

FORM HW-C  
COMPLIANCE HISTORY



## FORM HW-C COMPLIANCE HISTORY

Fully and accurately provide the following information, as specified. Attach additional sheets as necessary.

Type of Form HW-C Submittal (check all that apply):

Original Filing                       Amended Filing                      Date of Last Filing \_\_\_\_\_

Type of Permit or License Submittal:

New Application                       Renewal                       Annual Update                       Other \_\_\_\_\_  
(specify)

**A. General Applicant Information:**

1. NAME OF PERMIT OR LICENSE APPLICANT/PERMITTEE/LICENSEE ("applicant")  
(non-corporations attach documentation of legal name):

North East Waste Systems, LLC

ADDRESS: 4000 4<sup>th</sup> Street

Moosic, PA 18507

TELEPHONE NUMBER: 570-207-2000 Ext. 101

TAXPAYER ID#: 882131966

PERMIT, LICENSE OR APPLICATION ID#: \_\_\_\_\_

2. Identify the form of management under which the applicant conducts its business (check appropriate box) and describe the type(s) of business activities performed:

<input type="checkbox"/> Individual	<input type="checkbox"/> Fictitious Name
<input type="checkbox"/> Municipality	<input type="checkbox"/> Partnership
<input type="checkbox"/> Proprietorship	<input type="checkbox"/> Limited Partnership
<input type="checkbox"/> Public Corporation	<input type="checkbox"/> Government Agency
<input type="checkbox"/> Private Corporation	<input type="checkbox"/> Joint Venture
<input type="checkbox"/> Syndicate	<input type="checkbox"/> Association
<input type="checkbox"/> Municipal Authority	<input checked="" type="checkbox"/> Other Type of Business <u>Limited Liability Company</u>

(specify)

3. Type of permit, license or application (check all that apply):

Hazardous Waste Permit  
 Hazardous Waste Transporter License  
 Municipal Waste Permit  
 Regulated Medical, Chemotherapeutic Waste Transporter License  
 Residual Waste Permit  
 Other \_\_\_\_\_  
 (specify)

## FORM HW-C

### B. General Information Regarding "Related Parties"

1. **Applicants which are a corporation or a division of a corporation**, provide the following information:
  - a. The principal shareholders or stockholders who own, hold, or control stock of five percent (5%) or more of a publicly held corporation or ten percent (10%) or more of a privately held corporation.  
See Attachment C-B.1
  - b. State the names, principal places of business and taxpayer ID numbers of all domestic and foreign parent corporations (including ultimate parent corporations), and all domestic and foreign subsidiary corporations of the applicant, as well as the subsidiary corporations of the ultimate parent corporation. Include unincorporated divisions and private corporations. A diagram of corporate structure may be provided to illustrate corporate relationships.  
See Attachment C-B.1
  - c. List all principals of the corporation that have also been principals of other corporations which have committed any violation of the Environmental Protection Acts. (See Instructions, Items 2 and 6.)  
See Attachment C-B.1
2. Provide the names and addresses of all principals, corporate officers, general and limited partners, directors, other persons performing a function similar to a director, and other persons or related parties of the applicant (see Instructions, Items 4 and 5). The relationship to the applicant must be clearly described.  
See Attachment C-B.2
3. Provide the names and addresses, or IRS tax identification numbers<sup>1</sup> and affiliation of other persons or related parties having or exercising control over any aspect of the proposed facility or activity that is regulated by the Department, including but not limited to, associates, agents, contractors, subcontractors, and property owners.  
None
4. Provide the names and addresses of all owners of record of surface and subsurface areas within and contiguous to the proposed permit area. (Not applicable to transporter license applicants.)  
See Attachment C-B.4
5. Provide the names and addresses of all holders of record to a leasehold interest of surface and subsurface areas within and contiguous to the proposed permit area.  
See Attachment C-B.4
6. If the applicant, or other related party to the applicant, has a beneficial interest in, or otherwise manages or controls any other person, municipality or other related party (as described in Sections A and B) engaged in the business of solid waste collection, transportation, storage, processing, treatment, or disposal, provide the following information:
  - a. The name, address and tax identification number or employer identification number of the corporation, other person, municipality, or other entity, in which the applicant or other related party has a beneficial interest, manages, or controls as described above.  
  
See Attachment C-B.6
  - b. The nature of the relationship or participation with the corporation, other person, municipality, or other related party.  
  
See Attachment C-B.6

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<sup>1</sup> Failure to provide all applicable numbers may delay processing of the application.

## FORM HW-C

### C. Specific information Regarding the Applicant and Its Related Parties

1. List the name and location of all of the **applicant's** and **related party's places of business and terminals** where municipal, residual and/or hazardous waste activities are conducted. Such activities include, but are not limited to generation, processing, collection, transportation and storage, treatment or disposal of solid waste, except that locations that generate only municipal waste need not be listed.

See Attachment C-C.1

2. List all **permits or licenses issued** by the Department or any other state or federal agency under the Environmental Protection Acts to the applicant or any other persons or related parties identified in Sections A or B, that are currently in effect or have been in effect at any time in the ten years previous to the date on which this form is notarized. This list is to include the type of permit or license, permit or license number, location, address, issuance date and expiration date.

See Attachment C-C.2

3. List all **permit or license denials** issued by the Department or any other state or federal agency under the Environmental Protection Acts to the applicant or any other person or related party identified in Section A or B, within ten years previous to the date on which this form is notarized. Include the type of permit or license, permit or license number, location, denial date and reason for denial.

None

4. List all persons or related parties identified in Sections A or B which have filed for or been discharged from **bankruptcy** within 10 years previous to the date on which this form is notarized. Specify the circumstances of bankruptcy including those for which the debtor sought to abandon property or to be discharged from any environmental liability subject to the Environmental Protection Acts. Include the name of the bankruptcy court, docket number and description and location of any property involved.

None

### D. Compliance Background:

*(Note: Copies of specific documents must be made available to the Department upon its request)*

## FORM HW-C

### Compliance History:

List all **"Enforcement Actions"** issued by the Department or any other state or federal or county agency to the applicant or those persons or related parties identified anywhere in response to Sections A, B or C using the following format grouped by state and location in chronological order.

Date	Location	Permit/ License/ EPA ID #	Issuing Agency	Type of Action	Nature of Violation	Disposition	Dollar Amount of Penalty
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Enforcement actions include but are not limited to:

All **notices of violation (NOVs)**, issued by any regulatory agency to the applicant or those persons or related parties identified anywhere in Sections A, B or C concerning the Environmental Protection Acts, or any other environmental statute, regulation or ordinance.

All **administrative orders, civil penalties, permit or license suspensions/revocations, bond forfeiture actions, and civil penalty actions** adjudicated by any judicial body against the applicant or those persons or related parties identified anywhere in Sections A, B or C concerning the Environmental Protection Acts, or a regulation or order or a condition of a permit or license.

All **consent orders, consent adjudications, consent decrees or monetary settlements (settlement agreements, letter agreements, settlement letters or consent assessments)** between the applicant or those persons or related parties identified anywhere in Sections A, B or C and any state, federal or county agency regarding the Environmental Protection Acts, or any other environmental statute, regulations or ordinance.

All **court proceedings** in which those persons or related parties identified anywhere in Sections A, B or C have been involved in relation to the Environmental Protection Acts.

All **summary, misdemeanor, or felony convictions, or pleas of guilty or no contest** that have been obtained against the applicant or those persons or related parties identified anywhere in Sections A, B or C, pursuant to the Environmental Protection Acts, or for any acts involving the generation, storage, treatment, transportation, processing, or disposal of municipal, residual or hazardous waste.

For all persons and municipalities identified in Section A, B or C, indicate all violations committed and any subsequent enforcement actions taken regarding the facility or activity not previously listed in this section, concerning the Environmental Protection Acts.

State the reasons for suspension, revocation, or denial of any permit/permit application or license/license application filed by the applicant or any related party concerning the Environmental Protection Acts. Provide the date, location and nature of the violations, type of action, issuing agency, dollar amount of any monetary penalty associated with the action and permit, license, EPA ID# or other identifying number if applicable.

# FORM HW-C

I hereby certify that I have the authority to respond to the above questions on behalf of the applicant, and that the information provided herein is true and correct to the best of my knowledge, information and belief.

*[Handwritten Signature]*  
(Signature)

Name: William Rinaldi  
(Print or Type Name)

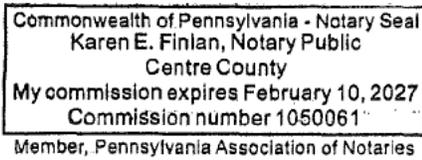
Title: Manager  
(Print or Type Title)

Sworn to and subscribed before me this

3rd day of February,

2023.

*[Handwritten Signature]*  
Notary Public



\_\_\_\_\_  
(Signature)

Name: \_\_\_\_\_  
(Print or Type Name)

Title: \_\_\_\_\_  
(Print or Type Title)

Sworn to and subscribed before me this

\_\_\_\_\_ day of \_\_\_\_\_,

20\_\_\_\_.

\_\_\_\_\_  
Notary Public

Not Applicable; North East Waste Systems, LLC  
Is a limited liability company

\_\_\_\_\_  
Attach copy  
of Articles of Incorporation

(For Corporations, see the Instructions, Item 9, regarding signatures and submission of Articles of Incorporation.)

**ATTACHMENT C-A.1  
DOCUMENTATION OF LEGAL NAME**



DEPARTMENT OF THE TREASURY  
INTERNAL REVENUE SERVICE  
CINCINNATI OH 45999-0023

Date of this notice: 05-04-2022

Employer Identification Number:  
88-2131966

Form: SS-4

Number of this notice: CP 575 G

NORTH EAST WASTE SYSTEMS LLC  
WILLIAM F RINALDI SOLE MBR  
4000 4TH ST  
MOOSIC, PA 18507

For assistance you may call us at:  
1-800-829-4933

IF YOU WRITE, ATTACH THE  
STUB AT THE END OF THIS NOTICE.

WE ASSIGNED YOU AN EMPLOYER IDENTIFICATION NUMBER

Thank you for applying for an Employer Identification Number (EIN). We assigned you EIN 88-2131966. This EIN will identify you, your business accounts, tax returns, and documents, even if you have no employees. Please keep this notice in your permanent records.

Taxpayers request an EIN for their business. Some taxpayers receive CP575 notices when another person has stolen their identity and are opening a business using their information. If you did **not** apply for this EIN, please contact us at the phone number or address listed on the top of this notice.

When filing tax documents, making payments, or replying to any related correspondence, it is very important that you use your EIN and complete name and address exactly as shown above. Any variation may cause a delay in processing, result in incorrect information in your account, or even cause you to be assigned more than one EIN. If the information is not correct as shown above, please make the correction using the attached tear-off stub and return it to us.

A limited liability company (LLC) may file Form 8832, *Entity Classification Election*, and elect to be classified as an association taxable as a corporation. If the LLC is eligible to be treated as a corporation that meets certain tests and it will be electing S corporation status, it must timely file Form 2553, *Election by a Small Business Corporation*. The LLC will be treated as a corporation as of the effective date of the S corporation election and does not need to file Form 8832.

To obtain tax forms and publications, including those referenced in this notice, visit our Web site at [www.irs.gov](http://www.irs.gov). If you do not have access to the Internet, call 1-800-829-3676 (TTY/TDD 1-800-829-4059) or visit your local IRS office.

**IMPORTANT REMINDERS:**

- \* Keep a copy of this notice in your permanent records. This notice is issued only one time and the IRS will not be able to generate a duplicate copy for you. You may give a copy of this document to anyone asking for proof of your EIN.
- \* Use this EIN and your name exactly as they appear at the top of this notice on all your federal tax forms.
- \* Refer to this EIN on your tax-related correspondence and documents.
- \* Provide future officers of your organization with a copy of this notice.

Your name control associated with this EIN is NORT. You will need to provide this information along with your EIN, if you file your returns electronically.

Safeguard your EIN by referring to Publication 4557, Safeguarding Taxpayer Data: A Guide for Your Business.

You can get any of the forms or publications mentioned in this letter by visiting our website at [www.irs.gov/forms-pubs](http://www.irs.gov/forms-pubs) or by calling 800-TAX-FORM (800-829-3676).

If you have questions about your EIN, you can contact us at the phone number or address listed at the top of this notice. If you write, please tear off the stub at the bottom of this notice and include it with your letter.

Thank you for your cooperation.

Keep this part for your records.

CP 575 G (Rev. 7-2007)

Return this part with any correspondence so we may identify your account. Please correct any errors in your name or address.

CP 575 G

999999999

Your Telephone Number Best Time to Call  
( ) -

DATE OF THIS NOTICE: 05-04-2022  
EMPLOYER IDENTIFICATION NUMBER: 88-2131966  
FORM: SS-4 NOBOD

INTERNAL REVENUE SERVICE  
CINCINNATI OH 45999-0023



NORTH EAST WASTE SYSTEMS LLC  
WILLIAM F RINALDI SOLE MBR  
4000 4TH ST  
MOOSIC, PA 18507



**5. Restricted professional companies only.**

*Check the box if the limited liability company is organized to render a restricted professional service and check the type of restricted professional service(s).*

The company is a restricted professional company organized to render the following restricted professional service(s):

- Chiropractic
- Dentistry
- Law
- Medicine and surgery
- Optometry
- Osteopathic medicine and surgery
- Podiatric medicine
- Public accounting
- Psychology
- Veterinary medicine

**6. Benefit companies only.**

*Check the box immediately below if the limited liability company is organized as a benefit company:*

This limited liability company shall have the purpose of creating general public benefit.

*Optional specific public benefit purpose. Check the box immediately below if the benefit company is organized to have one or more specific public benefits and supply the specific public benefit(s). See instructions for examples of specific public benefit.*

This limited liability company shall have the purpose of creating the enumerated specific public benefit(s):

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7. For additional provisions of the certificate, if any, attach 8½ x 11 sheet(s).

IN TESTIMONY WHEREOF, the organizer(s) has (have) executed this Certificate of Organization this

    20th     day of     April    , 20  22  .

  
 \_\_\_\_\_  
 Signature

\_\_\_\_\_  
 Signature

\_\_\_\_\_  
 Signature

**ATTACHMENT C-A.1**  
**DOCUMENTATION OF LEGAL NAME - RELATED PARTIES**

Microfilm Number 2003034-1625

Filed with the Department of State on APR 21 2003

Entity Number 3139072

ACTING Pedro C. Contes  
Secretary of the Commonwealth

ARTICLES OF INCORPORATION-FOR PROFIT  
OF  
MARK CONSTRUCTION SERVICES, INC.  
Name of Corporation  
A TYPE OF CORPORATION INDICATED BELOW

Indicate type of domestic corporation:

- Business-stock (15 Pa.C.S. § 1306)                       Management (15 Pa.C.S. § 2702)
- Business-nonstock (15 Pa.C.S. § 2102)                       Professional (15 Pa.C.S. § 2903)
- Business-statutory close (15 Pa.C.S. § 2303)                       Insurance (15 Pa.C.S. § 3101)
- Cooperative (15 Pa.C.S. § 7102)

DSCB:15-1306/2102/2303/2702/2903/3101/7102A (Rev 91)

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations) the undersigned, desiring to incorporate a corporation for profit hereby, state(s) that:

The name of the corporation is: MARK CONSTRUCTION SERVICES, INC.

2. The (a) address of this corporation's initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) 705 School Street                      Moosic,                      Pennsylvania,                      18507                      Lackawanna  
Number and Street                      City                      State                      Zip                      County

(b) c/o: \_\_\_\_\_  
Name of Commercial Registered Office Provider                      County

For a corporation represented by a commercial registered office provider, the county in (b) shall be deemed the county in which the corporation is located for venue and official publication purposes.

3. The corporation is incorporated under the provisions of the Business Corporation Law of 1988.

i. The aggregate number of shares authorized is: 1,000 shares, \$1.00 par value (other provisions, if any, attach 8 1/2 x 11 sheet)

ii. The name and address, including number and street, if any, of each incorporator is:

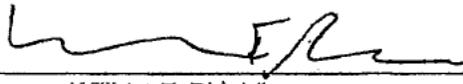
Name	Address
<u>William F. Rinaldi</u>	<u>705 School Street, Moosic, PA 18507</u>

specified effective date, if any, is: January 1, 2003  
month                      day                      year                      hour, if any

2003034-1626

7. Additional provisions of the articles, if any, attach an 8 1/2 x 11 sheet.
8. **Statutory close corporation only:** Neither the corporation nor any shareholder shall make an offering of any of its shares of any class that would constitute a "public offering" within the meaning of the Securities Act of 1933 (15 U.S.C. § 77a et seq.).
9. **Cooperative corporations only:** (Complete and strike out inapplicable term) The common bond of membership among its members/shareholders is: \_\_\_\_\_

IN TESTIMONY WHEREOF, the incorporator(s) has (have) signed these Articles of Incorporation this 16th day of April, 2003.

  
\_\_\_\_\_  
William F. Rinaldi (Signature)



COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
CORPORATION BUREAU  
206 NORTH OFFICE BUILDING  
P. O. BOX 8722  
HARRISBURG, PA 17105-8722  
WWW.DOS.STATE.PA.US/CORPS

**MARK CONSTRUCTION SERVICES, INC.**

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. PLEASE NOTE THE FILE DATE AND THE SIGNATURE OF THE SECRETARY OF THE COMMONWEALTH. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.DOS.STATE.PA.US/CORPS OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER : 3139072

MICROFILM NUMBER : 2003034

MICROFILM START -END : 1625 - 1626

RAYMOND C. RINALDI  
520 SPRUCE STREET  
PO BOX 826  
SCRANTON PA 18501 -



# Application for Employer Identification Number

Department of the Treasury  
Internal Revenue Service

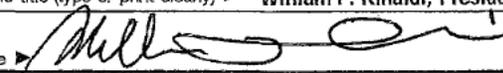
(For use by employers, corporations, partnerships, trusts, estates, churches, government agencies, Indian tribal entities, certain individuals, and others.)

EIN

06-1830967

▶ See separate instructions for each line. ▶ Keep a copy for your records.

Type or print clearly.	1 Legal name of entity (or individual) for whom the EIN is being requested <b>HC Associates, Inc.</b>	
	2 Trade name of business (if different from name on line 1)	3 Executor, administrator, trustee, "care of" name
	4a Mailing address (room, apt., suite no. and street, or P.O. box) <b>400 4th Street</b>	5a Street address (if different) (Do not enter a P.O. box.)
	4b City, state, and ZIP code (if foreign, see instructions) <b>Mosic, PA 18507</b>	5b City, state, and ZIP code (if foreign, see instructions)
	6 County and state where principal business is located <b>Lackawanna PA</b>	
	7a Name of principal officer, general partner, grantor, owner, or trustee <b>William F. Rinaldi</b>	7b SSN, ITIN, or EIN
8a Is this application for a limited liability company (LLC) (or a foreign equivalent)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		8b If 8a is "Yes," enter the number of LLC members
8c If 8a is "Yes," was the LLC organized in the United States? <input type="checkbox"/> Yes <input type="checkbox"/> No		
9a Type of entity (check only one box). <b>Caution.</b> If 8a is "Yes," see the instructions for the correct box to check.		
<input type="checkbox"/> Sole proprietor (SSN) _____ <input type="checkbox"/> Partnership _____ <input checked="" type="checkbox"/> Corporation (enter form number to be filed) ▶ <b>1120S</b> <input type="checkbox"/> Personal service corporation _____ <input type="checkbox"/> Church or church-controlled organization _____ <input type="checkbox"/> Other nonprofit organization (specify) ▶ _____ <input type="checkbox"/> Other (specify) ▶ _____		
<input type="checkbox"/> Estate (SSN of decedent) _____ <input type="checkbox"/> Plan administrator (TIN) _____ <input type="checkbox"/> Trust (TIN of grantor) _____ <input type="checkbox"/> National Guard <input type="checkbox"/> State/local government <input type="checkbox"/> Farmers' cooperative <input type="checkbox"/> Federal government/military <input type="checkbox"/> REMIC <input type="checkbox"/> Indian tribal governments/enterprises Group Exemption Number (GEN) if any ▶ _____		
9b If a corporation, name the state or foreign country (if applicable) where incorporated	State <b>PA</b>	Foreign country
10 Reason for applying (check only one box)		
<input checked="" type="checkbox"/> Started new business (specify type) ▶ <b>PA Corporation</b> <input type="checkbox"/> Hired employees (Check the box and see line 13.) <input type="checkbox"/> Compliance with IRS withholding regulations <input type="checkbox"/> Other (specify) ▶ _____		
<input type="checkbox"/> Banking purpose (specify purpose) ▶ _____ <input type="checkbox"/> Changed type of organization (specify new type) ▶ _____ <input type="checkbox"/> Purchased going business <input type="checkbox"/> Created a trust (specify type) ▶ _____ <input type="checkbox"/> Created a pension plan (specify type) ▶ _____		
11 Date business started or acquired (month, day, year). See instructions. <b>10/22/2007</b>	12 Closing month of accounting year <b>December</b>	
13 Highest number of employees expected in the next 12 months (enter -0- if none).		
Agricultural <b>0</b>	Household <b>0</b>	Other <b>0</b>
15 First date wages or annuities were paid (month, day, year). <b>Note.</b> If applicant is a withholding agent, enter date income will first be paid to nonresident alien (month, day, year) . . . . . ▶ <b>01/01/2008</b>		14 Do you expect your employment tax liability to be \$1,000 or less in a full calendar year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (If you expect to pay \$4,000 or less in total wages in a full calendar year, you can mark "Yes.")
16 Check one box that best describes the principal activity of your business.		
<input type="checkbox"/> Construction <input type="checkbox"/> Rental & leasing <input checked="" type="checkbox"/> Transportation & warehousing <input type="checkbox"/> Health care & social assistance <input type="checkbox"/> Wholesale-agent/broker <input type="checkbox"/> Real estate <input type="checkbox"/> Manufacturing <input type="checkbox"/> Finance & insurance <input type="checkbox"/> Accommodation & food service <input type="checkbox"/> Wholesale-other <input type="checkbox"/> Retail <input type="checkbox"/> Other (specify) _____		
17 Indicate principal line of merchandise sold, specific construction work done, products produced, or services provided. <b>Transportation services</b>		
18 Has the applicant entity shown on line 1 ever applied for and received an EIN? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," write previous EIN here ▶ _____		

<b>Third Party Designee</b>	Complete this section only if you want to authorize the named individual to receive the entity's EIN and answer questions about the completion of this form.	
	Designee's name <b>Raymond C. Rinaldi II Rinaldi &amp; Poveromo, P.C.</b>	Designee's telephone number (include area code) <b>( 570 ) 346-7441</b>
	Address and ZIP code <b>520 Spruce Street, P.O. Box 826, Scranton, PA 18501-0826</b>	Designee's fax number (include area code) <b>( 570 ) 346-8170</b>
Under penalties of perjury, I declare that I have examined this application, and to the best of my knowledge and belief, it is true, correct, and complete.		Applicant's telephone number (include area code) <b>( 570 ) 346-7441</b>
Name and title (type or print clearly) ▶ <b>William F. Rinaldi, President</b>		Applicant's fax number (include area code) <b>( )</b>
Signature ▶ 	Date ▶ <b>10/22/07</b>	

COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
CORPORATION BUREAU  
206 NORTH OFFICE BUILDING  
P.O. BOX 8722  
HARRISBURG, PA 17105-8722  
[WWW.CORPORATIONS.STATE.PA.US/CORP](http://WWW.CORPORATIONS.STATE.PA.US/CORP)

HC Associates, Inc.

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT [WWW.CORPORATIONS.STATE.PA.US/CORP](http://WWW.CORPORATIONS.STATE.PA.US/CORP) OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 3763795

Rinaldi & Poveromo PC  
PO Box 826  
Scranton, PA 18501

PENNSYLVANIA DEPARTMENT OF STATE  
CORPORATION BUREAU

Articles of Incorporation-For Profit

(15 Pa.C.S.)

Entity Number

- Business-stock (§ 1306)       Management (§ 2703)  
 Business-nonstock (§ 2102)       Professional (§ 2903)  
 Business-statutory close (§ 2303)       Insurance (§ 3101)  
 Cooperative (§ 7102)

Name

Raymond C. Rinaldi, II, Esq. Rinaldi & Poveromo, P.C.

Address

P.O. Box 826      520 Spruce Street

City

Scranton,

State

PA

Zip Code

18501-0826

Document will be returned to the  
name and address you enter to  
the left.

←

Fee: \$125

Commonwealth of Pennsylvania  
ARTICLES OF INCORPORATION 3 Page(s)



T0729765068

In compliance with the requirements of the applicable provisions (relating to corporations and unincorporated associations), the undersigned, desiring to incorporate a corporation for profit, hereby states that:

1. The name of the corporation (*corporate designator required, i.e., "corporation", "incorporated", "limited" "company" or any abbreviation. "Professional corporation" or "P.C."*):

**HC Associates, Inc.**

2. The (a) address of this corporation's current registered office in this Commonwealth (*post office box, alone, is not acceptable*) or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and Street	City	State	Zip	County
<b>400 4th Street</b>	<b>Moosic</b>	<b>PA</b>	<b>18507</b>	<b>Lackawanna</b>

(b) Name of Commercial Registered Office Provider      County

c/o:

3. The corporation is incorporated under the provisions of the Business Corporation Law of 1988.

4. The aggregate number of shares authorized: **1,000 shares, \$1.00 par value**

PA DEPT. OF STATE

OCT 22 2007

5. The name and address, including number and street, if any, of each incorporator (*all incorporators must sign below*):

Name

**William F. Rinaldi**

Address

**400 4th Street, Moosic, PA 18507**

6. The specified effective date, if any: \_\_\_\_\_  
month/day/year hour, if any

7. Additional provisions of the articles, if any, attach an 8½ by 11 sheet.

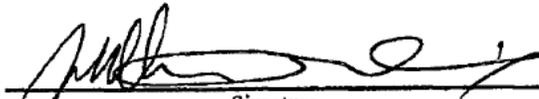
8. *Statutory close corporation only:* Neither the corporation nor any shareholder shall make an offering of any of its shares of any class that would constitute a "public offering" within the meaning of the Securities Act of 1933 (15 U.S.C. 77a et seq.)

9. *Cooperative corporations only: Complete and strike out inapplicable term:*

~~The common bond of membership among its members/shareholders is: \_\_\_\_\_~~

IN TESTIMONY WHEREOF, the incorporator(s)  
has/have signed these Articles of Incorporation this

19th day of October, 2007.



Signature

Signature

PENNSYLVANIA DEPARTMENT OF STATE  
CORPORATION BUREAU

Entity Number

030 6090

Certificate of Organization  
Domestic Limited Liability Company  
(15 Pa.C.S. § 8913)

Name

Rosini, Jenkins & Greenwald, L.L.P.

Address

15 South Franklin Street

City

Wilkes-Barre

State

PA

Zip Code

18711-0075

Documents will be returned to the name and address you enter to the left.

Fee: \$125

Filed in the Department of State on MAY - 9 2005

*Richard C. Cantas*

Secretary of the Commonwealth

In compliance with the requirements of 15 Pa.C.S. § 8913 (relating to certificates of organization), the undersigned desiring to organize a limited liability company, hereby certifies that:

1. The name of the limited liability company (designator is required, i.e., "company", "limited" or "limited liability company" or abbreviation):  
Hazleton Civic Properties, LLC

2. The (a) address of the limited liability company's initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and Street City State Zip County  
580 Third Avenue, PO Box 1389 Kingston PA 18704 Luzerne

(b) Name of Commercial Registered Office Provider County  
/b/

3. The name and address, including street and number, if any, of each organizer (all organizers must sign on page 3):

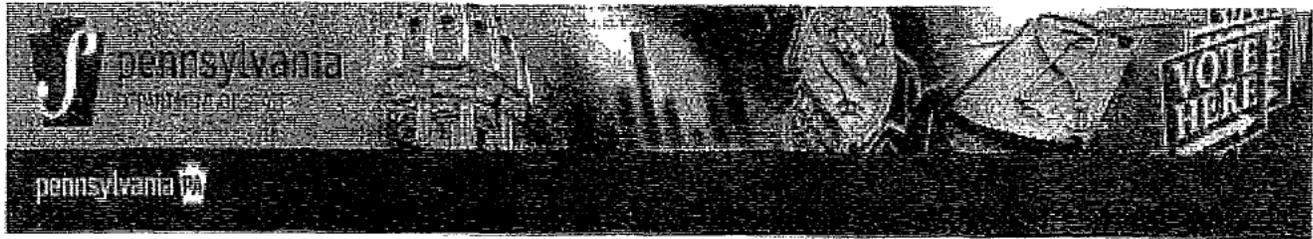
Name Address  
James L. Smith c/o Rosani, Jenkins & Greenwald, L.L.P.

15 S. Franklin St., Wilkes-Barre, PA, 18711-0075

ADDITIONAL PROVISIONS PAGE  
TO  
CERTIFICATE OF ORGANIZATION  
FOR  
HAZLETON CREEK PROPERTIES, LLC

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8a. Hazleton Creek Properties, LLC shall have perpetual existence.



## Corporations

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### Business Entity Filing History

**Date:** 10/21/2013  
 (Select the link above to view the Business Entity's Filing History)

#### Business Name History

Name	Name Type
HAZLETON CREEK PROPERTIES, LLC	Current Name

#### Limited Liability Company - Domestic - Information

**Entity Number:** 3305090  
**Status:** Active  
**Entity Creation Date:** 5/9/2005  
**State of Business.:** PA  
**Registered Office Address:** 4000 4Th St  
 Moosic PA 18507  
 Lackawanna  
**Mailing Address:** No Address

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**ATTACHMENT C-B.1**  
**SHAREHOLDERS/ STOCKHOLDERS**

**ATTACHMENT C-B.1**  
**SHAREHOLDERS/STOCKHOLDERS**

- 1.a William Rinaldi owns 100% of the stock in North East Waste Systems, LLC.
- 1.b North East Waste Systems, LLC does not have any domestic or foreign parent corporation and has no domestic or foreign subsidiary corporations.
- 1.c William Rinaldi the sole stockholder and principal in North East Waste Systems, LLC is not currently but previously was a principal in other entities that have committed violations of the Environmental Protection Acts in the last ten (10) years. These entities are identified in Attachment C-B.6 and Attachment C-D.

**ATTACHMENT C-B.2**  
**PRINCIPALS /CORPORATE OFFICERS**

**ATTACHMENT C-B.2**  
**PRINCIPALS**

B.2 William Rinaldi, Manager  
4000 4<sup>th</sup> Street  
Moosic, PA 18507

**ATTACHMENT C-B.4**  
**PROPERTY OWNERS / CONTIGUOUS PROPERTY OWNERS**

**ATTACHMENT C-B.4**  
**PROPERTY OWNERS / ADJACENT PROPERTY OWNERS**

**Property Owner**

The current owner of the surface and subsurface of the approximately 10-acre site located at 455 East Broad St., Hazleton, Pennsylvania where the North East Waste Systems, LLC Transfer Facility permit boundary is located is:

Pagnotti Enterprises, Inc.  
c/o David Swisher, Esquire  
144 Brown Road  
Yatesville, PA 18640

As part of the transfer facility permit application a Form E: Consent of Landowner form signed by Pagnotti Enterprises, Inc. authorizing North East Waste Systems, LLC to conduct solid waste activities on this parcel will be included. Inter Port Commerce Center, Inc. is in the process of acquiring and subdividing this portion of the property from Pagnotti Enterprises which will then lease it to North East Waste Systems, LLC to construct and operate the transfer facility upon approval of required permits.

**Contiguous Landowners**

The owners of record of the surface and subsurface of the properties within and contiguous to the permit area of the proposed transfer facility are:

Pagnotti Enterprises  
c/o David Swisher, Esquire  
144 Brown Road  
Yatesville, PA 18640  
Deed Book 1531  
Deed Page 177

Pennsylvania Lines LLC  
c/o Norfolk Southern Corp.  
650 West Peachtree Street NW  
Atlanta, Georgia 30308  
Deed Book 2711  
Deed Page 1020

Inter Port Commerce Center, Inc. will also be a contiguous landowner upon acquisition and subdivision of a portion of the Pagnotti properties.

Inter Port Commerce Center, Inc.  
4000 4th Street  
Moosic, PA 18507

## **Leasehold Interest**

Inter Port Commerce Center, Inc. as the land developer, is in the process of obtaining a portion of the property from Pagnotti Enterprises that encompasses a larger area including the proposed permit area. Upon completion of the acquisition and subdivision, North East Waste Systems, LLC will have a lease agreement with Inter Port Commerce Center, Inc. that authorizes the solid waste activities, the construction of the transfer facility and for related sewer, water, and land development services.

**ATTACHMENT C-B.6**  
**BENEFICIAL INTEREST IN OTHER ENTITIES**

**ATTACHMENT C-B.6**  
**BENEFICIAL INTEREST IN OTHER ENTITIES**

C.6a – 6b:

William Rinaldi is the Manager and sole stockholder in North East Waste Systems, LLC. At the current time, Mr. Rinaldi does not have a beneficial interest in or management control of another entity or related party that is engaged in the business of solid waste collection, transportation, storage, processing, treatment, or disposal. The other entity is:

HC Associates, Inc.  
400 4<sup>th</sup> Street  
Moosic, PA 18507  
EIN: 06-1830967

Mr. Rinaldi is President of HC Associates, Inc. He is the sole stockholder. There are no other principals or officers or directors. HC Associates has no environmental permits. HC Associates, Inc. is a consultant to Hazelton Creek Properties, LLC for the purpose of identifying sources of Clean Fill and Regulated Fill and performing testing and preparing request to DEP to accept Regulated Fill under WMGR096NE001 at the HCP site in Hazleton, Pennsylvania.

**Previous Entities involved in solid waste management in last ten years**

In the last ten years, Mr. Rinaldi did not have either a beneficial interest in or management control of other entities that were engaged in the business of solid waste collection, transportation, storage, processing, treatment, or disposal. However, Mr. Rinaldi does not currently have any beneficial interest, ownership, or management role in these entities at the present time. The following is a listing of these entities and the date in which Mr. Rinaldi's interest in them was terminated:

**Hazleton Creek Properties, LLC**  
2747 Avenue Du Village  
Bethlehem, PA 1805  
EIN # 202858475

Mr. Rinaldi's ownership of stock in Hazleton Creek Properties, LLC (HCP) terminated on October 1, 2013 when 100% of the stock in HCP was purchased by Tunnel Hill, Partners, LP. Mr. Rinaldi continued to have a management role in HCP as a board member of Tunnel Hill Partners until October 2018.

**Tunnel Hill Partners, LP**  
90 Arboretum Drive  
Suite 300  
Portsmouth, NH 03801  
EIN # 36-4632736

Mr. Rinaldi was a board member of Tunnel Hill Partners, LP (THP) from 2013 till the end of 2018. As such, he had a beneficial interest in or a management role on all solid waste transportation, transfer, processing, and disposal facilities owned by THP until the end of 2018. With the sale of THP to the Macquarie Group / Wheelabrator in December 2018, Mr. Rinaldi's role in these entities was terminated immediately.

**Envirowaste, LLC**

35 Fox Hollow Road  
Dallas, PA 18612  
EIN #47-1156018

Mr. Rinaldi was the sole stockholder in Envirowaste, LLC from 2014 until December 2018. Envirowaste, LLC operates under a Beneficial use General Permit WMGM043SE001 and an MSW and C&D Transfer Facility No. 101710 at 13 Pattison Avenue, Philadelphia, PA 19148-5607. In December 2018, 100% of the stock was sold to Gold Medal Environmental. Mr. Rinaldi had no ownership of or management control over Envirowaste, LLC after that date.

**FMRA, Inc. (Inactive)**

580 Third Avenue  
P.O. Box 1389  
Kingston, PA 18704  
EIN # 204275652

**Fort Mifflin Reclamation Associates, Inc. (Inactive)**

580 Third Avenue  
P.O. Box 1389  
Kingston, PA 18704  
EIN # 203684199

William Rinaldi, Manager of North East Waste Systems, LLC, was a stockholder and principal in FMRA, Inc. FMRA currently does not hold any individual or general permits authorizing solid waste activities. However, until July 19, 2013 FMRA had a Transfer Facility Permit No. 101687 issued by DEP on February 15, 2007 as modified on July 14, 2008 and May 15, 2013. The permit was deemed automatically void on July 19, 2013 under §271.211 (e) of the Departments regulations.

William Rinaldi was stockholder in Fort Mifflin Reclamation Associates, Inc. This corporation is inactive and does not currently hold any individual or general permits authorizing solid waste activities. However, it previously had contracts with the US Army Corps of Engineers authorizing the excavation of dredge material from the Fort Mifflin Confined Disposal Facility (CDF) under a permit-by-rule approval from DEP for this captive processing activity from 2006-2011.

**Mark Construction Services, Inc.**

4000 4<sup>th</sup> Street

Moosic, PA 18507

EIN # 20-0788095

William Rinaldi is the sole stockholder of Mark Construction Services, Inc. Mark Construction Services, Inc. does not conduct any solid waste activities. However, Mark Construction Services, Inc. operated a rock crusher and trommel screen for HCP at the Hazleton Remediation / Reclamation Project site under Air Quality General Permits GP3-40-023 and GP9-40-023 issued to Mark Construction Services until 2018. The crusher was then removed to a new site in Moosic, PA. The Air Quality GP-3 and GP-9 permits remain active.

All related parties to Tunnel Hill Partners, LP conducting waste activities are listed on the following page.

**Form HW-C**  
**Hazleton Creek Properties, LLC**  
**Attachment C-B.6**  
**Tunnel Hill Partners, LP**

**Tunnel Hill Partners, LP**

Set forth below, in tabular form, are the places of business where waste handling activities are conducted by HCP or THP subsidiaries:

<b>Entity</b>	<b>Address</b>
Hazleton Creek Properties, LLC	South Church Street Hazleton, PA 18201
Tunnel Hill Reclamation, LLC	8822 Tunnel Hill Rd. New Lexington, OH 43764
Coastal Distribution, LLC	1633 New Highway Farmingdale, NY 11735
Coastal Distribution of Paterson, LLC	30-36 4 <sup>th</sup> Ave. Paterson, NJ 07524
One World Recycling, LLC	685 North Queens Ave. Lindenhurst, NY 11757
Environmental Transload Services, LLC	100 Oakpoint Ave Bronx, NY 10474
Sunny Farms Landfill, LLC	12500 West County Road 18 Fostoria, OH 44830
Champlon City Recovery, LLC	138 Wilder Street Brockton, MA 02301
Stoughton Recycling Technologies	100 Page Street Stoughton, MA 02072
City Carting, Inc.	8 Viaduct Rd Stamford, CT 06907
City Carting - Milford	221 Old Gate Lane Milford, CT 06460
City Carting - Norwalk	18 Meadow Street Norwalk, CT 06854
City Carting- Somers	241 Route 100 Somers, NY 10536
City Carting – Taylor Reed	61 Taylor Reed Place Stamford, CT 06906

**ATTACHMENT C-C.1  
APPLICANTS PLACES OF BUSINESS**

**ATTACHMENT C-C.1**  
**APPLICANTS PLACES OF BUSINESS OR TERMINALS**

C.1 North East Waste Systems, LLC does not currently conduct any solid waste management activities and has never been issued any environmental permits. The only current related party is HCA Associates, Inc. which is a consultant to Hazleton Creek Properties, LLC assisting HCP to identify sources of Regulated Fill and Clean Fill and to conduct due diligence and testing of these sources for placement at the HCP site under WMGR096NE001 and the DEP Management of Fill Policy. Hazleton Creek Properties, LLC ceased to be a relate party in December 2018. Please note Mark Construction Services is not a related party under C-C.1 since it does not conduct solid waste activities.

**North East Waste Systems, LLC**  
4000 4<sup>th</sup> Street  
Moosic, PA 18507  
EIN #882131966

**HC Associates, Inc.**  
4000 4<sup>th</sup> Street  
Moosic, PA 18507  
EIN #185429000

**Hazleton Creek Properties, LLC**  
4000, 4<sup>th</sup> Street  
Moosic, PA, 18507  
EIN #202858475

The location of the Hazleton Remediation /Reclamation project where waste activities occur is:

232 S. Church Street  
Hazleton, PA 18201

**Previous Related Parties places of business and terminals**

The following are entities that were previously related parties during the last ten (10) years (from 2012-2018) but are no longer related parties to Mr. Rinaldi as the term is defined under the Form HW-C:

**Envirowaste, LLC**  
35 Fox Hollow Drive  
Dallas, PA 18612

Mr. William Rinaldi owned 100% of the stock in Envirowaste, LLC until December 2018 when the stock was sold to Gold Medal Environmental. Envirowaste, LLC is not a related party to Mr. Rinaldi after this date.

The location of the Envirowaste C&D Beneficial Use Facility under WMGM043SE001 and the MSW Transfer Facility Permit No. 101710 is:

13 Pattison Avenue  
Philadelphia, PA 19148-5607

**FMRA, Inc. and Fort Mifflin Reclamation Associates, Inc.** share the same corporate address:

580 Third Avenue  
P.O. Box 1389  
Kingston, PA 18704

These corporations are inactive, do not have any active environmental permits and have not conducted solid waste activities in the last 10 years. Therefore, they are no longer a related party to Mr. Rinaldi as that is defined under the Form HW-C.

The previous locations of the waste activities of FMRA, Inc. (permit void) and Fort Mifflin Reclamation Associates, Inc. (in-active) were as follows:

Fort Mifflin Transfer Facility (FMRA, Inc.  
Camden / Ft. Mifflin site  
Hog Island Road  
Philadelphia, PA (no mailing address for site)  
Permit # 101687

Fort Mifflin Confined Disposal Facility (Fort Mifflin Reclamation Associates, Inc.)  
US Army Corps of Engineers (Philadelphia District.)  
8402 Island Avenue  
Philadelphia, PA  
Permit-By-Rule, Captive Processing Facility

**Tunnel Hill Partners, LP**  
390 North Broadway  
Jericho, New York 11753

Tunnel Hill Partners, LP purchased 100 % of the stock in HCP on October 1, 2013. Effective in December 2018, Tunnel Hill Partners, LP was no longer a related party to Mr. Rinaldi since he was no longer a member of the board and did not have any beneficial interest or management control over Tunnel Hill Partners or its solid waste management facilities.

From 2013 till December 2018 the places of business of Tunnel Hill Partners, LP where solid waste activities occurred are attached.

**Form HW-C  
 Hazleton Creek Properties, LLC  
 Attachment C-C.1  
 Tunnel Hill Partners, LP**

**Tunnel Hill Partners, LP**

Set forth below, in tabular form, are the places of business activities are conducted by HCP or THP subsidiaries:

Entity	Address
Tunnel Hill Partners, LP	390 North Broadway, Ste. 220 Jericho, NY 11753
Hazleton Creek Properties, LLC	South Church Street Hazleton, PA 18201
Tunnel Hill Reclamation, LLC	8822 Tunnel Hill Rd. New Lexington, OH 43764
Coastal Distribution, LLC	1633 New Highway Farmingdale, NY 11735
Coastal Distribution of Paterson, LLC	30-36 4 <sup>th</sup> Ave. Paterson, NJ 07524
One World Recycling, LLC	685 North Queens Ave. Lindenhurst, NY 11757
Environmental Transload Services, LLC	100 Oakpoint Ave Bronx, NY 10474
Sunny Farms Landfill, LLC	12500 West County Road 18 Fostoria, OH 44830
Champion City Recovery, LLC	138 Wilder Street Brockton, MA 02301
Stoughton Recycling Technologies	100 Page Street Stoughton, MA 02072
City Carting, Inc.	8 Viaduct Rd Stamford, CT 06907
City Carting - Milford	221 Old Gate Lane Milford, CT 06460
City Carting - Norwalk	18 Meadow Street Norwalk, CT 06854
City Carting- Somers	241 Route 100 Somers, NY 10536
City Carting – Taylor Reed	61 Taylor Reed Place Stamford, CT 06906

**FORM HW-C  
 Hazleton Creek Properties, LLC**

**Appendix C-C.2**

Below are all permits or licenses issued by any state or federal agency under the Environmental Protection Acts to Tunnel Hill Partners, LP (THP) and its subsidiaries that are currently in effect or have been in effect

**ATTACHMENT C-C.2  
PERMITS AND LICENSES**

## **ATTACHMENT C.2 PERMITS/LICENSES**

C.2 The applicant North East Waste Systems, LLC has not had any permits issued by DEP or any other state or federal agency under the Environmental Protection Acts as that term is defined in the Form HW-C. There are only two current related parties to North East Waste Systems, LLC.: Mark Construction Services, LLC and HCA Associates, Inc.

One current related party, Mark Construction Services, Inc., has active Air Quality General Permits GP-3 and GP-9 in effect for a crusher with a diesel engine at two locations in Moosic, PA.

Mark Construction Services, Inc. – Air Quality GP-3 and GP-9 permits  
General Permit No. GP9-35-020 issued by DEP on September 17, 2019 and General Permit No. GP-3-35-021 issued by DEP on September 17, 2019  
4000 4<sup>th</sup> Street  
Moosic, PA 18507

HCA Associates has had no environmental permits or licenses issued to it. Since HCA provides solid waste consulting services to Hazleton Creek Properties, LLC (HCP) at the Hazleton site, permits and licenses issued to HCP are including with this submittal.

Hazleton Creek Properties, LLC (HCP) is a currant related party solely because William Rinaldi is the sole stockholder in HCA Associates, Inc. that provides environmental consulting services to HCP at the HCP site. HCA Associates has never had any environmental permits or licenses issued to it under the Environmental Protection Acts.

Mr. Rinaldi during the last 10 years from 2012 -2018 had either a beneficial interest in or management responsibilities for Hazleton Creek Properties, LLC. William Rinaldi ceased being a stockholder in HCP on October 1, 2013. Mr. Rinaldi ceased having management responsibilities in December 2018

In addition to the environmental permits issued to Hazleton Creek Properties, LLC (HCP), William Rinaldi was the sole owner of Envirowaste, LLC from 2014 till December 2018 which had been issued solid waste permits for a combined C & D Beneficial Use Processing Facility and MSW Transfer Facility Permit No 101710 located in Philadelphia. The facility and permits were sold to Gold Medal Environmental in December 2018.

Envirowaste, LLC C& D Beneficial Use Processing Facility  
13 Pattison Avenue  
Philadelphia, PA 19148  
Permit No: WMGM043SE001

Envirowaste, LLC MSW Transfer Facility  
13 Pattison Avenue  
Philadelphia, PA 19148  
Permit No. 101710

## Permits Issued to Hazleton Creek Properties, LLC

William Rinaldi was a stockholder in HCP until October 1, 2013 when the stock was purchased by Tunnel Hill Partners, LP. (THP). Mr. Rinaldi at that time acquired a beneficial interest in THP, became a member of the board of THP and had management responsibilities at HCP and for all other solid waste facilities owned by THP. Mr. Rinaldi's beneficial interest in THP and his management responsibilities for all solid waste facilities ceased in December 2018.

HCP has been issued four (4) DEP Statewide Beneficial Use General Permits for use at the Hazleton, Pennsylvania site for site remediation purposes as follows:

### WMGR085D001

Determination of Applicability (DOA) under Statewide General Permit WMGR085

Issued: October 5, 2005 Renewed: February 24, 2014. **Terminated** by DEP on April 15, 2021 at the request of HCP

### WMGR096NE001

Determination of Applicability under Statewide General Permit WMGR096

Issued: July 30, 2006 Renewed: December 24, 2013 Expiration: December 23, 2018. Permit renewal application submitted to DEP in June 2018. By letter of DEP WMGR096NE001 remains active until the pending permit renewal application is issued by DEP

WMGR097R011 Registration under Statewide General Permit WMGR097. **Terminated** March 19, 2014 at the request of HCP))

### WMGR125 Statewide Beneficial Use General Permit

Issued: December 10, 2010 **Expired** December 10, 2020

In addition to the General Permits, HCP had been issued other permits/approvals by DEP for this remediation/reclamation project which include:

- NPDES Stormwater Construction Permit (PAG-2 154006001) and associated approved Phase I, Phase II and Phase III Erosion & Sedimentation control Plan. Renewed December 19, 2014. **Terminated** when DEP Issued a new Individual NPDES Stormwater Construction Permit PAD400035 to HCP on October 7, 2020. NPDES Individual Stormwater Construction Permit issued to HCP on May 20, 2021 PAD400035 A-1.
- Air Quality and General Plan Approval (Permit No. 40-329-003GP9) for rock crusher and associated RFD approval. Renewed June 13, 2017. **Terminated** in 2017 when the crusher was removed from the HCP site. Re-permitted in 2017 and 2019 by Mark Construction Services, Inc. (GP-9 35-020 and GP-3-35-021) for two sites in Moosic, PA.
- Coal Ash Placement Area Plan-Unit A approval from Pottsville District Mine Office July 30, 2007. Renewed January 10, 2013. **Terminated** September 21, 2021.
- SRBC Water-Withdrawal / Consumptive Use Permit. Issued by Susquehanna River Basin Commission (SRBC) March 30, 2012.

### **Other Permits No Longer In Effect**

William Rinaldi also had an ownership interest in a Transfer Facility Permit (Permit No. 101687) for a construction / demolition transfer facility located at Fort Mifflin in the City of Philadelphia. FMRA, Inc. The facility was never built. William Rinaldi also had a Permit-BY-Rule (PBR) approval from DEP for a captive dredge material rail loading operation on the USACE and Camden site in Philadelphia until 2011 under Fort Mifflin Reclamation Associates, Inc. Both entities are inactive.

Effective July 19, 2014, the permit was deemed automatically void under §271.211 (e) due to fact that the facility was not constructed and did not accept waste within the five (5) year limit established by the regulation. The PBR is also no longer in effect.

**TUNNEL HILL PARTNERS, LP AND RELATED PARTIES  
PERMITS AND LICENSES**

**FORM HW-C**  
**Hazleton Creek Properties, LLC**

**Appendix C-C.2**

Below are all permits or licenses issued by any state or federal agency under the Environmental Protection Acts to Tunnel Hill Partners, LP (THP) and its subsidiaries that are currently in effect or have been in effect at any time in the ten years. This list includes the type of permit or license, permit or license number, location, address, issuance date and expiration date.

**Tunnel Hill Reclamation LLC**

<b>Address:</b>	8822 Tunnel Hill Rd, New Lexington, OH 43764
<b>Type of Permit or License</b>	Solid Waste Facility License Municipal Solid Waste Landfill-1
<b>Permit or License No.</b>	OH EPA: CID 272650
<b>Location</b>	New Lexington, Perry County, Ohio
<b>Issuance Date</b>	January 1, 2018
<b>Expiration</b>	December 31, 2018
<b>Address:</b>	8822 Tunnel Hill Rd, New Lexington, OH 43764
<b>Type of Permit or License</b>	Authorization to Discharge Under the National Pollutant Discharge Elimination System
<b>Permit or License No.</b>	OH EPA: OH0128147
<b>Location</b>	New Lexington, Perry County, Ohio
<b>Issuance Date</b>	March 1, 2018
<b>Expiration</b>	February 28, 2023
<b>Address:</b>	8822 Tunnel Hill Rd, New Lexington, OH 43764
<b>Type of Permit or License</b>	Final Air Pollution Control Title V Permit
<b>Permit or License No.</b>	OH EPA: P0090323
<b>Location</b>	New Lexington, Perry County, Ohio
<b>Issuance Date</b>	February 8, 2018
<b>Expiration</b>	February 8, 2023
<b>Address:</b>	8822 Tunnel Hill Rd, New Lexington, OH 43764
<b>Type of Permit or License</b>	Storm Water Discharges Permit
<b>Permit or License No.</b>	OH EPA: OHC000004
<b>Location</b>	New Lexington, Perry County, Ohio
<b>Issuance Date</b>	April 11, 2013
<b>Expiration</b>	April 20, 2018

**Sunny Farms Landfill LLC**

<b>Address:</b>	12500 West County Road 18 Fostoria, OH 44830
<b>Type of Permit or License</b>	Solid Waste Facility License Municipal Solid Waste Landfill-1
<b>Permit or License No.</b>	OH EPA: CID 37706
<b>Location</b>	Fostoria, Seneca County, Ohio
<b>Issuance Date</b>	January 1, 2018
<b>Expiration</b>	December 31, 2018

**ENVIRONMENTAL TRANSLOAD SERVICES, LLC**

<b>Address:</b>	100 Oakpoint Ave Bronx, NY 10474
<b>Type of Permit or License</b>	Registration for an Intermodal Solid Waste Container Facility
<b>Permit or License No.</b>	NYC DOS: 14-DS-INT-010
<b>Location</b>	Bronx, Bronx County, New York
<b>Issuance Date</b>	June 18, 2017
<b>Expiration</b>	June 18, 2018

<b>Address:</b>	100 Oakpoint Ave Bronx, NY 10474
<b>Type of Permit or License</b>	Intermodal Container Lid Facility
<b>Permit or License No.</b>	NYS DEC No. -2-6007-00882/00001-0
<b>Location</b>	Bronx, Bronx County, New York
<b>Issuance Date</b>	April 25, 2014
<b>Expiration</b>	April 24, 2019

**CHAMPION CITY RECOVERY, LLC**

<b>Address:</b>	138 Wilder Street Brockton, MA 02301
<b>Type of Permit or License</b>	Renewal to Operate a Construction & Demolition Waste Transfer Facility
<b>Permit or License No.</b>	MA DEP: X253294
<b>Location</b>	Brockton, Plymouth County, Massachusetts
<b>Issuance Date</b>	November 7, 2017
<b>Expiration</b>	June 16, 2022

<b>Address:</b>	138 Wilder Street Brockton, MA 02301
<b>Type of Permit or License</b>	Authorization to operate a Large Handling Facility - Renewal
<b>Permit or License No.</b>	MA DEP: X253294

<b>Location</b>	Brockton, Plymouth County, Massachusetts
<b>Issuance Date</b>	February 13, 2018
<b>Expiration</b>	June 16, 2022

**STOUGHTON RECYCLING TECHNOLOGIES LLC.**

<b>Address:</b>	100 Page Street Stoughton, MA 02072
<b>Type of Permit or License</b>	ATO
<b>Permit or License No.</b>	MA DEP: X274127
<b>Location</b>	Stoughton, Norfolk County, Massachusetts
<b>Issuance Date</b>	December 1, 2017
<b>Expiration</b>	June 16, 2022

**WASTE MANAGEMENT OF CONNECTICUT INC.**

<b>Address:</b>	8-18 AND 30-32 Meadow St Norwalk, CT 06854
<b>Type of Permit or License</b>	Permit to Operate
<b>Permit or License No.</b>	CT DEEP: 1030914-PO
<b>Location</b>	Norwalk, Fairfield County, Connecticut
<b>Issuance Date</b>	November 3, 2011
<b>Expiration</b>	November 2, 2021

**CITY RECYCLING INC.**

<b>Address:</b>	61 Taylor Reed Place Stamford, CT 06906
<b>Type of Permit or License</b>	Permit to Construct and Operate
<b>Permit or License No.</b>	CT DEEP: 1350844-M/PO
<b>Location</b>	Stamford, Fairfield County, Connecticut
<b>Issuance Date</b>	June 30, 2014
<b>Expiration</b>	June 29, 2019

**WASTE CONVERSION TECNOLOGIES, INC.**

<b>Address:</b>	221 Old Gate Ln, Milford, CT 06460
<b>Type of Permit or License</b>	Permit to Construct and Operate
<b>Permit or License No.</b>	CT DEEP: 08401210-PCO
<b>Location</b>	Milford, New Haven County, Connecticut
<b>Issuance Date</b>	July 6, 2016
<b>Expiration</b>	July 5, 2026

**SOMERS SANITATION LLC**

<b>Address:</b>	241 Route 100 Somers, NY 10536
<b>Type of Permit or License</b>	DEC Permit

<b>Permit or License No.</b>	NYS DEC: 3-5552-00040-00005
<b>Location</b>	Somers, Westchester County, New York
<b>Issuance Date</b>	May 24, 2017
<b>Expiration</b>	May 23, 2022

**COASTAL DISTRIBUTION, LLC**

<b>Address:</b>	1633 New Highway Farmingdale, NY 11735
<b>Type of Permit or License</b>	Solid Waste Rail/Transfer Facility
<b>Permit or License No.</b>	NYS DEC: 1-4720-03667/00001
<b>Location</b>	Farmingdale, Suffolk County, New York
<b>Issuance Date</b>	June 19, 2015
<b>Expiration</b>	June 18, 2020

**ONE WORLD RECYCLING, LLC**

<b>Address:</b>	685 North Queens Ave Lindenhurst, NY 11757
<b>Type of Permit or License</b>	Solid Waste Rail/Transfer Facility
<b>Permit or License No.</b>	NYS DEC: 1-4720-01004/00006
<b>Location</b>	Lindenhurst, Suffolk County, New York
<b>Issuance Date</b>	September 19, 2016
<b>Expiration</b>	September 18, 2021

**COASTAL DISTRIBUTION OF PATERSON, LLC**

<b>Address:</b>	30-36 4th Avenue Paterson, NJ 07524
<b>Type of Permit or License</b>	Solid Waste Rail/Transfer Facility
<b>Permit or License No.</b>	NJ DEP: TRP160001
<b>Location</b>	Paterson, Passaic County, New Jersey
<b>Issuance Date</b>	February 22, 2018
<b>Expiration</b>	February 22, 2023

**ATTACHMENT C-D  
COMPLIANCE HISTORY**

## **ATTACHMENT C-D COMPLIANCE HISTORY**

North East Waste Systems, LLC is a newly formed entity, has never been issued any permits or licenses from DEP or other states under the Environmental Protection Acts and has no compliance history. William Rinaldi owns 100% of the stock in North East Waste Systems, LLC and North East Waste Systems, LLC has no other officers or directors.

Currently there are three (3) related parties to North East Waste Systems, LLC due to William Rinaldi's beneficial interest in, management responsibilities or solid waste services provided. These include, Mark Construction Services, Inc, HC Associates, Inc. and Hazleton Creek Properties, LLC. There have been no enforcement actions taken by DEP or other state, federal or county agencies under the Environmental Protection Acts against HC Associates, Inc.

There are also other entities that are no longer related parties to North East Waste Systems, LLC that were a related party during a portion of the last ten (years) due to William Rinaldi's beneficial interest in or management role in these companies that have either been involved with solid waste management activities, been issued permits or licenses under the Environmental Protection Acts or had enforcement actions taken for violations of the Environmental Protection Acts or terms and conditions of environmental permits.

William Rinaldi's beneficial interest in or management role in these entities and solid waste management facilities owned or operated by them ended in 2018. Therefore, the compliance history or enforcement actions associated with these companies included in the Form HW-C Attachment C-D are only for the period 2012-2018. Any enforcement actions taken after 2018 are not the responsibility of William Rinaldi.

The following are enforcement actions associated with Hazleton Creek Properties, LLC (HCP) and other related parties (Envirowaste, LLC, FMRA, and Mark Construction Services, Inc.) during the last 10 years as identified in the HWC. All enforcement actions related to Tunnel Hill Partners, LP (THP) during the time William Rinaldi was on the THP board (2013-2018) and in other companies involved in waste activities in which THP had an ownership interest during that time period are listed on a separate page.

Enforcement actions taken against Hazleton Creek Properties; LLC (HCP) are listed due to Mr. William Rinaldi's previous stock interest in HCP until October 30, 2013 and through 2018 when William Rinaldi's beneficial interest in THP and management control of HCP ceased.

Mark Construction Services, Inc. (MCS) is only listed due to the fact it operated a crusher and trammel screen at HCP under Air quality permits GP-3 and GP-9 until 2018. The crusher and diesel engine were re-permitted in 2017 and 2019 by DEP for operation at other locations. The Air NOV issued to MCS was not related to HCP and occurred at a different site.

Envirowaste, LLC operates under a C&D Beneficial Use General Permit WMGM043 and a MSW/C&D Transfer Facility Permit No. 101710 at a site located in Philadelphia, PA. William Rinaldi was the sole stockholder in Envirowaste until December 2018. Enforcement actions listed are only for the period 2014-2018 accordingly.

FMRA, Inc. (FMRA) in which Mr. Rinaldi is a stockholder and principal was issued a Transfer Facility Permit No. 101687 by DEP on February 15, 2007 as modified on July 14, 2008 and on May 15, 2013. The permit modification was revoked on appeal as a result of an Environmental Hearing Board (EHB) decision on March 19, 2014. THE EHB action effectively reinstated the previous permit modification of July 19, 2008 which was deemed automatically void by DEP on July 19, 2013 under §271.211 (e) of the Department's regulations. FMRA, Inc has no permits or licenses and is inactive.

Date	Location	Permit #	Issuing Agency	Type of Action	Nature of Violation	Disposition	Penalty
8/13/08	Hazleton	N/A	DEP	NOV	* see below	Resolved**	None
9/12/12	Hazleton	WMGR097	DEP	NOV	fugitive dust	Resolved***	None
6/19/13	FMRA	#101687	DEP	NOV	**** see below	Resolved	None
10/17/16	MCS	GP3-35-008	DEP	NOV	fugitive dust	Resolved#	None
7/7/17	Phila.	#101710	Phila. Air	NOV	fugitive dust	Resolved###	None
7/14/17	Phila.	#101710	Phila. Air	NOV	fugitive dust	Resolved###	\$1000
7/21/17	Phila.	#101710	Phila. Air	NOV	fugitive dust	Resolved###	None
9/12/17	Phila.	#101710	Phila. Air	NOV	fugitive dust	Resolved###	\$300

\*NOV issued to Hazleton Creek Properties, LLC for acceptance of material as Clean Fill that did not meet the definition of Clean Fill as contained in the DEP Management of Fill Policy and applicable statutes / regulations including Section 6018.610 (1), (2) and (9) of the PA Solid Waste Management Act (Act 97).

\*\* HCP provided written response to DEP NOV on August 25, 2008 documenting that the incident was attributable to a employee error of the off-site rail shipping location, the prompt removal of the material to authorized facility, that the incident did not pose any potential harm to the environment and identifying operational steps to prevent any further incident of this type in the future.

\*\*\* A DEP inspection report of a site inspection on August 31, 2012 claimed fugitive dust caused by earth disturbance on the Hazleton Creek Properties (HCP) site under Beneficial Use General Permit WMGR097 caused visible emissions at the property line of the site. An NOV was issued on 9/12/2012. HCP responded in writing to the inspection report and NOV on September 17, 2012 documenting that any off-site fugitive dust in the area was not attributable to HCP operations. A DEP follow-up inspection found no violations.

\*\*\*\* DEP issued a letter to FMRA, Inc. on June 19, 2013 after the owner of the property on which the permit area of the FMRA Transfer Facility No 101687 terminated the Form E-GP: Consent of Landowner authorizing FMRA to conduct solid waste activities on the property. DEP considered the lack of a valid Form E-GP to be a violation of §279.201 (b)(1) and (2) even though FMRA had not operated or constructed the transfer facility and the fact that the applicable regulations only required a Form E-GP prior to operations. The permit was subsequently deemed automatically void due to the fact that the facility did not accept waste within the five-year period required under §271.211 (e).

# Mark Construction Services, Inc. was issued an NOV for fugitive emissions associated with a permitted crusher due to lack of operation of water spray equipment. MSC immediately ceased

using the equipment and corrected mechanical issues on the equipment. The matter was formally resolved with DEP on 11/16/2016.

## Envirowaste was issued an NOV associated with its MSW Transfer Facility due to a citizen complaint of fugitive dust at the property boundary. Investigated by Philadelphia Air Management Services and resolved to their satisfaction. Subsequent citizen complaints by the same adjacent property owner on 7/14, 7/21 and 9/12/17 also lead to NOVs. Envirowaste responded by paving the entire access road and tarping the perimeter fence along the adjacent property. The 9/12/17 NOV resulted in a \$300 penalty. The 7/14/17 and 7/21/17 NOVs resulted in a combined penalty of \$1000.

**FORM HW-C**  
**Hazleton Creek Properties, LLC**  
**Tunnel Hill Partners, LP**  
**Attachment C-D**

Below are all environmental Enforcement Actions issued by any state or federal agency to Tunnel Hill Partners, LP or its subsidiaries or related parties for the past ten years.

<b>TUNNEL HILL RECLAMATION, LLC*</b>	
<b>Date(s)</b>	June 5, 2013
<b>Location</b>	8822 Tunnel Hill Rd, New Lexington, OH 43764
<b>Permit/License No.</b>	OH EPA: CID:272650
<b>Issuing Agency</b>	Ohio EPA
<b>Type of Action</b>	Notice of Violation
<b>Nature of Violation</b>	Litter, Intermediate Cover
<b>Disposition</b>	Resolved
<b>Dollar Amount of Penalty</b>	\$0
<b>Date(s)</b>	December 14, 2011; January 3, 2012; January 9, 2012; January 17, 2012; January 25, 2012; January 26, 2012; February 1, 2012; February 2, 2012; February 8, 2012; February 9, 2012; February 15, 2012; February 22, 2012; February 24, 2012; March 1, 2012; March 7, 2012; March 19, 2012; April 10, 2012; June 12, 2012; July 31, 2012; August 31, 2012; January 9, 2013; January 10, 2013; February 11, 2013; February 28, 2013; March 14, 2013
<b>Location</b>	8822 Tunnel Hill Rd, New Lexington, OH 43764
<b>Permit/License No.</b>	OH EPA: CID:272650
<b>Issuing Agency</b>	Ohio EPA
<b>Type of Action</b>	Notice of Violation
<b>Nature of Violation</b>	Odors
<b>Disposition</b>	Resolved
<b>Dollar Amount of Penalty</b>	\$0
<b>Date(s)</b>	January 29, 2013
<b>Location</b>	8822 Tunnel Hill Rd, New Lexington, OH 43764
<b>Permit/License No.</b>	OH EPA: CID:272650
<b>Issuing Agency</b>	Ohio EPA
<b>Type of Action</b>	Notice of Violation
<b>Nature of Violation</b>	Violation of DFFO/Failure to submit odor management plan
<b>Disposition</b>	Resolved
<b>Dollar Amount of Penalty</b>	\$0
<b>Date(s)</b>	June 12, 2014; June 19, 2014
<b>Location</b>	8822 Tunnel Hill Rd, New Lexington, OH 43764
<b>Permit/License No.</b>	OH EPA: CID:272650
<b>Issuing Agency</b>	Ohio EPA
<b>Type of Action</b>	Notice of Violation

Nature of Violation	Intermediate Cover, Overfill
Disposition	Resolved
Dollar Amount of Penalty	\$0
Date(s)	May 29, 2014
Location	8822 Tunnel Hill Rd, New Lexington, OH 43764
Permit/License No.	OH EPA: CID:272650
Issuing Agency	Ohio EPA
Type of Action	Notice of Violation
Nature of Violation	No Leachate Recirculation Plan Submitted
Disposition	Resolved
Dollar Amount of Penalty	\$0
Date(s)	January 14, 2014; March 20, 2014
Location	8822 Tunnel Hill Rd, New Lexington, OH 43764
Permit/License No.	OH EPA: CID:272650
Issuing Agency	Ohio EPA
Type of Action	Notice of Violation
Nature of Violation	Litter
Disposition	Resolved
Dollar Amount of Penalty	\$0
Date(s)	August 11, 2015
Location	8822 Tunnel Hill Rd, New Lexington, OH 43764
Permit/License No.	OH EPA: CID:272650
Issuing Agency	Ohio EPA
Type of Action	Notice of Violation
Nature of Violation	Daily & Intermediate Cover, Work Face
Disposition	Resolved
Dollar Amount of Penalty	\$0
Date(s)	July 11, 2014; October 31, 2014; November 14, 2014; December 5, 2014; July 23, 2015
Location	8822 Tunnel Hill Rd, New Lexington, OH 43764
Permit/License No.	OH EPA: CID:272650
Issuing Agency	Ohio EPA
Type of Action	Notice of Violation
Nature of Violation	NPDES - Manganese Exceedance
Disposition	Resolved
Dollar Amount of Penalty	\$0
Date(s)	June 24, 2015
Location	8822 Tunnel Hill Rd, New Lexington, OH 43764
Permit/License No.	OH EPA: CID:272650
Issuing Agency	Ohio EPA

Type of Action	Notice of Violation
Nature of Violation	No Leachate Recirculation Report Submitted
Disposition	Resolved
Dollar Amount of Penalty	\$0
Date(s)	May 22, 2015
Location	8822 Tunnel Hill Rd, New Lexington, OH 43764
Permit/License No.	OH EPA: CID:272650
Issuing Agency	Ohio EPA
Type of Action	Notice of Violation
Nature of Violation	Intermediate Cover
Disposition	Resolved
Dollar Amount of Penalty	\$0
Date(s)	June 13, 2014
Location	8822 Tunnel Hill Rd, New Lexington, OH 43764
Permit/License No.	OH EPA: CID:272650
Issuing Agency	Ohio EPA
Type of Action	Notice of Violation
Nature of Violation	OEPA Final Orders Re: 6/12/2014 NOV
Disposition	Resolved
Dollar Amount of Penalty	\$0
Date(s)	September 14, 2016
Location	8822 Tunnel Hill Rd, New Lexington, OH 43764
Permit/License No.	OH EPA: CID:272650
Issuing Agency	Ohio EPA
Type of Action	Notice of Violation
Nature of Violation	Reporting in Quarterly Report
Disposition	Resolved
Dollar Amount of Penalty	\$0
<b>No Violations occurred in the years: 2016, 2017 &amp; 2018 to date</b>	
*The vast majority of instances where a "Notice of Violation" is alleged do not result in commencement of any enforcement proceeding by the issuing agency, but rather are addressed by compliance action implemented by the facility and have been resolved.	
<b>SUNNY FARMS LANDFILL LLC*</b>	
Date(s)	January 2, 2013; January 3, 2013; May 31, 2013; July 11, 2013; July 17, 2013; August 16, 2013; September 12, 2013; October 15, 2013; January 21, 2014
Location	12500 West County Road 18, Fostoria, OH 44830
Permit/License No.	OH EPA: CID 37706
Issuing Agency	OH EPA

<b>Type of Action</b>	Notice of Violation
<b>Nature of Violation</b>	Odor
<b>Disposition</b>	Resolved
<b>Dollar Amount of Penalty</b>	\$0
<b>Date(s)</b>	April 2, 2013
<b>Location</b>	12500 West County Road 18, Fostoria, OH 44830
<b>Permit/License No.</b>	OH EPA: CID 37706
<b>Issuing Agency</b>	OH EPA
<b>Type of Action</b>	Notice of Violation
<b>Nature of Violation</b>	Notifications to adjacent landowners;
<b>Disposition</b>	Resolved
<b>Dollar Amount of Penalty</b>	\$0
<b>Date(s)</b>	May 24, 2013
<b>Location</b>	12500 West County Road 18, Fostoria, OH 44830
<b>Permit/License No.</b>	OH EPA: CID 37706
<b>Issuing Agency</b>	OH EPA
<b>Type of Action</b>	Notice of Violation
<b>Nature of Violation</b>	Access to facility by non-employee; daily cover
<b>Disposition</b>	Resolved
<b>Dollar Amount of Penalty</b>	\$0
<b>Date(s)</b>	November 26, 2013
<b>Location</b>	12500 West County Road 18, Fostoria, OH 44830
<b>Permit/License No.</b>	OH EPA: CID 37706
<b>Issuing Agency</b>	OH EPA
<b>Type of Action</b>	Notice of Violation
<b>Nature of Violation</b>	One gas well bottom 14.5 ft from liner instead of 15 ft.
<b>Disposition</b>	Resolved
<b>Dollar Amount of Penalty</b>	\$0
<b>Date(s)</b>	April 30, 2014
<b>Location</b>	12500 West County Road 18, Fostoria, OH 44830
<b>Permit/License No.</b>	OH EPA: CID 37706
<b>Issuing Agency</b>	OH EPA
<b>Type of Action</b>	Notice of Violation
<b>Nature of Violation</b>	Litter
<b>Disposition</b>	Resolved
<b>Dollar Amount of Penalty</b>	\$0
<b>Date(s)</b>	June 17, 2014
<b>Location</b>	12500 West County Road 18, Fostoria, OH 44830
<b>Permit/License No.</b>	OH EPA: CID 37706
<b>Issuing Agency</b>	OH EPA

Type of Action	Notice of Violation
Nature of Violation	Noncompliance with PTI conditions
Disposition	Resolved
Dollar Amount of Penalty	\$0
Date(s)	December 5, 2014
Location	12500 West County Road 18, Fostoria, OH 44830
Permit/License No.	OH EPA: CID 37706
Issuing Agency	OH EPA
Type of Action	Notice of Violation
Nature of Violation	Failure to submit alteration request
Disposition	Resolved
Dollar Amount of Penalty	\$0
Date(s)	February 4, 2015
Location	12500 West County Road 18, Fostoria, OH 44830
Permit/License No.	OH EPA: CID 37706
Issuing Agency	OH EPA
Type of Action	Notice of Violation
Nature of Violation	Failure to submit design analysis
Disposition	Resolved
Dollar Amount of Penalty	\$0
Date(s)	March 29, 2016
Location	12500 West County Road 18, Fostoria, OH 44830
Permit/License No.	OH EPA: CID 37706
Issuing Agency	OH EPA
Type of Action	Notice of Violation
Nature of Violation	Violation of 2008 Consent Order for failure to redact the incorrect waste designation
Disposition	Resolved
Dollar Amount of Penalty	\$0
Date(s)	July 12, 2016
Location	12500 West County Road 18, Fostoria, OH 44830
Permit/License No.	OH EPA: CID 37706
Issuing Agency	OH EPA
Type of Action	Notice of Violation
Nature of Violation	Soil cover, excess slope, waste placement
Disposition	Resolved
Dollar Amount of Penalty	\$0
Date(s)	August 23, 2016
Location	12500 West County Road 18, Fostoria, OH 44830
Permit/License No.	OH EPA: CID 37706

Issuing Agency	OH EPA
Type of Action	Notice of Violation
Nature of Violation	Waste confinement
Disposition	Resolved
Dollar Amount of Penalty	\$0
Date(s)	October 13, 2016
Location	12500 West County Road 18, Fostoria, OH 44830
Permit/License No.	OH EPA: CID 37706
Issuing Agency	OH EPA
Type of Action	Notice of Violation
Nature of Violation	Layering and integrity of engineered components
Disposition	Resolved
Dollar Amount of Penalty	\$0
Date(s)	November 17, 2017
Location	12500 West County Road 18, Fostoria, OH 44830
Permit/License No.	OH EPA: CID 37706
Issuing Agency	OH EPA
Type of Action	Notice of Violation
Nature of Violation	Waste confinement and soil cover
Disposition	Resolved
Dollar Amount of Penalty	\$0
<b>No violations in 2018 to date</b>	
*The vast majority of instances where a "Notice of Violation" is alleged do not result in commencement of any enforcement proceeding by the issuing agency, but rather are addressed by compliance action implemented by the facility and have been resolved.	
<b>COASTAL DISTRIBUTION LLC</b>	
Date(s)	April 29, 2013; May 16, 2013; May 29, 2013; May 31, 2013; June 25, 2013; July 3, 2013; July 15, 2013; July 23, 2013; July 31, 2013; August 20, 2013; September 12, 2013
Location	1633 New Highway, Farmingdale, NY 11735
Permit/License No.	NYS DEC: 1-4720-03667/00001
Issuing Agency	NYS DEC
Type of Action	Notice of Violation
Nature of Violation	Tipping or storage of C&D debris outside of the building and failure to properly control fugitive dust
Disposition	Resolved
Dollar Amount of Penalty	\$0
<b>No Violations occurred in the years: 2014, 2015, 2016, 2017 &amp; 2018 to date</b>	

<b>CITY CARTING &amp; RECYCLING, LLC*</b>	
<b>Date(s)</b>	November 25, 2013
<b>Location</b>	Somers Sanitation, Inc. dba Bria Carting, 241 Route 100, Somers, NY 10536
<b>Permit/License No.</b>	NYS DEC - Permit 3A-839
<b>Issuing Agency</b>	NYS DEC
<b>Type of Action</b>	Waste Transporter Permit
<b>Nature of Violation</b>	Location transported to not on permit
<b>Disposition</b>	modified permit
<b>Dollar Amount of Penalty</b>	\$0
<b>Date(s)</b>	April 23, 2014
<b>Location</b>	City Recycling Inc., 61 Taylor Reed Place, Stamford, CT 06907
<b>Permit/License No.</b>	CT DEEP - 1350844-M/PO
<b>Issuing Agency</b>	CT DEEP
<b>Type of Action</b>	Altered the design and method of operation of the facility
<b>Nature of Violation</b>	Constructed new building, equipment, and installed without a plan, design and method of operation approved by DEEP
<b>Disposition</b>	Resolved by previous ownership - no further details available
<b>Dollar Amount of Penalty</b>	\$0
<b>Date(s)</b>	April 23, 2014
<b>Location</b>	City Recycling Inc., 61 Taylor Reed Place, Stamford, CT 06907
<b>Permit/License No.</b>	CT DEEP - 1350844-M/PO
<b>Issuing Agency</b>	CT DEEP
<b>Type of Action</b>	Exceeded capacity
<b>Nature of Violation</b>	Exceeded storage limit of 200 cubic yards for the storage of commingled/single stream recyclables
<b>Disposition</b>	Resolved by previous ownership - no further details available
<b>Dollar Amount of Penalty</b>	\$0
<b>Date(s)</b>	April 23, 2014
<b>Location</b>	City Recycling Inc., 61 Taylor Reed Place, Stamford, CT 06907
<b>Permit/License No.</b>	CT DEEP - 1350844-M/PO
<b>Issuing Agency</b>	CT DEEP
<b>Type of Action</b>	Storage and Processing in undesignated/unpermitted areas
<b>Nature of Violation</b>	Storage and Processing in undesignated/unpermitted areas in violation of paragraph C3 and 4e of the permit
<b>Disposition</b>	Resolved by previous ownership - no further details available
<b>Dollar Amount of Penalty</b>	\$0
<b>Date(s)</b>	April 20, 2015
<b>Location</b>	Waste Conversion Technologies, Inc., 221 Old Gate Lane, Milford, CT 06460

<b>Permit/License No.</b>	CT DEEP - 08401067-PO
<b>Issuing Agency</b>	CT DEEP
<b>Type of Action</b>	Failure to post sign
<b>Nature of Violation</b>	Failure to post sign stating covered electronics devices shall not be accepted at this facility and failure to notify in writing all collectors that such devices will not be accepted at this facility
<b>Disposition</b>	Resolved by previous ownership - no further details available
<b>Dollar Amount of Penalty</b>	\$0
<b>Date(s)</b>	April 20, 2015
<b>Location</b>	Waste Conversion Technologies, Inc., 221 Old Gate Lane, Milford, CT 06460
<b>Permit/License No.</b>	CT DEEP - 08401067-PO
<b>Issuing Agency</b>	CT DEEP
<b>Type of Action</b>	Failure to post sign
<b>Nature of Violation</b>	
<b>Disposition</b>	Resolved by previous ownership - no further details available
<b>Dollar Amount of Penalty</b>	\$0
*These violations occurred under prior ownership. We have no documentation or knowledge of penalty payments being assessed or paid. There have been no violations since the change of ownership.	
<b>No Violations for the following entities:</b>	
Coastal Distribution of Paterson, LLC	
Hazleton Creek Properties, LLC	
One World Recycling, LLC	
Environmental Transload Services, LLC	
Champion City Recovery, LLC	
Stoughton Recycling Technologies, LLC	