILES from ROCKHILL QUARRY	MIILES to 125 Ridge Rd, Tylersport*
1	H&K Materials	300 Skunk Hollow Rd,	Chalfont, PA 18914	8.4	11.5
2	Hanson Aggregates	262 Quarry Rd,	Ottsville, PA 18942	12.4	17.0
3	Naceville Materials	2001 Ridge Rd,	Sellersville, PA 18960	6.1	0.8
4	Plumstead Materials	5031 Point Pleasant Pike,	Doylestown, PA 18902	15.1	19.0
5	Harleysville Materials	460 Indian Creek Rd,	Harleysville, PA 19438	14.0	7.1
6	Highway Materials Inc, 9303	1128 Crusher Rd,	Perkiomenville, PA 18074	13.2	6.4
-	Rockhill Quarry	North Rockhill Rd,	East Rockhill, PA	-	6.9
* <i>Staging area for PA Turnpike Project</i>					

Appendix A

Technical Information Sheet

ATSDR Evaluation of Community-Wide Exposure to Naturally Occurring Asbestos

Asbestos

Technical Information Sheet

ATSDR Evaluation of Community-Wide Exposure to Naturally Occurring Asbestos

This fact sheet was written by the Agency for Toxic Substances and Disease Registry (ATSDR), a federal public health agency. ATSDR's mission is to serve the public by using the best science, taking responsive public health actions, and providing trusted health information to prevent harmful exposure and disease related to toxic substances.

Asbestos Technical Information Sheet

INTRODUCTION

El Dorado Hills is one of many areas throughout the United States that has naturally occurring asbestos (NOA) in local soil and rock formations. Research on people who worked with commercial asbestos in the past has proven that breathing in asbestos increases the risk of cancer and respiratory disease. Some researchers believe the type of asbestos found in El Dorado Hills—amphibole asbestos—is more potent in causing disease than other types of asbestos.

ATSDR was involved in evaluating NOA exposures at Oak Ridge High School in El Dorado Hills in 2004–2006. Local residents, academic researchers, and environmental and public health agencies have expressed concern about potential community exposures to NOA in the wider community around El Dorado Hills. Activity-based sampling conducted by the U.S. Environmental Protection Agency (EPA) in 2004 showed that people performing typical outdoor recreational activities could breathe in high levels of NOA, compared to reference samples. Community members asked ATSDR what this finding meant to their health and what they should do to protect their health.

ATSDR's Health Consultation on Community NOA Exposure in El Dorado Hills

ATSDR has completed its evaluation of community exposures in El Dorado Hills. The report is available on ATSDR's web site and a CD or paper copy can be requested from ATSDR. The report was peer reviewed by independent experts, and a draft was available for public comment from March 29 to June 30, 2010. ATSDR visited the community in May 2010 to discuss the health consultation findings. The final health consultation responds to public comments received and clarifies ATSDR's conclusions and recommendations.



How Did ATSDR Evaluate the EPA Activity-based Sampling Data?

ATSDR worked with people from the area to develop reasonable assumptions about how often, throughout life, people would take part in the various activities represented by the EPA data. Using these assumptions, we developed asbestos exposure estimates for a range of outdoor activities. We considered both mid-range and high-end estimates of the amount of asbestos breathed in during each activity. In developing exposure estimates, ATSDR assumed all people were exposed to a background level of asbestos in the air. We used the EPA reference samples to represent this background level.

We used these exposure estimates with several different risk assessment methods to get a general idea of the additional risk of cancer this exposure might cause in the community at large. We used 5 risk assessment methods:

- The EPA "IRIS" method accepted for use in Superfund analyses.
- An EPA 1986 method which was the basis for the IRIS method and which specifically accounts for early life exposures. ATSDR applied updated mortality statistics in using this method.
- The Cal-EPA method typically enforced by the California Air Resources Board.
- ATSDR also examined a non-standard modification of the Cal-EPA method which uses a different method to obtain fiber concentration.
- The Berman Crump method, a proposed method not used for regulatory purposes. El Dorado Hills community members and stakeholders asked ATSDR to include this method because it assigns greater disease potency to amphibole asbestos – the type present in El Dorado Hills.

ATSDR compared the risk estimates to ranges used by EPA for determining acceptable risk at Superfund sites.

ATSDR also compared the EPA sampling data to other asbestos sampling data available from El Dorado Hills as part of its evaluation. The other data, while informative, was not detailed enough to use for risk assessment.

CONCLUSIONS

ATSDR reached two important conclusions:

Conclusion 1

Breathing in naturally occurring asbestos (NOA) in the El Dorado Hills area, over a lifetime, has the potential to harm people's health.

Basis for conclusion

- The general level of NOA in El Dorado Hills is somewhat higher than asbestos levels reported for other urban and rural areas in the U.S. and is similar to levels reported near local sources such as quarries. Activities that disturb NOA could result in brief exposures to higher levels of asbestos. (See Figure 1).
- Each of the four risk assessment methods used has considerable uncertainty, but they all gave similar results: the predicted increased risk of cancer ranged from too low to be of concern to a level high enough that action to prevent exposures would be warranted. (See Figure 2).
- Any one person could have markedly higher (or lower) exposures than the general estimates made in this report, depending on how and how often they encounter NOA in their daily activities.

Next steps

The following actions will reduce the likelihood for people to breathe NOA:

Increase Awareness

- El Dorado County should continue to review the community's knowledge about the presence and associated risk of NOA and to provide information about ways to manage the risk. ATSDR can provide technical assistance, if requested.
- El Dorado County should implement, to the extent possible, effective ways to:
 - » Maintain current records of locations known to contain NOA and
 - » Notify current and prospective landowners of the possibility for NOA to exist in soil or bedrock on their property.



Limit Exposure

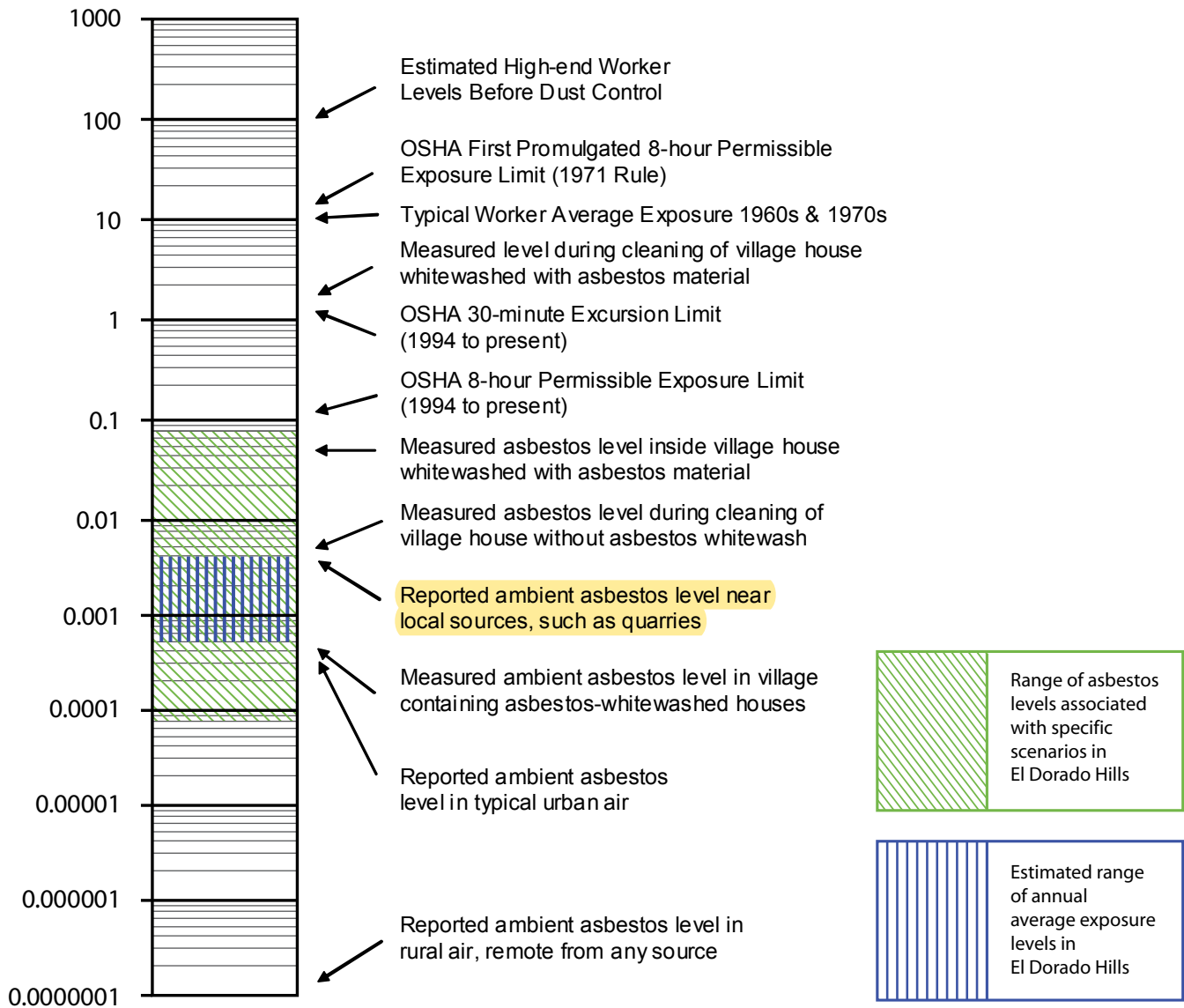
- State and local entities should continue to enforce applicable dust regulations throughout the community, which will reduce releases of NOA. For sites subject to asbestos hazard mitigation requirements, these regulations involve:
 - » Prohibition of visible dust emissions outside the property line or more than 25 feet from the point of dust-disturbing activities,
 - » Implementation of procedures to prevent vehicles and equipment from releasing dust or tracking soil off-site, and
 - » Requirements for asbestos dust mitigation plans, notification of authorities prior to work, and record-keeping.
- Community members and groups should learn how to reduce their exposure to NOA while conducting their normal activities. For example, exposure can be reduced by:
 - » Cleaning homes with a wet rag instead of a dry duster,
 - » Wetting down gardens before digging, or
 - » Staying on paved paths and roads during outdoor activities.

ATSDR has more recommendations online at: www.atsdr.cdc.gov/noa.

Asbestos Technical Information Sheet

Figure 1.

How Do the Levels of El Dorado Hills NOA Compare with Other Asbestos Levels?



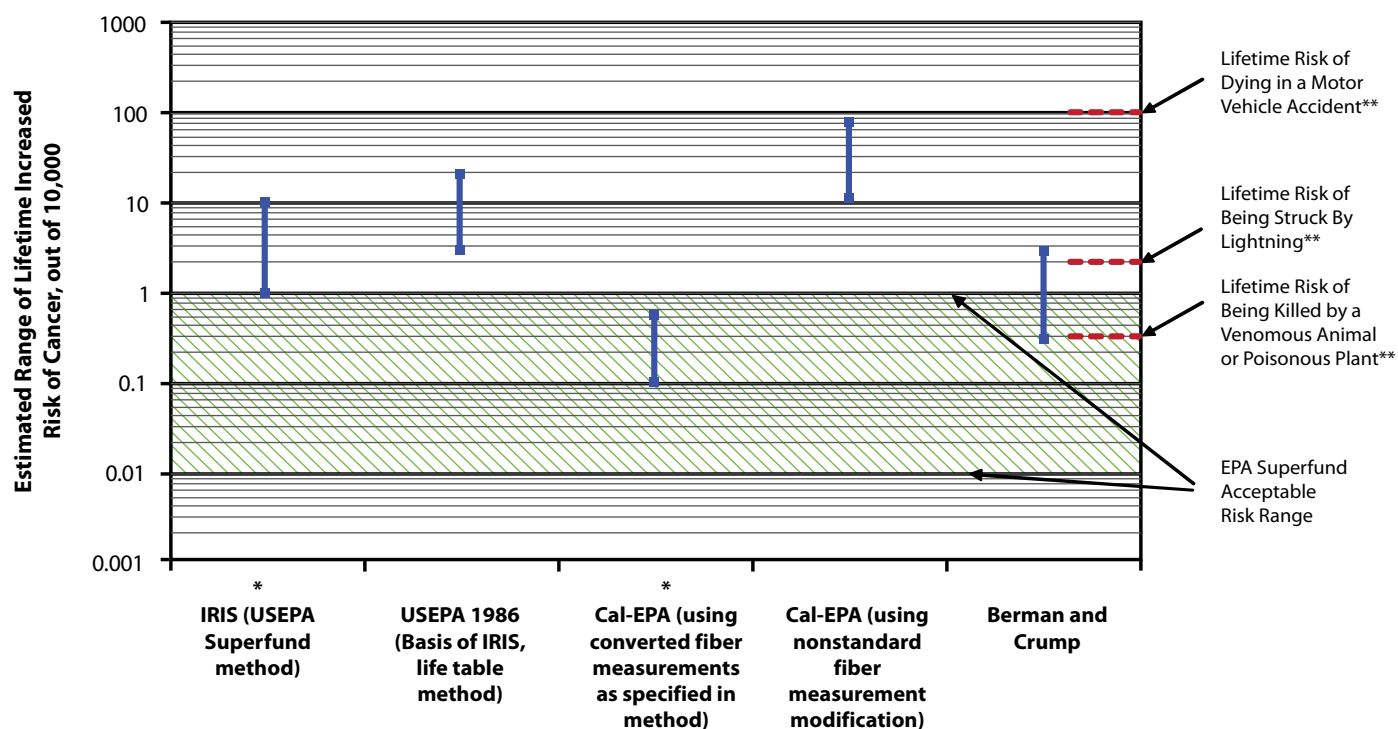
This schematic compares the range of asbestos levels measured for specific activities and estimated annual averages for El Dorado Hills with: general estimates of past worker exposure levels during a typical work day; “environmental” exposure levels for different situations in towns where local asbestos deposits were used for whitewashing houses (and people had increased rates of asbestos related disease); ambient asbestos levels reported for various locations in the United States; and past and present occupational 8-hour and 30-minute exposure limits. The estimates are placed on a “log” scale, which allows widely different values to be seen on the same graph—each heavy line is a value ten times the next lower heavy line. The overall exposure any person receives is a function both of the level and the length of time for which the exposure continues. The concentrations shown are approximate and are for comparison and context only.

SOURCES

- OSHA (Occupational Safety and Health Administration). Introduction to 29 CFR Parts 1910, 1915, 1926, occupational exposure to asbestos. 1994.
- Luce et al. Assessment of environmental and domestic exposure to tremolite in New Caledonia. Arch Env Health 2004;59(2):91-100.
- Agency for Toxic Substances and Disease Registry. Toxicological profile for asbestos (update). September 2001.
- Other assumptions described in ATSDR Health Consultation for El Dorado Hills, March 2010.

Asbestos Technical Information Sheet

Figure 2.
Ranges of Estimated Lifetime Increased Risk of Cancer from
NOA Exposure for Various Risk Assessment Methods



* Regulatory Methods

** General Risks estimated from Mortality Data and included at the request of community stakeholders for comparative purposes only.

Asbestos Technical Information Sheet

Conclusion 2

Reducing exposures to NOA will protect people's health and is warranted in El Dorado County based on estimates of past exposures. State cancer registry information indicates that the community's health has not been impacted at this time. However, health impacts to individuals from past exposures are highly variable and may take years before the cancer registry detects them.

Basis for conclusion

- The association between asbestos exposure and disease is well established. Preventing inhalation of asbestos will reduce risk of disease.
- Mesothelioma incidence, tracked by the California Cancer Registry, is not higher than expected in western El Dorado County at this time. However, mesothelioma may take decades after exposure to appear.
- Although the community in general is estimated to have an increased risk of exposure and disease, individuals' risk may vary widely due to the

sporadic nature of NOA occurrences and individual behaviors leading to exposure. Individual assessment by personal health care providers for those who are concerned about past exposures will be more efficient than general community screening in treating any health effects that may appear.

Next Steps

- State authorities should continue to monitor asbestos-related cancer incidence rates in the area.
- Community members should consult with their personal medical provider about their individual health concerns arising from NOA exposure.
- ATSDR encourages further research on NOA exposures and community health by governmental, academic, and other organizations. ATSDR may refine the conclusions and recommendations of this health consultation as results of ongoing asbestos research become available.



Photo of asbestiform tremolite, El Dorado County, California seen in hand sample (above) and scanning electron micrograph (left), courtesy of US Geological Survey, Denver Microbeam Laboratory.

Asbestos Technical Information Sheet

EVALUATION TIMELINE

Since the 2006 final release of our evaluation of exposures at Oak Ridge High School in El Dorado Hills, ATSDR has been actively working on issues related to this evaluation:

- ATSDR held an expert panel on biomarkers of exposure in 2006 to discuss the state of the science for assessing community exposure to asbestos. Although research continues, reliable methods for measuring asbestos exposures in individuals or communities are not currently available. Using activity-based sampling data and applying risk assessment methods remain the best way to assess community exposures and risk.
- ATSDR responded to the “cleavage fragment” issue raised by the National Stone Sand and Gravel Association (NSSGA) in December 2005. This group questioned whether the asbestos reported in the EPA sampling was truly asbestos or chemically identical but possibly less harmful “cleavage fragments”. Because discussions initiated after the release of the NSSGA report cast doubt on the findings of the EPA sampling, EPA requested a geologic analysis of the El Dorado Hills area by the U.S. Geologic Survey (completed in December 2006), and ATSDR requested toxicity studies on which particles contribute to asbestos-related health effects by the National Toxicology Program (studies will take several years to complete).
- ATSDR identified additional analysis that needed to be done on the air sampling filters to allow us to use the risk assessment method that accounts for differing toxicity of amphibole asbestos. Obtaining funding and completing the lab analyses were time consuming; results were not available until late 2007.
- ATSDR also developed and tested a “life table analysis” spreadsheet to account for early life exposures. Although this work was based on that of other researchers, ATSDR updated mortality data, developed an in-house spreadsheet to perform calculations, and developed a written explanation of the theory behind the analysis. We completed these tasks in 2008.
- A draft of the report was sent to external peer review in 2009. The document includes peer review comments and responses/changes made in responses to the comments received.

- ATSDR released a draft health consultation for public comment in 2010. The final health consultation includes changes and responses to public comments received, as detailed in an Appendix.

LEARNING MORE

To learn more, please call ATSDR at 1-800-CDC-INFO and ask for information about the “El Dorado Hills Naturally Occurring Asbestos” site. If you have concerns about your health, you should contact your health care provider.



Asbestos Technical Information Sheet



**U.S. Department of
Health and Human Services**
Agency for Toxic Substances
and Disease Registry

Appendix B

Response to the November 2005 National Stone, Sand & Gravel Association Report

Prepared by the R.J. Lee Group, Inc

“Evaluation of EA ’s Analytical Data from the El Dorado Hills

Asbestos Evaluation Project”

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION IX

**Response to the November 2005 National Stone, Sand & Gravel Association
Report Prepared by the R.J. Lee Group, Inc
“Evaluation of EPA’s Analytical Data from the El Dorado Hills Asbestos
Evaluation Project”**

April 20, 2006



United States Environmental Protection Agency Region 9
Response to the November 2005 National Stone, Sand & Gravel Association report
prepared by the R.J. Lee Group, Inc:
“Evaluation of EPA’s Analytical Data from the El Dorado Hills
Asbestos Evaluation Project”

This document constitutes the United States Environmental Protection Agency Region 9 (EPA Region 9) response to the major findings and conclusions of the National Stone, Sand & Gravel Association report “Evaluation of EPA’s Analytical Data from the El Dorado Hills Asbestos Evaluation Project” prepared by the R. J. Lee Group (R. J. Lee Report). A more detailed analysis will be completed after additional information is received from the R. J. Lee Group and the National Stone, Sand & Gravel Association,¹ and the United States Geological Survey (USGS).

The R. J. Lee Report draws conclusions that are contradicted by the El Dorado Hills data and by generally accepted scientific principles for measuring asbestos exposure.

Overview

The R. J. Lee Group review of the EPA data was contracted by the National Stone, Sand & Gravel Association. The El Dorado County Office of Education funded the three reviewers who wrote letters in support of the R. J. Lee Report and whose reviews are included in this response.

The EPA Region 9 El Dorado Hills Naturally Occurring Asbestos Exposure Assessment was designed to measure the exposures to asbestos fibers, if any, that resulted from sports and play activities that disturbed dust and soil. EPA Region 9 adhered to accepted EPA standards for sampling and analysis, including rigorous quality assurance/quality control, and to the standard methodologies of EPA exposure and risk assessment.

The R. J. Lee Report Criticizes EPA Region 9 for Using Established Scientific and Public Health Protocols - In assessing naturally occurring asbestos exposures in El Dorado Hills, EPA evaluated asbestos exposures using the PCME (phase contrast microscopy equivalent) asbestos fiber size classification. The PCME classification was used because human epidemiological studies, which form the basis of knowledge of asbestos health effects, measured asbestos fiber concentrations using phase contrast microscopy (PCM) analytical methods. PCME is the standard term for fibers counted by more modern analytical methods that are of equivalent size to those fibers that would be seen by PCM analysis, and includes fibers with a length to width aspect ratio of 3 to 1 or greater. EPA considered PCME fibers in our analysis of the El Dorado data to be consistent with the existing health databases and risk assessment

¹On March 9, 2006, EPA Region 9 sent a letter to the R.J. Lee Group and the National Stone, Sand, & Gravel Association asking for additional information to support the findings and conclusions of the R.J. Lee Report.

procedures used by EPA, California EPA (Cal/EPA), the World Health Organization, and other federal agencies and international organizations. This approach was rejected by the R.J. Lee Group, which instead advocates use of asbestos fiber definitions which are not health based or supported by the majority of experts in the health community, and which would not allow comparison to the existing epidemiologic data on asbestos related cancers.

The R. J. Lee Report Claims that EPA Region 9 Misapplied Fiber Counting

Protocols - The R. J. Lee Report claims that EPA Region 9 inflated the fiber counts in the El Dorado Hills air data by misapplying the International Standards Organization (ISO) method 10312 (the analytical method used by EPA to analyze the El Dorado air samples) and including PCME structures with a 3 to 1 length to width aspect ratio in our analysis. The R. J. Lee Report maintains that EPA should only have counted structures which met the general 5 to 1 aspect ratio fiber size definition described in the body of the ISO 10312 method. However, Annex C and Annex E of the ISO 10312 method specifically authorize the counting of PCME structures with a 3 to 1 aspect ratio. Another example of misleading information is the R.J. Lee Report's statistical evaluation and resulting conclusions regarding the concentrations of asbestos structures detected in the EPA air samples. All of the established EPA, National Institute of Occupational Safety and Health (NIOSH), and ISO analytical methods require the counting of asbestos bundles, recognizing the significance of bundles to proper characterization of asbestos fiber levels. The R.J. Lee Report did not include asbestos bundles in its analysis of the data, thereby undercounting the number of structures.

The R. J. Lee Report Claims that EPA Region 9 Misidentified Amphibole Minerals -

The R. J. Lee Report concludes that EPA misidentified actinolite asbestos fibers in the El Dorado soil samples by using inappropriate extinction angle criteria. The R. J. Lee Group conclusion is contradicted by the National Institute of Standards and Technology (NIST) and the major analytical methods used for analysis of asbestos in soil and bulk samples. The R. J. Lee Report also cites an unpublished 1980 draft report to support its contention that structures found in the EPA air samples are not asbestos, and ignores a subsequent 1981 published report by the same author that actually supports the EPA approach.

The R. J. Lee Report Applies a Geologic Definition rather than a Public Health Definition to Characterize Microscopic Structures - The R. J. Lee Report relies heavily on the geologic distinction between asbestos fibers and cleavage fragments of the same dimensions, with the implication that exposure to cleavage fragments is benign and of little or no health significance. For the purposes of public health assessment and protection, EPA makes no distinction between fibers and cleavage fragments of comparable chemical composition, size, and shape. The EPA Region 9 approach, which is supported by most public health agencies and scientists, as well as the American Thoracic Society, is based on the following: (1) The epidemiologic and health studies underlying EPA and Cal/EPA cancer risk assessment methods were based on exposures to both cleavage fragments and fibers, and were unable to distinguish between the two, (2) The most recent panel of experts to review asbestos risk assessment methods, the 2003 Peer Consultation Panel convened by EPA, concluded that "it is prudent at

this time to conclude equivalent potency [of cleavage fragments and fibers] for cancer,”² (3) No well-designed animal or epidemiological studies have adequately tested the hypothesis that cleavage fragments with the same dimensions as a fiber are benign or that the human body makes any distinction, (4) Studies that purport to show that cleavage fragments are benign are questioned by many asbestos health experts, (5) There are no routine asbestos air analytical methods, including those used by EPA, NIOSH, the Mine Safety and Health Administration (MSHA), the American Society for Testing and Materials (ASTM), and ISO which differentiate between cleavage fragments and crystalline fibers on an individual fiber basis.

The R. J. Lee Report’s “Virtual” Review of EPA Region 9’s Air Samples is Inconsistent with Established Laboratory Practices - The R.J. Lee Group did not have access to EPA’s actual air samples, nor did it collect any air samples of its own. Rather it reviewed limited pictures and spectra data of a small number of EPA’s air samples and drew conclusions based on those representations. Such a virtual review is not consistent with the National Voluntary Laboratory Assurance Program (NVLAP) quality assurance procedures nor the verification methods of the National Institutes of Standards and Technology.

Federal Courts Have Supported EPA - Many of the assertions of the R. J. Lee Report are consistent with positions that the R.J. Lee Group took as an expert witness for W.R. Grace in the Libby, Montana litigation. In this litigation, the written opinions of the District and Appeals courts, while not specifically addressing the opinions of the R.J. Lee Group, rule in favor of EPA and expressly hold that EPA’s experts and science are credible.³

Background

In October 2004, the EPA Region 9 Superfund site assessment program conducted an assessment of exposures to naturally occurring asbestos (NOA) in El Dorado Hills, California. Specifically, EPA Region 9 simulated the sports activities of children and adults at three schools and a community park and, using personal air monitors, measured asbestos levels in the breathing zones of participants. EPA Region 9 also collected samples of ambient air in the area of the sampling at the same time the simulations were conducted to serve as reference samples. The personal activity-based samples were then compared to the reference samples. The Asbestos Hazard Emergency Response Act (AHERA)⁴ regulation Z-test for statistical

²USEPA (U.S. Environmental Protection Agency) (2003). Report on the Peer Consultation Workshop to Discuss a Proposed Protocol to Assess Asbestos-Related Risk, Final Report. Office of Solid Waste and Emergency Response, Washington D.C. Page viii.

³ See U.S. v. W.R. Grace, 280 F Supp 2d 1149 (2003); U.S. v. W.R. Grace, 429 F. 3d 1224, 1245 (9th Cir. 2005) (Although debate regarding testing methodology and data analysis is “exceedingly complex”, EPA did not ignore accepted scientific principles)

⁴The Asbestos Hazard Emergency Response Act (AHERA) was passed by Congress in 1986 to provide for the inspection and mitigation of asbestos in school buildings. Regulations implementing the Act were promulgated by EPA in 1987.

significance was applied to determine whether there were any statistically significant differences between the personal exposure samples and the ambient reference samples. EPA Region 9 collected over 400 air samples and generated over 7000 data points. All of EPA Region 9's analyses were conducted by accredited laboratories using recognized methods and procedures with strict quality assurance control, including blind performance samples to check analytical accuracy.

Amphibole asbestos, which many health scientists consider to be even more toxic than chrysotile asbestos, was found in almost all the reference and activity-based samples. Of the 29 different sets of activity-based scenario measurements, application of the Z-test determined that personal exposures from 24 scenarios were significantly elevated over the reference samples. Most importantly, the data showed that children and adults participating in sports activities in areas where asbestos occurs naturally in the surface soils, as it does in El Dorado Hills, can be exposed to asbestos fibers of health concern at up to 62 times the corresponding reference levels.

EPA Region 9 released the data from the assessment in May 2005 and held a public meeting in El Dorado Hills that was attended by more than 1000 members of the public. From the outset of the assessment, EPA Region 9 made clear to the community that EPA's only intent was to gather data on potential exposures. The community and the State and local regulatory agencies could then use the information to make decisions about the significance of those exposures and determine appropriate control measures. Both EPA Region 9 and the Agency for Toxic Substances and Disease Registry (ATSDR) have informed the community that exposure levels are a main determinant of the risk of developing asbestos-related cancers and non-cancer diseases, and that reducing the exposures reduces the risk. Consistent with its intent, EPA Region 9 has actively engaged the State and local regulatory agencies to improve naturally occurring asbestos mapping, monitoring, dust control, and regulation. El Dorado County has recently adopted more stringent dust control ordinances.

Detailed Comments on the R. J. Lee Report

R.J. Lee Finding #1: "Based on Mineralogy, Sixty-Three Percent (63%) of the Amphibole Particles Identified as Asbestos Fibers can not be Asbestos."

The R. J. Lee Report argues that there is too much aluminum in 63% of EPA Region 9's identified fibers for the fibers to be asbestiform.⁵ In addition, the remaining 37% (sometimes the Report uses 35%) are not asbestos fibers based on their particle dimensions.

EPA Response

Aluminum - Analysis of the EPA Region 9 El Dorado air samples was performed using the International Standards Organization (ISO) method 10312, a state-of-the-art

⁵Asbestiform: Having the form or structure of asbestos.

Transmission Electron Microscope (TEM)⁶ method with energy dispersive spectroscopy (EDS)⁷ that has strict counting rules and characterizes the dimensions and chemistry of every fiber identified by the microscopist. Identification of fiber type was performed according to the general guidelines of the International Mineralogical Association (IMA) (Leake, 1997)⁸, the international standard for amphibole nomenclature. This same approach for asbestos classification is recommended in the “Research Method for Sampling and Analysis of Fibrous Amphibole in Vermiculite Attic Insulation”, EPA 600/R-04/004, January 2004, and was one of the tools used by Meeker et al (2003)⁹ to determine the composition and morphology of amphiboles from Libby, Montana.

The R. J. Lee Report claims that 63% of the amphibole fibers identified by the EPA laboratory¹⁰ as actinolite asbestos have concentrations of total aluminum that are too high to form asbestos fibers. According to page 2 of the R. J. Lee Report, “Particles with more than 0.3 aluminum atoms pfu [per formula unit] or about 1.5 percent Al_2O_3 cannot form in the asbestos habit due to crystal lattice constraints.” To support its argument, the R. J. Lee Report cites three references. However, on close examination, two of the three references do not agree with the upper threshold limit that the R.J. Lee Group puts on total aluminum content (Leake et al, 1997) (Deer, Howie and Zussman, 1997)¹¹. The third reference (Verkouteren & Wylie, 2000)¹² draws its conclusions on examination of a

⁶Transmission Electron Microscopy (TEM) produces images of a sample by illuminating the sample with an electron beam in a vacuum, and detecting the electrons that are transmitted through the sample.

⁷Energy Dispersive Spectroscopy (EDS) uses measurement of the energy and intensity of X-rays generated when a selected area of a sample is irradiated with an electron beam to identify the mineralogical composition of a structure.

⁸B.E. Leake et al (1997). Nomenclature of Amphibole: Report of the Subcommittee on Amphiboles of the International Mineralogical Association, Commission on New Minerals and Mineral Names. *American Mineralogist*, Volume 82, pages 1019-1037.

⁹G.P. Meeker et al (2003). The Composition and Morphology of Amphiboles from the Rainy Creek Complex, Near Libby, Montana. *American Mineralogist*, Volume 88, pages 1955-1969.

¹⁰In this document, the terms “EPA laboratory” and “EPA Region 9 laboratory” refer to the private laboratories that conducted the analysis of the EPA soil and air samples under contract to EPA Region 9.

¹¹W.A. Deer, R.A. Howie, and J. Zussman (1997). *Rock-Forming Minerals: Double Chain Silicates*, Vol 2, second edition, p 137 - 145.

¹²J.R. Verkouteren and A.G. Wylie (2000). The Tremolite-Actinolite-Ferro-Actinolite Series: Systematic Relationships Among Cell Parameters, Composition, Optical Properties, and

small set of fibrous actinolite asbestos samples which the authors partition into asbestos and fibrous “non-asbestos” byssolite using criteria which the IMA specifically recommends against, and which is inconsistent with all standard asbestos analytical methods. Perhaps most important is the fact that all three references agree that it is the IMA criteria which primarily govern the general classification of amphibole type, not the total aluminum content. These references therefore actually support the classification approach taken by the EPA laboratory.

The R.J. Lee Group did not have access to the EPA air samples to conduct their own analyses. Instead, the R.J. Lee Group looked at a limited number of photographs of the recorded EDS spectra. Interferences by other elements in the sample can affect the aluminum total in the spectra. This is especially important because the EPA samples were of air releases from soil, not processed asbestos material. Soils contain non-asbestos mineral and biological particles that can influence element totals in an EDS spectrum, most notably clay particles, which are high in aluminum. The laboratory used by EPA Region 9 identified aluminum-rich actinolite asbestos, by applying the IMA classification guidelines to its direct analysis of the actual sample.¹³

Particle Dimension - As previously stated, the R. J. Lee Report claims that 37% of the fibers counted by EPA in the El Dorado Hills air samples are not asbestos fibers based on their particle dimensions. The report claims that EPA Region 9 inflated the fiber counts by including asbestos structures which do not meet the definition of a fiber as described in ISO 10312. The general ISO 10312 method requires the counting of every asbestos structure with a length to width aspect ratio of 5:1 or greater. As directed by Region 9, the EPA laboratory counted structures with a 3:1 or greater aspect ratio. The R. J. Lee Report states that EPA erred in counting structures with aspect ratios less than 5:1. **Annex C and Annex E of the ISO method clearly authorize the counting of PCME structures with a 3:1 aspect ratio if the data are to be used for exposure or risk assessment purposes, the stated goal of the El Dorado Hills assessment. In fact, the ISO method contains numerous references to PCME fibers. PCME fibers are defined as fibers greater than 5 microns in length, and 0.25 to 3 microns in width with a 3:1 aspect ratio.¹⁴ PCME fibers form the basis for EPA’s IRIS toxicity database and the asbestos risk models of California EPA and other federal and international organizations.¹⁵**

Habit, and Evidence of Discontinuities. *American Mineralogist*, 85, p. 1239 - 1254.

¹³Personal communication with John Harris, Lab/Cor, January 2006.

¹⁴World Health Organization (1986). *Environmental Health Criteria 53, International Programme on Chemical Safety, Asbestos and Other Natural Mineral Fibres*, section 2.3.2.2.

¹⁵The IRIS asbestos cancer inhalation unit risk, a measure of asbestos cancer potency, is based on the EPA 1986 Airborne Asbestos Health Assessment Update (EPA/600/8-84/003F; 1986). Cal/EPA used a similar approach and data sets to derive its cancer unit risk. Both the IRIS and the Cal/EPA cancer potency values rely on human epidemiological studies that were conducted using phase contrast microscopy (PCM) analytical methods (some were midget

The R.J. Lee Group also manipulates its statistical analysis of the El Dorado Hills air data by ignoring counts of asbestos fiber bundles in its evaluations. Bundles are two or more attached parallel asbestos fibers which can have a significant health impact when they are inhaled and separate into individual fibers. Bundles were counted in the historical epidemiological studies which form the basis of our knowledge of asbestos-related health effects and EPA's IRIS database. **All of the established EPA, NIOSH, and ISO analytical methods require the counting of asbestos bundles, recognizing the significance of bundles to proper characterization of asbestos fiber levels.**

The R. J. Lee Report further states that EPA's data inflated the asbestos fiber count by ignoring the Agency's own "definition" of asbestos. To support this claim, the R.J. Lee Report cites the glossary of "Method for Determination of Asbestos in Bulk Building Materials", EPA 600/R-93/116, 1993, which states, in part, "With the light microscope, the asbestiform habit is generally recognized by the following characteristics: Mean aspect ratios ranging from 20:1 to 100:1 or higher for fibers longer than 5 microns." The building material analytical method is designed to detect commercially processed asbestos in items like floor tiles, roofing felts, paper insulation, paints, and mastics, not naturally occurring asbestos on air filters or in soil samples. To present the 20:1 aspect ratio for commercial grade asbestos as a universal EPA policy, and to advocate its use as an appropriate standard for analyzing air samples of naturally occurring asbestos is inappropriate and contradictory to use of the PCME dimensional criteria as a tool for assessing exposure risk.

The R. J. Lee Report also states that the diffraction pattern analyses produced by the EPA laboratory for the El Dorado Hills air samples demonstrates that the particles identified by the laboratory are not asbestos.¹⁶ The report cites a 1980 unpublished draft study by S.J. Ring to support its conclusion. The R. J. Lee Report does not mention a 1981 published article by the same author which revises the findings such that they no longer support the conclusion of the R. J. Lee Report and, in fact, support the data produced by

impinger data converted to PCM counts) that could not distinguish fibers that were 5 microns in length or less. PCM cannot distinguish between fibers and cleavage fragments. PCM is not as powerful as current Transmission Electron Microscope (TEM) methods (400X vs 20,000X) as TEM can see the thinner/shorter fibers. However, since EPA's (and Cal/EPA's) toxicity database relies on human health studies that used PCM, current EPA risk procedures use the more powerful TEM method but report the PCM equivalent (PCME) fibers and only use the PCME counted fibers in a risk assessment. This is because the IRIS asbestos file specifies that only PCME fiber counts be used with inhalation unit risk for risk calculation. See also the reference cited in footnote 11.

¹⁶Diffraction pattern analyses irradiates a sample with x-rays and then takes an x-ray photograph.

R.J. Lee Finding #2: “The Laboratory Procedures did not Comply With the NVLAP Quality Assurance Standard.”

The R. J. Lee Report says that the false positive rate in our air samples was 35% when the acceptable limit in the National Voluntary Laboratory Accreditation Program (NVLAP) is 10%.

EPA Response

The laboratories used by EPA Region 9 for analysis of the El Dorado Hills air and soil samples are accredited through the National Voluntary Laboratory Accreditation Program (NVLAP). NVLAP is administered by the National Institute of Standards and Technology, a non-regulatory agency within the U.S. Commerce Department. A large part of the accreditation process involves on-site audits performed by NVLAP-certified inspectors who review laboratory operational and quality assurance compliance parameters, including documentation proving compliance with NVLAP requirements for verification analyses. A laboratory must demonstrate that all analysts reporting data meet the false negative and false positive requirements set forth by NVLAP before an accreditation certificate is issued. To make a determination that a laboratory did not comply with NVLAP verification standards would require a very detailed examination of all laboratory generated raw data, project specific information, such as a site-specific EPA issued Quality Assurance Project Plan, laboratory instrument log books, and other data and information not supplied in an analytical report. Interviews with the laboratory manager, quality assurance manager, and involved analysts are also mandatory to make judgement on a laboratory’s possible non-compliance. The R.J. Lee Report’s conclusion that the EPA laboratory was not in compliance with NVLAP, based on a cursory review of count sheet and other limited data without the in-depth examination detailed above, is therefore invalid and cannot be used to question EPA’s analytical results.

EPA chose NVLAP-accredited laboratories for the El Dorado Hills assessment as a minimum quality requirement. For supplemental quality assurance, the laboratories were subjected to on-site audits performed by EPA’s Quality Assurance Technical Support group, and both laboratories were sent performance evaluation samples prior to analysis of the El Dorado samples. In addition, the laboratory conducting the air sample analysis was sent double blind performance evaluation samples during the sampling event. In all cases, the laboratories successfully identified the amounts and types of asbestos present on the blind samples within acceptable limits. Further, the El Dorado Hills air and soil data were validated by a third party in accordance with standard EPA quality assurance

¹⁷S.J. Ring (1981). Identification of Amphibole Fibers, Including Asbestos, Using Common Electron Diffraction Patterns. In Russell P.A. and Hutchings A.E. (Eds), Electron Microscopy and X-ray Applications to Environmental and Occupational Health Analysis, Vol. 2:175-198, Ann Arbor Science Publ., Inc.

procedures and were found to be acceptable for all uses.

R. J. Lee Finding #3: “The Soil Samples do not Demonstrate the Presence of Amphibole Asbestiform Minerals.”

The R. J. Lee Report states that the actinolite asbestos fibers identified in the El Dorado Hills soil samples contain too much aluminum to be asbestiform and that the extinction angles of the fibers indicate that they are non-fibrous cleavage fragments. The R.J. Lee Group’s analysis of 23 split soil samples from EPA’s October 2004 sampling event found no asbestos in the samples.

EPA Response

Aluminum - The R. J. Lee Report states that the aluminum content of the fibers in the soil samples was too high to be asbestiform actinolite and that it was indicative of non-asbestiform actinolite and another amphibole, hornblende, which contains approximately 10-20% by weight Al_2O_3 (5.3-10.6% by weight aluminum). Both the laboratory performing EPA’s El Dorado soil sample analysis and the laboratory which analyzed the EPA air samples noted significant quantities of hornblende in the samples, but did not count or report those particles as asbestos. Please see the EPA response to Finding #1 for a further discussion of the aluminum issue.

Extinction Angles - The extinction angle of a fiber evaluated by polarized light microscopy is one of many criteria used to identify mineralogical composition. The extinction angle for amphibole asbestos fibers is the difference in degrees between the long axis of the fiber and the angle at which the fiber optically disappears (the polarization direction where the light passing through it becomes “extinct”) when the fiber is rotated under a polarized light microscope. The R.J. Lee Report states that amphibole asbestos fibers have a zero-degree extinction angle and that non-asbestos cleavage fragments have non-zero extinction angles. Therefore, because the EPA soil sample analysis reported extinction angles which, according to the R.J. Lee Group, averaged 12°, the report alleges EPA incorrectly identified cleavage fragments as asbestos fibers.

The R.J. Lee Report’s conclusion regarding extinction angles is contradicted by the National Institute of Standards and Technology (NIST) and the major analytical methods used for analysis of asbestos in soil and bulk samples. NIST certifies and provides Standard Reference Materials (SRM) for laboratory instrument calibration and laboratory accuracy measurement. The NIST Tremolite/Actinolite SRM 1867A is a special set of three samples certified by NIST to be of ultra-high purity tremolite, actinolite, and anthophyllite asbestos and is considered the “gold standard” for asbestos analytical laboratories. The material is rigorously characterized and is accompanied by a six-page document that describes the properties of each sample. It is required that all analytical laboratories accredited by NIST/NVLAP have the material in their possession and that they use it to calibrate their operations and to test their analysts. The NIST SRM

1867A certificate which accompanies the samples of tremolite and actinolite states that the reference tremolite can have an extinction angle of up to $16.6 \pm 0.3^\circ$ and that the actinolite can have an extinction angle of up to $15.9 \pm 0.2^\circ$. When the EPA laboratory processed the NIST actinolite standard in the manner of the El Dorado Hills soil samples, the extinction angles of the fibers in the processed standard sample were consistent with allowed maximum extinction angles for tremolite/actinolite asbestos ($\sim 10^\circ$ to 20°) and the extinction angles of the fibers seen in the EPA soil samples.¹⁸

Further, the laboratory methods of EPA, NIOSH, and other agencies for analysis of asbestos in bulk material all state that tremolite-actinolite asbestos fibers may have zero (parallel) or *non-zero* (inclined or oblique) extinction angles. EPA Method 600/R-93/116¹⁹, the standard method used by all NIST/NVLAP accredited laboratories to test building materials for the presence of asbestos, states in Table 2-2, Optical Properties of Asbestos Fibers, that tremolite-actinolite asbestos has extinction “parallel and oblique (up to 21°).” NIOSH Method 9002²⁰, the method used for analysis of the El Dorado Hills soil samples, states directly that actinolite and tremolite fibers exhibiting inclined extinction are to be considered asbestos. The method further states that “If anisotropic fibers are found (during PLM analysis), rotate the stage to determine the angle of extinction. Except for tremolite-actinolite asbestos which has oblique extinction at 10 - 20° , the other forms of asbestos exhibit parallel extinction... Tremolite may show both parallel and oblique extinction.”²¹

R.J. Lee Finding #4: “The ISO 10312 Analytical Method can not Distinguish Between Asbestos Fibers and Non-Asbestos Cleavage Fragments.”

The R.J. Lee Report states that the ISO 10312 method contains the disclaimer that “The method cannot discriminate between individual fibers of asbestos and non-asbestos analogues of the same amphibole material,” and, therefore, EPA inflated the asbestos air concentrations by counting “cleavage fragments.”

EPA Response

The ISO 10312 method cannot differentiate between fibers and cleavage fragments with

¹⁸M. Bailey (2006). Identification of Asbestiform Tremolite/Actinolite. Naturally Occurring Asbestos Workgroup Meeting Presentation.

¹⁹USEPA (U.S. Environmental Protection Agency) (1993). Method for the Determination of Asbestos in Bulk Building Materials. EPA Method 600/R-93/116.

²⁰NIOSH (National Institute for Occupational Safety and Health) (1992). Asbestos (Bulk) by PLM.. Method 9002 (Issue 2).

²¹NIOSH (National Institute for Occupational Safety and Health) (1992). Asbestos (Bulk) by PLM.. Method 9002 (Issue 2). Qualitative Assessment, Item c, page 4.

the same dimensions and chemical composition. No routine analytical method has a protocol for distinguishing fibers from cleavage fragments on an individual particle basis. Additionally, from a health standpoint, there is no evidence that supports making the distinction.

Cleavage fragment is a geologic term which refers to structures that form when non-fibrous forms of asbestos minerals split along crystallographic planes, as opposed to asbestos fibers which form from crystalline growth. The R.J. Lee Report maintains that there is a toxicological difference between asbestos structures which formed as fiber crystals and fibers which formed by cleavage plane separation. Page 3 of the R.J. Lee Report states that cleavage fragments are “not known to produce asbestos-like disease.” **It is the position of EPA, the U.S. Centers for Disease Control and Prevention, Agency for Toxic Substances and Disease Registry (ATSDR) and National Institute for Occupational Safety and Health (NIOSH), and the American Thoracic Society, among others, that microscopic structures of amphibole and serpentine minerals that are asbestiform and meet the size definition of PCM fibers, should be counted as asbestos, regardless of the manner by which they were formed.** There are four reasons why the health agencies have taken this position: (1) The epidemiologic and health studies underlying EPA, and California EPA, cancer risk assessment methods were based on exposures to both cleavage fragments and fibers, but were unable to distinguish between the two, (2) The most recent panel of experts to review asbestos risk assessment methods, the 2003 Peer Consultation Panel convened by EPA, concluded that “it is prudent at this time to conclude equivalent potency [of cleavage fragments and fibers] for cancer,”²² (3) No well-designed animal or human epidemiological studies have been conducted to date to test the hypothesis that cleavage fragments with the same dimensions of a fiber are benign, or that the human body makes any distinction, and studies that purport to show that cleavage fragments are benign are questioned by many asbestos health experts,²³ (4) There are no routine air analytical methods, including those used by EPA, NIOSH, the Mine Safety and Health Administration (MSHA), the American Society for Testing and Materials (ASTM), and the ISO which differentiate between cleavage fragments and crystalline fibers.

²²USEPA (U.S. Environmental Protection Agency) (2003). Report on the Peer Consultation Workshop to Discuss a Proposed Protocol to Assess Asbestos-Related Risk, Final Report. Office of Solid Waste and Emergency Response, Washington D.C. Page viii.

²³Both Addison (Addison J, Davies LST. 1990. Analysis of amphibole asbestos in chrysotile and other minerals. Ann Occ Hyg, Apr;34(2):159-75) and members of the U.S. EPA 2003 Peer Consultation panel raised concerns about interpretation of the Davis study (Davis JM, McIntosh C, Miller BG, Niven K. 1991. Variations in the carcinogenicity of tremolite dust samples of differing morphology. Ann NY Acad Sci, Dec;643:473-90), which attempted to compare the toxicity of asbestos fibers and cleavage fragments. These concerns reflected the lack of peer review, use of intra peritoneal injection instead of inhalation exposure, significance of mesotheliomas caused by structures reported as cleavage fragments, purity of the cleavage fragment samples and issues related to fiber dimensions.

In terms of epidemiological data and health outcomes, the cleavage fragment argument is without merit. For the purposes of public health assessment and protection, EPA makes no distinction between fibers and cleavage fragments of comparable chemical composition, size, and shape.

There are no recognized analytical protocols, including those used by EPA, NIOSH, MSHA, ASTM, and ISO, which include criteria to differentiate between cleavage fragments and crystalline fibers. All these methods require that structures which meet their definition of the specific counting rules for an asbestos fiber be counted. The requirements are based on the fact that, in the words of an expert from the United States Geological Survey, “At a microscopic level, distinguishing between these forms on single [asbestos] particles, can be extremely difficult to impossible.”²⁴ As noted above, R.J. Lee made a very similar claim with regard to cleavage fragments as the expert witness for W.R. Grace in the Libby, Montana, Superfund cost recovery litigation. The EPA analytical experts who reviewed the R.J. Lee Group’s testing methodology related to the Libby site found that the R.J. Lee laboratory could not demonstrate any reliable criteria with which to distinguish, at the microscopic level, asbestos cleavage fragments from asbestos fibers of the same size, shape, and composition. The Ninth Circuit Court of Appeals recognized the competing scientific arguments but found that EPA’s position was consistent with the record of evidence and accepted scientific principles.²⁵

R.J. Lee Finding #5: “Applying the Latest Science and Definitional Techniques, the El Dorado Hills Study Shows no Significant Exposure to the Type of Amphibole Asbestos Fiber Connected To Health Risk.”

The R. J. Lee Report claims that the latest science for measuring the risk posed by asbestos is the Berman-Crump Asbestos Risk Assessment Protocol (“Berman-Crump”) which proposes that amphibole asbestos fibers which are more than 10 microns long and less than 0.5 microns wide (protocol fibers) are the most toxic. Of the 2,386 fibers which the R. J. Lee Report states the EPA laboratory identified, the R.J. Lee Report concludes that only 7 fibers meet the “Berman-Crump” definition. Therefore, the R.J. Lee Group maintains that EPA has overstated the risk from exposure to asbestos fibers in El Dorado Hills.

EPA Response

The “Berman-Crump” protocol that the R.J. Lee Report references is in fact a draft EPA method. EPA had the method reviewed by a peer consultation panel in 2003. The panel made a number of important recommendations that must be addressed before the method can be used for EPA risk assessments. A number of important revisions have been made

²⁴G.P. Meeker, USGS, (2002). Review of Expert Report of R.J. Lee.

²⁵U.S. v. W.R. Grace, 429 F.3d at 1245.

to the draft method since 2003, but at this time the method has not been independently peer reviewed. It will not be adopted by EPA as a risk assessment tool unless and until it passes rigorous internal and external peer review.

The expert peer panel has recommended that the fiber size for the draft EPA risk assessment method be adjusted to include fibers greater than 5 microns in length and up to 1.5 microns in width.²⁶ The change is designed to account for lung deposition of fibers that results when fibers are inhaled through the mouth, and not filtered by the nasal passages. The broadening of the fiber definition to include inhalation by “mouth breathers” is especially relevant to the El Dorado Hills data. Our investigation measured personal asbestos exposures of individuals participating in sports activities, where physical exertion would likely increase breathing through the mouth. **The PCME fibers counted in the EPA air samples are actually consistent with the latest science of EPA, as reflected in the recommendations of the peer consultation panel.** In addition, the EPA peer consultation expert panel recommended that cleavage fragments be treated as any other asbestos fiber of the same morphology and chemical composition.²⁷

EPA Region 9 focused on obtaining an accurate count of PCME structures, consistent with our risk assessment protocols and those of Cal/EPA and other health agencies. The counting rules which EPA set for the laboratory were designed to stop counting when a statistically-significant number of PCME fibers were detected. By concentrating on PCME structures, other fiber size classifications may not have been counted to statistical significance. This may have resulted in under counts of other fiber sizes (e.g. the “Berman Crump” protocol fibers referred to in the R. J. Lee Report). **EPA Region 9's study counted PCME structures so that the data could be directly compared to human health epidemiological studies.** These epidemiological studies form the basis for risk assessment models currently used by EPA, Cal/EPA and other federal agencies and international organizations.

R. J. Lee Report Peer Reviews

The R. J. Lee Report was reviewed by three individuals, although research of one of the individuals was extensively quoted in the report and therefore the independence of the reviewer is debatable. The three reviewers generally agree with the conclusions of the R. J. Lee Report regarding aluminum content, fiber chemistry, cleavage fragments, and extinction angles.

Both the R. J. Lee Report and one of the reviewers support use of the original “Berman-

²⁶USEPA (U.S. Environmental Protection Agency) (2003). Report on the Peer Consultation Workshop to Discuss a Proposed Protocol to Assess Asbestos-Related Risk, Final Report. Office of Solid Waste and Emergency Response, Washington D.C. Page 5-5.

²⁷Ibid, page 5-1.

Crump” protocol and calculate a “Berman-Crump” fiber air concentration of 0.0002 fibers/cubic centimeter, using the EPA fibers which they assert meet the “Berman-Crump” definition. The peer reviewer then compares that concentration with an ambient concentration of 0.0008 fibers/milliliter measured in New York City, and states that the “Berman-Crump” value in El Dorado Hills is extremely low. This comparison is flawed for at least two reasons. Significantly, the New York City numbers are based on fibers counted against a totally different size classification (essentially comparing apples to oranges), but **the reviewer also fails to recognize that a concentration of 0.0002 f/cc translates in the protocol to an increased cancer risk of 1 in 1,000 exposed individuals.** This number is disturbingly high and is outside the acceptable cancer risk ranges of EPA, Cal/EPA, and most other state and federal health agencies.

Conclusions

EPA Region 9 has carefully reviewed the R. J. Lee Report and believes that it makes largely unsupported and incorrect conclusions about the EPA Region 9 El Dorado Hills Naturally Occurring Asbestos Exposure Assessment. EPA Region 9 has asked the United States Geological Survey (USGS) to conduct an independent study of the El Dorado County area to address several mineralogical questions raised by the R. J. Lee Report. The USGS study will use sophisticated analytical techniques (such as electron probe micro analysis) to more completely characterize the naturally occurring asbestos in terms of mineral identification and particle morphology.

All of the EPA Region 9 work in El Dorado Hills was, and continues to be, consistent with the EPA’s standard operating and quality control procedures for asbestos work throughout the country.